



Liberty Behavioral Health Corporation Indiana Sex Offender Management and Monitoring Program Monthly Utilization and Status Report

August 31, 2008

I. EXECUTIVE SUMMARY

An INSOMM Program Network Treatment Provider orientation training was held on August 15, 2008. The training was provided by Dr. Adam Deming, Program Director, Melissa Gharst, Assistant Director, and Dr. Todd Casbon, Quality Assurance and Research Coordinator. Ten treatment providers, recently credentialed with the INSOMM Program, or currently pending completion of the credentialing process, attended the one day training for new INSOMM Program treatment providers. The training included an overview of the services provided by the INSOMM Program, a review of important sections of the sub-contractor agreement, and information relating to referrals, scheduling, and billing for Phase III treatment services. The training also included a review of the newly implemented Phase III auditing process.

Dr. Deming and Melissa Gharst met with a prospective Phase III treatment provider on August 5. James Berman, Director of Contracted Clinical Services, Southlake Center for Mental Health, visited the INSOMM Program main office to discuss the possibility of Southlake Center joining the network of INSOMM Program providers. Dr. Deming and Ms. Gharst plan to travel to Merrillville, Indiana in September to the offices of Southlake Center.

An audit of the INSOMM Program by the Indiana Department of Correction that had been scheduled for August 19 through August 21, 2008 has been postponed due to scheduling conflicts on behalf of the auditors. A new date for the audit has not been set.

An INSOMM Program Management Committee Meeting (PMCM) was held on August 25, 2008. A majority of the meeting discussion focused on the issue of participation in time cut programs for sex offenders at the Plainfield Correctional Facility. Those present provided options and suggestions for ensuring that offenders participating in time cut programs receive adequate treatment prior to their release. It was agreed by those present that the most effective approach to addressing this issue is to allow offenders to participate in time cut programs as they have in the past, and focus INSOMM Program resources on those offenders with anticipated release dates within the next three years rather than on all sex offenders within the facility.

Also addressed at the August 25 PMCM was the development of a procedure for temporarily exempting sex offenders that have pending appeals or are under post conviction relief status from participation in Phase II of the INSOMM Program. To be eligible for temporary exemption, the offender must have pled not guilty to his index sex offense and must provide documentation that confirms an ongoing appeal or post conviction relief status. Further, written documentation must be provided every 90 days thereafter.

As the result of scheduling difficulties and problems coordinating services with the Department of Correction, INSOMM Program sex offender registration classes at Miami Correctional Facility (MCF) have not been provided since February, 2008. These problems appear to have been resolved, and INSOMM Program District Re-Entry and Resource Coordinators will begin providing registration these classes again at MCF in September.

II. CONTRACT DELIVERABLES AND PERFORMANCE INDICATORS

A. Phases I and II and Re-Entry – Facility Based Services

SCREENING AND ASSESSMENT FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since 07/06
Number of Static-99's Completed			
▪ Low Risk	22	555	801
▪ Medium Risk	40	951	1,380
▪ High Risk	22	758	1,078
▪ Total	84	2,264	3,259
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	78	1,458	3,082
▪ Number Refusing Treatment	2*	43	60
▪ Total Screened	80	1,501	3,142

*These two offenders refused to participate for unspecified personal reasons. Code 116 conduct reports were written on these offenders for failure to participate in mandatory facility-based treatment.

SCREENING AND ASSESSMENT FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since 10/07
Number of Assessments Completed	0	1	1
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	2	24	24
▪ Number Refusing Treatment	0	0	0
▪ Total Screened	2	24	24

FACILITY-BASED POLYGRAPH TESTING FOR ADULT MALE OFFENDERS								
Facility	Outcome	TEST TYPE			TESTS COMPLETED		OTHERS REFERRED FOR TESTING BUT TESTS NOT COMPLETED	
		Sexual Offense History	Instant Offense	Special Issues	Total This Month	Fiscal Year Total to Date 01/08 – 08/08	Total This Month	Fiscal Year Total to Date 01/08 – 08/08
MCF	NDI	0	0	0	0	1		
	DI	0	0	0	0	32		
	Inconclusive	0	0	0	0	0		
	Total	0	0	0	0	33	0	6
PCF	NDI	0	0	0	0	2		
	DI	0	12	0	12	57		
	Inconclusive	0	1	0	1	3		
	Total	0	13	0	13	62	0	7

NDI = Non-Deception Indicated; DI = Deception Indicated

RISK-BASED TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since 07/06
Number of Offenders Initiating, but Failing to Complete Treatment <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	7 11 24 42**	57 133 158 348	102 320 318 740
Number of Offenders Currently Enrolled <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	191 329 259 779		
Number of Successful Completers <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	31 11 29 71	107 179 119 405	215 284 167 666

**Twenty-two of these offenders moved to the Re-Entry Unit at PCF before completing risk-based treatment, 15 were released early to the community, one was removed due to mental illness, and one was approved to participate in the Plus Program at PCF and will be returned to INSOMM Program treatment after participating in the Plus Program. Two additional offenders quit and one was removed for behavioral problems. Code 116 conduct reports were written on these latter three offenders for failure to participate in mandatory facility-based treatment.

