



Liberty Behavioral Health Corporation Indiana Sex Offender Management and Monitoring Program Monthly Utilization and Status Report

September 30, 2009

I. EXECUTIVE SUMMARY

The reader will notice an addition to the Monthly Utilization and Status Report (see page 3 – “Special Needs Treatment”). Recently, the INSOMM Program has initiated the provision of a separate therapy group for adult male sex offenders in Phase II of the INSOMM Program identified as having special needs. The “Special Needs” group will provide specialized treatment programming for those lower functioning offenders with cognitive and mental health impairments that preclude their participation in the mainstream risk-based treatment program.

An INSOMM Program Management Committee Meeting was held on September 8, 2009. The meeting included discussion of the INSOMM Program Summary Report that had been provided to the DOC by the INSOMM Program management staff, and was to be integrated into OCMS for use by INSOMM Program facility staff. The integration of the summary report into OCMS has been delayed due to staff changes within the DOC. Meeting time was also spent discussing the tracking of INSOMM offender treatment participation in OIS. Codes for tracking INSOMM Program offenders were reviewed at the meeting, and will be forwarded for implementation. Additionally, a review of policies, procedures, and of the assessment process for use of the PPG at New Castle Correctional Facility occurred. Tentative implementation of the PPG at New Castle is scheduled for October, 2009.

With the goal of exploring options for reducing the number of technical violations of parole incurred by paroled sexual offenders, INSOMM Program Director Adam Deming met with several IDOC personnel on September 21, 2009. The meeting included discussion of the primary reasons sexual offenders incur technical violations of parole, the use of a matrix by parole agents to make decisions to violate parolees, the difference between parole stipulations and statutory requirements for sexual offenders on parole, and the important role of the parole board in the violation process. A follow-up meeting to further discuss the issue of technical violations of parole is pending a decision by Parole Director Ken Whipker.

The INSOMM Program was recently informed that, with the departure of IDOC Medical Director Dr. Elton Amos, contract monitoring of the program will now be provided by IDOC personnel Jerry Vance, Harry Miller, and Brent Myers. A meeting with Jerry Vance and Harry Miller, and members of the INSOMM Program management team, occurred on September 25 at the INSOMM Program main office. The meeting allowed the INSOMM Program management team to provide Jerry Vance with a summary of relevant changes that have occurred with the program during the past two years.

INSOMM Program Director Adam Deming presented at the Sex Offender Civil Commitment Programs Network (SOCCPN) conference in Dallas, Texas, on September 29, 2009. Dr. Deming co-presented, with Dr. Merrill Main, on the ethical issues relating to the civil commitment of sexual violent predators.

On September 25, 2009, Liberty Behavioral Health Corporation was informed that its contract to provide INSOMM Program services for the IDOC would be extended one year. As part of this contract extension, the INSOMM Program will take on the provision of all sexual offender assessment and treatment services currently being provided under the Sex Offender Treatment and Education Program (STEP) at Pendleton Juvenile Correctional Facility.

II. CONTRACT DELIVERABLES AND PERFORMANCE INDICATORS

A. Phases I and II and Re-Entry – Facility Based Services

SCREENING AND ASSESSMENT FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since 7/06
Number of Static-99's Completed			
▪ Low Risk	7	304	1,114
▪ Medium Risk	14	442	1,850
▪ High Risk	10	324	1,427
▪ Total	31	1,070	4,391
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	47	1,001	4,411
▪ Number Refusing Treatment	3*	38	116
▪ Total Screened	50	1,039	4,527

*Reasons for refusal among these 3 offenders included (a) would rather max out his time than participate (b) unwilling to discuss his case and threatened to sue the INSOMM Program if he were required to do so, (c) refused previously and still does not want to participate.

SCREENING AND ASSESSMENT FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since 10/07
Number of Assessments Completed	1	24	28
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	1	27	54
▪ Number Refusing Treatment	0	2	2
▪ Total Screened	1	29	56

FACILITY-BASED POLYGRAPH TESTING FOR ADULT MALE OFFENDERS								
Facility	Outcome	TEST TYPE			TESTS COMPLETED		OTHERS REFERRED FOR TESTING BUT TESTS NOT COMPLETED	
		Sexual Offense History	Instant Offense	Special Issues	Total This Month	Fiscal Year Total to Date 10/08 – 9/09	Total This Month	Fiscal Year Total to Date 10/08 – 9/09
NCCF	NDI	0	0	0	0	0		
	DI	0	7	0	7	25		
	Inconclusive	0	0	0	0	3		
	Total	0	7	0	7	28	0	0
Other Facilities	NDI	0	0	0	0	0		
	DI	0	0	0	0	7		
	Inconclusive	0	0	0	0	0		
	Total	0	0	0	0	7	0	3

