



Liberty Behavioral Health Corporation Indiana Sex Offender Management and Monitoring Program Monthly Utilization and Status Report

July 31, 2009

I. EXECUTIVE SUMMARY

INSOMM Program assessment and counseling staff at the New Castle Correctional Facility continue to work collaboratively with other staff there, across all disciplines, to coordinate the provision of sex offender services to a large number of offenders. Currently, more than four hundred sexual offenders are participating in sex offender specific and re-entry group therapies.

Additionally, although some scheduling problems remain, the transition of re-entry classes and individual case management to the New Castle facility is essentially complete. These services, which focus on providing education relating to parole stipulations, registration requirements, and locating viable employment and housing for sexual offenders, are now being provided by INSOMM Program District Coordinators Chris Radican and Lisa Bohr two days per week at New Castle.

An INSOMM Program Management Committee Meeting was held on Tuesday July 14, 2009. Attendees were informed that a new INSOMM Program Summary Report is being developed. The new Summary Report will provide information about an offender's participation in both Phase II treatment and re-entry counseling and education classes, and will be available to parole agents throughout the state on OCMS. It is hoped that this report will provide useful information to INSOMM Program treatment providers and parole agents as offenders leave the facility and return to the community. Discussion and clarification of the "treatment exemption" process and the use of Code 116 disciplinary reports also occurred at the recent Program Management Committee Meeting. A follow-up meeting to discuss these issues is planned, and will include Harry Miller, Dr. Deming, and Charles Penfold. A status report on the implementation of the PPG at New Castle was provided. Committee members discussed options regarding the use of the second PPG system obtained through the CASOM grant, and it was agreed to utilize that PPG at the New Castle facility.

The use of the PPG assessment protocol at New Castle moves closer to implementation. The INSOMM Program is waiting to receive a few final supplies needed to safely and appropriately use the PPG. Policy and procedure, informed consent forms, and informational material relating to the PPG have been developed and will be provided to IDOC personnel for review and approval in August. It is anticipated that the PPG assessment process will begin at New Castle in September.

II. CONTRACT DELIVERABLES AND PERFORMANCE INDICATORS

A. Phases I and II and Re-Entry – Facility Based Services

SCREENING AND ASSESSMENT FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since 07/06
Number of Static-99's Completed			
▪ Low Risk	13	292	1,102
▪ Medium Risk	28	416	1,824
▪ High Risk	24	307	1,410
▪ Total	65	1,015	4,336
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	72	844	4,254
▪ Number Refusing Treatment	11*	28	106
▪ Total Screened	83	872	4,360

*Reasons for refusal among these 11 offenders included (a) unspecified reason – 4 offenders, (b) would rather max out his time than participate – 1 offender, (c) concerned about being harmed by other offenders – 1 offender, (d) concerned about his own mental health issues and how group would impact these – 1 offender, (e) denied his committing offense – 1 offender; (f), claimed his case is “still in the court system” even though it is not under current appeal – 1 offender, (g) screened for return to group 60 days after being removed for non-compliance with group requirements and remained non-compliant at current screening – 1 offender, and (h) currently seeking legal appeal of his felony conviction – 1 offender. Code 116 conduct reports were written on all of these offenders for failure to participate in mandatory facility-based treatment, except the last offender whose case is under legal appeal.

SCREENING AND ASSESSMENT FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since 10/07
Number of Assessments Completed	2	23	27
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	2	25	52
▪ Number Refusing Treatment	0	2	2
▪ Total Screened	2	27	54

FACILITY-BASED POLYGRAPH TESTING FOR ADULT MALE OFFENDERS								
Facility	Outcome	TEST TYPE			TESTS COMPLETED		OTHERS REFERRED FOR TESTING BUT TESTS NOT COMPLETED	
		Sexual Offense History	Instant Offense	Special Issues	Total This Month	Fiscal Year Total to Date 10/08 – 7/09	Total This Month	Fiscal Year Total to Date 10/08 – 7/09
NCCF	NDI	0	0	0	0	0		
	DI	0	0	0	0	15		
	Inconclusive	0	0	0	0	1		
	Total	0	0	0	0	16	0	0
Other Facilities	NDI	0	0	0	0	0		
	DI	0	0	0	0	7		
	Inconclusive	0	0	0	0	0		
	Total	0	0	0	0	7	0	3