RE-ENTRY UNIT TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS AT PCF	Total this Month	Fiscal Year Total to Date 01/08 – 08/08	Total Since 01/08
Number of Offenders Initiating, but Failing to Successfully Participate in Re-Entry Unit Treatment	0	2	2
Number of Offenders Currently Enrolled	211		
Number of Offenders Who Released to the Community After Successfully Participating in Re-Entry Unit Treatment	35	242	242

TIME-LIMITED TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since 08/07
Number of Offenders Initiating, but Failing to Successfully Participate in Time-Limited Treatment	0	0	0
Number of Offenders Currently Enrolled	0		
Number of Offenders Who Released to the Community After Successfully Participating in Time-Limited Treatment	0	124	156

TREATMENT PROGRAMMING FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since 10/07
Number of Offenders Initiating, but Failing to Successfully Participate in Treatment	1***	3	3
Number of Offenders Currently Enrolled	23		
Number of Offenders Who Released to the Community After Successfully Participating in Treatment	2	19	19

***This offender quit group. A Code 116 conduct report was written on this offender for failure to participate in mandatory facility-based treatment.

TREATMENT GROUPS COMPLETED / CANCELLED (Miami, Plainfield, and Rockville Correctional Facilities)	Total Groups Run this Month	Total Groups Cancelled This Month	Total Groups Scheduled This Month
Number of Groups	437	104	541
% of This Month's Scheduled Groups	81%	19%	100%

REASONS FOR CANCELLED TREATMENT GROUPS	Number Of Groups	% of the 104 Cancelled Groups
Group Counselor on Paid Time Off	44	42%
Facility / Institutional Reason (Mass Offender Dormitory Moves and Associated Group Restructuring – 11 Groups; No Movement – 9 Groups; Count Letter Errors – 4 Groups; Group Counselor Attending Department Head's Meeting – 1 Group; Group Counselor Meeting With Superintendent – 1 Group)	26	25%
Group Counselor Attending Staff Meeting	16	15%
Group Counselor Receiving Training	14	13%
Group Counselor Providing Training	2	2%
Group Counselor Receiving Supervision	1	1%
Group Counselor Meeting With Applicant for Vacant Clinical Director Position	1	1%
Total	104	100%

RE-ENTRY PROGRAMMING FOR ADULT MALE OFFENDERS						
	PAROLE PLACEMENT AND EDUCATION			SEX OFFENDER REGISTRATION EDUCATION		
Facility	Total This Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since 01/06	Total This Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since 09/06
MCF	15	179	818	0	96	609
PCF	54	384	997	21	345	949
Other Facilities	0	0	628	0	0	2
Total	69	563	2,443	21	441	1,560

RE-ENTRY PROGRAMMING FOR ADULT FEMALE OFFENDERS						
	PAROLE PLACEMENT AND EDUCATION			SEX OFFENDER REGISTRATION EDUCATION		
Facility	Total This Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since 10/07	Total This Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since 10/07
RCF	0	10	10	0	21	21
Other Facilities	0	0	0	0	0	0
Total	0	10	10	0	21	21

B. Phase III - Community-Based Services

PAROLE TREATMENT PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Number of Offenders
Number of Current INSOMM Adult Parolees Not Enrolled in Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 4 8 14 6 32
Number of Current INSOMM Adult Parolees Enrolled in Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 49 159 234 223 665
Number of Current INSOMM Adult Parolees Who Have Successfully Completed Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 1 7 3 1 12
Total Current INSOMM Adult Parolees	709

PAROLE TREATMENT PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Number of Offenders
Number of Current INSOMM Juvenile Parolees Not Enrolled in Community-Based Treatment	2
Number of Current INSOMM Juvenile Parolees Enrolled in Community-Based Treatment	30
Number of Current INSOMM Juvenile Parolees Who Have Successfully Completed Community-Based Treatment	1
Total Current INSOMM Juvenile Parolees	33