NDI = Non-Deception Indicated; DI = Deception Indicated

RISK-BASED TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since 7/06
Number of Offenders Initiating, but Failing to Complete Treatment <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	0 2 3 5**	102 112 100 314	214 450 439 1,103
Number of Offenders Currently Enrolled <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	20 192 93 305		
Number of Successful Completers <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	0 30 8 38	63 189 86 338	300 473 270 1,043

**Two of these offenders were removed for behavioral problems (failure to meaningfully participate). Code 116 conduct reports were written on these three offenders for failure to participate in mandatory facility-based treatment. Three additional offenders failed to complete treatment due to early release to the community.

RE-ENTRY UNIT TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since 01/08
Number of Offenders Initiating, but Failing to Successfully Participate in Re-Entry Unit Treatment	2***	23	28
Number of Offenders Currently Enrolled	55		
Number of Offenders Who Released to the Community After Successfully Participating in Re-Entry Unit Treatment	28	269	558

***Two of these offenders failed to complete treatment due to early release to the community.

SPECIAL NEEDS TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 8/09 – 9/09	Total Since 8/09
Number of Offenders Initiating, but Failing to Successfully Participate in Special Needs Treatment	0	0	0
Number of Offenders Currently Enrolled	6		
Number of Offenders Who Released to the Community After Successfully Participating in Special Needs Treatment	0	0	0

TREATMENT PROGRAMMING FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since 10/07
Number of Offenders Initiating, but Failing to Successfully Participate in Treatment	0	1	5
Number of Offenders Currently Enrolled	28		
Number of Offenders Who Released to the Community After Successfully Participating in Treatment	3	10	30

TREATMENT PROGRAMMING FOR JUVENILE MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 1/09 – 9/09	Total Since 1/09
Number of Offenders Initiating, but Failing to Successfully Participate in Treatment	1#	22	22
Number of Offenders Currently Enrolled	46		
Number of Offenders Who Released to the Community After Successfully Participating in Treatment	3	44	44

#This offender was removed for behavioral problems in group (Refusing to attend group and disruptiveness).

INDIVIDUAL THERAPY SESSIONS FOR JUVENILE MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 1/09 – 9/09	Total Since 1/09
Number of Individual Therapy Sessions Conducted	18	306	306

TREATMENT GROUPS COMPLETED / CANCELLED (New Castle, Rockville, and Pendleton Juvenile Correctional Facilities)	Total Groups Run this Month	Total Groups Cancelled This Month	Total Groups Scheduled This Month
Number of Groups	419	94	513
% of This Month's Scheduled Groups	82%	18%	100%

REASONS FOR CANCELLED TREATMENT GROUPS	Number Of Groups	% of the 94 Cancelled Groups
Group Counselor on Paid Time Off	41	44%
Group Counselor Receiving Training	32	34%
National Holiday (Labor Day)	18	19%
Group Counselor Meeting With Applicant For Open INSOMM Program Counselor Position	1	1%
Group Counselor Meeting With INSOMM Program Director and Clinical Director to Discuss Various Issues Pertaining to Female Programming at RCF	1	1%
Group Counselor Error (Count Letters Not Turned In)	1	1%
Total	94	100%

RE-ENTRY PROGRAMMING FOR ADULT MALE OFFENDERS						
	PAROLE EDUCATION AND COMMUNITY PREPARATION CLASS			SEX OFFENDER REGISTRATION EDUCATION CLASS		
Facility	Total This Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since 01/06	Total This Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since 09/06
NCCF	48	179	179	46	101	101
Other Facilities	0	178	2,671	0	143	1,772
Total	48	357	2,850	46	244	1,873

PLACEMENT AND RE-ENTRY CASE MANAGEMENT FOR ADULT MALE OFFENDERS			
NUMBER OF OFFENDERS SEEN FOR INDIVIDUAL CASE MANAGEMENT			
Facility	Total This Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since 02/09
NCCF	52	349	349

RE-ENTRY PROGRAMMING FOR ADULT FEMALE OFFENDERS						
	PAROLE EDUCATION AND COMMUNITY PREPARATION CLASS			SEX OFFENDER REGISTRATION EDUCATION		
Facility	Total This Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since 10/07	Total This Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since 10/07
RCF	12	12	22	12	12	33
Other Facilities	0	0	0	0	0	0
Total	12	12	22	12	12	33