REASONS FOR NOT BEING IN TREATMENT AMONG ADULT OFFENDERS	Number Of Offenders	% of the 32 Offenders Not In Treatment
Pending Charge / Jail Detainer / Outstanding Warrant / Delinquent / Incarcerated on VOP	7	22%
Not Compliant with Treatment Requirements	6	19%
Financially Unable to Pay or Suspended from Treatment Due to Prior Non-Payment for Services	4	13%
Medical Reasons	4	13%
No Parole Stipulations	3	9%
Transportation Problems	3	9%
Unable to Benefit From Treatment (Due To Mental Status / Cognitive Deficits)	1	3%
Offender Has Pending Inter-State Transfer	1	3%
Offender Has Pending Inter-District Transfer	1	3%
Treatment Provider Saw Offender for Initial Assessment and Determined That Offender Did Not Need Treatment Due to His Offense Occurring When He Was a Juvenile, and Due to Having Had Treatment While He Was on Probation	1	3%
No Treatment Providers in Offender's Area of Residence Are Willing to Work With Him Due to Offender's Past Problematic Behaviors and Litigious Tendencies	1	3%
Total	32	100%

REASONS FOR NOT BEING IN TREATMENT AMONG JUVENILE OFFENDERS	Number Of Offenders	% of the 2 Offenders Not In Treatment
Parole Agent Removed Offender From Treatment Due to Pending Discharge	1	50%
Parole Agent Removed Offender from Treatment Due to Pending Inter-State Transfer	1	50%
Total	2	100%

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since Program Inception
Total Polygraphs Scheduled	122	1,439	
<ul style="list-style-type: none"> ▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation) 	26	300	
<ul style="list-style-type: none"> ▪ Polygraphs Cancelled (See Reasons Below) 	28	433	
<ul style="list-style-type: none"> ▪ Polygraphs Completed 	68	706	4,584

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since Program Inception
Total Polygraphs Scheduled	7	110	
<ul style="list-style-type: none"> ▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation) 	4	32	
<ul style="list-style-type: none"> ▪ Polygraphs Cancelled (See Reasons Below) 	1	32	
<ul style="list-style-type: none"> ▪ Polygraphs Completed 	2	46	71

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG ADULT OFFENDERS	Number of Offenders	% of the 28 Offenders Who Missed Polygraph Appt.
Financial Reason	8	29%
No Show / Late to Appt.	5	18%
Containment Team Decision to Cancel and/or Reschedule the Exam (Team Requested That Exam Be Rescheduled to an Earlier Date – 2 Offenders; Exam Scheduled Too Close to Offender's Anticipated Discharge Date; Offender Was on Dual Supervision and Team Requested That Exam be Rescheduled With an Examiner Who Is Used by Both Parole and Probation; Team Requested Cancellation Due to Offender Having an Open Arrest Warrant for Failure to Attend Treatment and Failure to Report to Parole)	5	18%
Polygraph Examiner Opted Not to Conduct Exam – (Offender Arrived to Exam Sick – 2 Offenders; Offender Had Just Been Diagnosed With an Aneurysm and a Ruptured Artery in His Hip)	3	11%
Polygraph Examiner Cancelled (Examiner Had a Scheduling Conflict)	2	7%
Parole Agent Error (Agent Failed to Give Offender Enough Notice)	1	4%
Therapist Decision to Cancel and/or Reschedule the Exam (Therapist Requested Rescheduling to Accommodate Offender's Work Schedule)	1	4%
Parole Agent Decision to Cancel and/or Reschedule the Exam (Agent Requested Rescheduling to Accommodate Offender's Work Schedule)	1	4%
District Re-Entry and Resource Coordinator (DRRC) Decision to Cancel and/or Reschedule Exam (Exam Scheduled Too Close to Offender's Anticipated Discharge Date)	1	4%
Physiological Charts Were Not Interpretable (Unclear Whether Offender's Controlled Breathing and Sleeping During Exam Was Due to Medication or Countermeasures)	1	4%
Total	28	100%

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG JUVENILE OFFENDERS	Number of Offenders	% of the Offenders Who Missed Polygraph Appt.
District Re-Entry and Resource Coordinator (DRRC) Decision to Cancel and/or Reschedule Exam (Exam Cancelled Due to Impending IDOC Policy Changes Pertaining to Juvenile Offenders)	1	100%
Total	1	100%

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since Program Inception
Offenders Reviewed in Containment Meetings	525	5,804	27,793

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 08/08	Total Since Program Inception
Offenders Reviewed in Containment Meetings	24	299	526

RECURRENT TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/07 – 08/08
INSOMM Program Orientation Training For New Phase III Network Treatment Providers <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	1 10	1 10
IDOC New Employee Orientation Training <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	3 25	10 119
IDOC Facility Staff Annual In-Service Training Providing an Overview of the INSOMM Program <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 38
Parole Agent Training For The Supervision of Adult Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	2 25
Parole Agent Training For The Supervision of Juvenile Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 8
INSOMM Program Annual Training Conference <ul style="list-style-type: none"> ▪ Number of Meetings (July 17th and 18th) ▪ Number of Participants 	0 0	2 150