B. Phase III - Community-Based Services

PAROLE TREATMENT PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Number of Offenders
Number of Current INSOMM Adult Parolees Not Enrolled in Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 2 1 14 10 27
Number of Current INSOMM Adult Parolees Enrolled in Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 74 141 238 167 620
Number of Current INSOMM Adult Parolees Who Have Successfully Completed Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 0 14 6 4 24
Total Current INSOMM Adult Parolees	671

PAROLE TREATMENT PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Number of Offenders
Number of Current INSOMM Juvenile Parolees Not Enrolled in Community-Based Treatment	11
Number of Current INSOMM Juvenile Parolees Enrolled in Community-Based Treatment	12
Number of Current INSOMM Juvenile Parolees Who Have Successfully Completed Community-Based Treatment	0
Total Current INSOMM Juvenile Parolees	23

REASONS FOR NOT BEING IN TREATMENT AMONG ADULT OFFENDERS	Number Of Offenders	% of the 27 Offenders Not In Treatment
Financially Unable to Pay or Suspended from Treatment Due to Prior Non-Payment for Services	5	19%
Not Compliant with Treatment Requirements	4	15%
Medical Reasons	4	15%
Pending Charge / Jail Detainer / Outstanding Warrant / Delinquent / Incarcerated on VOP	3	11%
Unable to Benefit From Treatment (Due To Mental Status / Cognitive Deficits)	3	11%
No Parole Stipulations	3	11%
Offender's Case is Under Legal Appeal or Under Review for Post-Conviction Relief	2	7%
Agent and Therapist Decision to Suspend Offender From Treatment Until He Completes Substance Abuse Treatment	1	4%
Short Parole	1	4%
Offender Experiencing Mental Health-Related Issues and Suspended from Treatment Due to Prior Non-Payment for Services	1	4%
Total	27	100%

REASONS FOR NOT BEING IN TREATMENT AMONG JUVENILE OFFENDERS	Number Of Offenders	% of the 11 Offenders Not In Treatment
District Supervisor / Parole Agent Decision to Not Refer Offender for Treatment Due to Changes in IDOC Procedures for Paroled Juveniles	10	91%
Non-Compliant With Treatment Requirements	1	9%
Total	11	100%

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since Program Inception
Total Polygraphs Scheduled	131	1,594	
<ul style="list-style-type: none"> ▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation) 	34	336	
<ul style="list-style-type: none"> ▪ Polygraphs Cancelled (See Reasons Below) 	34	470	
<ul style="list-style-type: none"> ▪ Polygraphs Completed 	63	788	5,906

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since Program Inception
Total Polygraphs Scheduled	0	95	
<ul style="list-style-type: none"> ▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation) 	0	19	
<ul style="list-style-type: none"> ▪ Polygraphs Cancelled 	0	52	
<ul style="list-style-type: none"> ▪ Polygraphs Completed 	0	24	97

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG ADULT OFFENDERS	Number of Offenders	% of the 34 Offenders Who Missed Polygraph Appt.
Financial Reason	12	35%
Containment Team Decision to Cancel and/or Reschedule the Exam (Exam Rescheduled to an Earlier Date – 6 Offenders; Team Requested That Exam be Rescheduled With a Different Examiner – 2 Offenders; Exam Rescheduled to Avoid Conflict With Offender's Group Treatment)	9	26%
Polygraph Examiner Cancelled (Examiner No Longer Conducting Exams for the INSOMM Program)	4	12%
No Show / Late to Appt.	4	12%
Medical Reasons	1	3%
Polygraph Examiner Opted Not to Conduct Exam (Offender Was Ill)	1	3%
Offender Transferred to a Different Parole District	1	3%
District Re-Entry and Resource Coordinator (DRRC) Decision to Cancel Exam (Offender Did Not Release to Parole as Expected)	1	3%
Parole Agent Error (Agent Failed to Notify Offender of Exam)	1	3%
Total	34	100%

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since Program Inception
Offenders Reviewed in Containment Meetings	505	5,552	33,925

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 9/09	Total Since Program Inception
Offenders Reviewed in Containment Meetings	5	226	774

ONE-TIME TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/08 – 9/09
In-Service Training on Various Aspects of Community Supervision For Staff Members at an INSOMM Program Network Treatment Provider Agency <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 9
Training for INSOMM Program Counselors at NCCF on Use of the Adult Program Management Screen in OIS <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 8
Training for Hamilton County Community Corrections Staff Providing an Overview of the INSOMM Program and Risk Assessment Tools for Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 15
Training for GEO Staff at NCCF Providing an Overview of the INSOMM Program <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	6 500
HIPPA Compliance Training for All INSOMM Program Staff <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 35
Training for IDOC Staff and Other Contractual Employees at MCF Providing an Overview of the INSOMM Program <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 40

RECURRENT TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/08 – 9/09
INSOMM Program Annual Training Conference <ul style="list-style-type: none"> ▪ Number of Meetings (June 29th and 30th) ▪ Number of Participants 	0 0	2 144
In-Service Training For INSOMM Program Clinical Staff on Treatment and Assessment Issues <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	2 18
IDOC New Employee Orientation Training <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	2 17
Parole Agent Training For The Supervision of Adult and Juvenile Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	3 22

III. QUALITY IMPROVEMENT

During the past month, efforts to improve the quality of services provided by the INSOMM Program have focused most heavily on the following areas:

- **Community Treatment Quality Assurance** – The INSOMM Program conducted a quality assurance audit on a Network Treatment Provider Agency in Mishawaka on September 23rd. Results from the audit were rated as Provisionally Acceptable. The primary feedback that was given emphasized the need to ensure that (a) Initial Evaluation Reports, Bi-Annual Progress Reports, and Discharge Summaries are submitted to the INSOMM Program main office in a timely fashion, and (b) all progress notes specifically and directly document the topic covered and the relevant aspects of offender participation after each group session. The next Network Treatment Provider Quality Assurance Audits are scheduled to occur at agencies in Evansville and Tell City on October 27th and 28th, 2009, respectively.
- **Polygraph Quality Assurance** – Three different polygraph examinations conducted by INSOMM Program Network Polygraph Examiners were audited in late September. Two of the three of the examinations were found to be Fully Acceptable with no deficiencies identified. One of the three audited exams was found to be Provisionally Acceptable due to the examiner's failure to submit a copy of the most recent Functionality Check that he conducted. Written feedback, with requests for corrective action where necessary, will be provided to each of the examiners who were audited.
- **Annual Aggregate Recidivism Analyses** – Efforts continued in September to update the INSOMM Program aggregate recidivism database. This database includes all INSOMM Program offenders who have been released to parole since 1999 through the end of calendar year 2008. Gathering of updated data pertaining to each offender's conviction history since their institutional release was completed in late September. Efforts in October will focus on conducting analyses on the updated aggregate recidivism data. Results from these analyses will be reported in the INSOMM Program Annual Report to be released in November 2009.
- **4th Quarter Quality Assurance / Quality Improvement (QA/QI) Committee Meeting**
The INSOMM Program Quality Assurance / Quality Improvement QA/QI Committee held its 4th Quarter meeting on September 25th. The meeting was used to discuss progress on the update to the annual aggregate recidivism database and a course of action for analyzing the updated data.

IV. PERSONNEL / HUMAN RESOURCES

The INSOMM Program is pleased to announce that Davena Lewis will begin employment with Liberty Behavioral Health Corporation as a Counselor 1 on October 5, 2009. Davena will be working with Phase II adult male sex offenders at New Castle Correctional Facility. This leaves only one INSOMM Program Counselor vacancy at the New Castle Correctional Facility. Interviewing for the vacant Counselor position at New Castle will continue in the month of October.

Additionally, recruiting for three open positions at Pendleton Juvenile Correctional Facility was initiated by Liberty Behavioral Health Corporation immediately after being informed that it would take on the Sex Offender Treatment and Education Program (STEP) at that facility. These open positions include a Team Leader position, a Counselor 1 position, and a Behavior Analyst position. The Behavior Analyst position will be a re-allocation of the Juvenile Services Coordinator position from Phase III. The decision to re-allocate the Juvenile Services Coordinator position was made following the significant reduction of juvenile sex offenders on parole that started in February, 2009, and the more

efficient management of those offenders by INSOMM Program District Coordinators in their respective parole districts.

V. GOALS AND UPCOMING EVENTS

Goals for the month of October, 2009 include the following:

- Recruit for, interview, and fill all open INSOMM Program staff positions.
- Meet with DOC personnel regarding the transition of the STEP to INSOMM Program management.
- Schedule Phase III Network Treatment Provider audits to be conducted in November of this year.
- Begin utilizing the PPG assessment with sexual offenders receiving treatment in the INSOMM Program at the New Castle Correctional Facility.

Upcoming events for the INSOMM Program include:

- An INSOMM Program Management Committee Meeting is scheduled for November 10, 2009 at 10am. The meeting will be held at the Indiana Government Center.
- An INSOMM Program "All Staff Meeting" will be held on November 11, 2009.