ONE-TIME TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/07 – 08/08
Probation Officers' Annual Training Program – Training Provided on Best Practices in Assessment and Treatment of Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 100
Training For District Supervisors on Facility-Based Programming and Community-Based Policies and Procedures <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 25
Training for INSOMM Program Clinical Staff on Managing Treatment-Resistant Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	2 18
Training for Indiana Superior, Circuit, and County Court Judges on Best Practices in the Treatment and Management of Sexual Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 50
Training at the Annual Mental Health in Corrections Consortium (MHCC) Symposium on Implementing Risk-Based Treatment and Management Strategies With Sexual Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 30
Various Trainings Provided at the IDOC National Mental Health Conference, "Unlock the Mystery." <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	5 153

III. QUALITY IMPROVEMENT

During the past month, efforts to improve the quality of services provided by the INSOMM Program have focused most heavily on the following areas:

- **Monitoring Contract Compliance and Performance Indicators** – The IDOC's review of the INSOMM Program that was scheduled to take place on August 19 – 21 was cancelled due to a scheduling conflict for the auditors. Dr. William Elliott, IDOC Director of Mental Health & Behavioral Management, is considering possible alternative dates to conduct the program review.
- **Community Treatment Quality Assurance** – Letters were sent to two INSOMM Program Network Treatment Agencies in late August to inform them that they have been selected to undergo a quality assurance audit in October, 2008. Follow-up calls will be made to these agencies early next month to make arrangements for the site visit portion of the audit.
- **Polygraph Quality Assurance:** All three examiners who were audited last quarter displayed some deficiencies on the audit, and therefore, feedback with requests for corrective action was provided to each of these examiners. As of the end of August, one of the three examiners had responded to the feedback in a satisfactory manner. Responses from the other two examiners have not yet been received and are expected in early September. In late August, three additional polygraph examinations conducted by INSOMM Program Network Polygraph Examiners were randomly selected to be audited during the fourth quarter of this fiscal year. Letters were sent to

the polygraph examiners who conducted the selected exams to request that they submit all needed materials for auditing within 10 business days.

- **INSOMM Program Recidivism Analyses** – Efforts were initiated in March to collect and analyze data from a cohort of INSOMM Program Offenders who released from IDOC facilities in 2004. The primary goal of these efforts is to determine what percentage of those offenders were returned to an IDOC facility within 3 years of their institutional release. The chosen time frame for this analysis is based on the standard IDOC recidivism definition that focuses on the 3-year window following release. The overarching goal of this project is to make future INSOMM Program Outcome Reports more consistent with the format and language used by the IDOC Research and Planning Division in reporting recidivism data. The INSOMM Program Director and the Quality Assurance and Research Coordinator will meet early next month to discuss further development of this project with outcomes to be reported in the upcoming INSOMM Program Annual Outcome Report for Fiscal Year 2007-2008.

IV. PERSONNEL / HUMAN RESOURCES

Currently, the Clinical Director position is the only INSOMM Program staff vacancy. Interviews were conducted during the month of August, and a second interview was conducted with one applicant. It is anticipated that the position will be filled in September.

Dr. Deming, INSOMM Program Director, is traveling to the Miami and Plainfield Correctional Facilities each week to provide oversight and supervision to the clinical staff until the Clinical Director position is filled.

V. GOALS AND UPCOMING EVENTS

Goals for the month of September, 2008 include the following:

- Recruit for, interview, and fill the Clinical Director position.
- Resume registration classes for sexual offenders at the Miami Correctional Facility.
- The INSOMM Program Quality Assurance Committee will begin reviewing the INSOMM Program Policies and Procedures Manual in September. The goal is to review and update any current policies that need updating, and to develop policies in areas where they are needed and where no policies currently exist.

Upcoming events for the INSOMM Program include:

- INSOMM Program staff member Kyle Shore, M.A., will provide training to Recreational Supervisors relating to sex offender treatment, management, and the INSOMM Program at Putnamville Correctional Facility on September 4, 2008.
- INSOMM Program staff member Kyle Shore, M.A., will conduct New Employee Orientation Training at Plainfield Correctional Facility on September 19, 2008.
- INSOMM Program staff at Miami Correctional Facility will conduct training for Indiana Department of Correction staff relating to sex offender treatment, sex offender management, and the INSOMM Program on October 16, 2008.
- An INSOMM Program Management Committee Meeting is scheduled for Monday September 22, 2008 at 10am. The meeting will be held at the Indiana Government Center in downtown Indianapolis.

- Dr. Deming and Ms. Gharst will travel to Merrillville, Indiana on September 24 to meet with administrators at Southlake Center for Mental Health. They will discuss the potential advantages for Southlake to join the network of INSOMM Program treatment providers.