NDI = Non-Deception Indicated; DI = Deception Indicated

RISK-BASED TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since 07/06
Number of Offenders Initiating, but Failing to Complete Treatment			
▪ Low Risk	0	101	213
▪ Medium Risk	6	107	445
▪ High Risk	2	95	434
▪ Total	8**	303	1,092
Number of Offenders Currently Enrolled			
▪ Low Risk	19		
▪ Medium Risk	229		
▪ High Risk	108		
▪ Total	356		
Number of Successful Completers			
▪ Low Risk	0	63	300
▪ Medium Risk	14	134	418
▪ High Risk	18	73	257
▪ Total	32	270	975

**Three of these offenders were removed for behavioral problems (non-compliant). Code 116 conduct reports were written on these three offenders for failure to participate in mandatory facility-based treatment. Three additional offenders failed to complete treatment due to early release to the community, one was removed due to medical issues, and one died during incarceration.

RE-ENTRY UNIT TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since 01/08
Number of Offenders Initiating, but Failing to Successfully Participate in Re-Entry Unit Treatment	1***	16	21
Number of Offenders Currently Enrolled	71		
Number of Offenders Who Released to the Community After Successfully Participating in Re-Entry Unit Treatment	46	234	523

***This offender was released early to the community.

TREATMENT PROGRAMMING FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since 10/07
Number of Offenders Initiating, but Failing to Successfully Participate in Treatment	0	1	5
Number of Offenders Currently Enrolled	31		
Number of Offenders Who Released to the Community After Successfully Participating in Treatment	0	5	25

TREATMENT PROGRAMMING FOR JUVENILE MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 1/09 – 7/09	Total Since 1/09
Number of Offenders Initiating, but Failing to Successfully Participate in Treatment	0	17	17
Number of Offenders Currently Enrolled	44		
Number of Offenders Who Released to the Community After Successfully Participating in Treatment	6	33	33

INDIVIDUAL THERAPY SESSIONS FOR JUVENILE MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 1/09 – 7/09	Total Since 1/09
Number of Individual Therapy Sessions Conducted	38	270	270

TREATMENT GROUPS COMPLETED / CANCELLED (New Castle, Rockville, and Pendleton Juvenile Correctional Facilities)	Total Groups Run this Month	Total Groups Cancelled This Month	Total Groups Scheduled This Month
Number of Groups	428	120	548
% of This Month's Scheduled Groups	78%	22%	100%

REASONS FOR CANCELLED TREATMENT GROUPS	Number Of Groups	% of the 120 Cancelled Groups
Group Counselor on Paid Time Off	53	44%
Facility / Institutional Reason (No Movement – 33 Groups; Statewide IDOC Holiday – 8 Groups; Recreation at Pendleton Juvenile Correction Facility Lasted Longer Than Normal – 1 Group)	42	35%
National Holiday (Independence Day)	16	13%
Group Counselor Receiving Training	9	8%
Total	120	100%

RE-ENTRY PROGRAMMING FOR ADULT MALE OFFENDERS						
	PAROLE EDUCATION AND COMMUNITY PREPARATION CLASS			SEX OFFENDER REGISTRATION EDUCATION CLASS		
Facility	Total This Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since 01/06	Total This Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since 09/06
NCCF	0	131	131	21	55	55
Other Facilities	0	178	2,671	0	143	1,772
Total	0	309	2,802	21	198	1,827

PLACEMENT AND RE-ENTRY CASE MANAGEMENT FOR ADULT MALE OFFENDERS			
NUMBER OF OFFENDERS SEEN FOR INDIVIDUAL CASE MANAGEMENT			
Facility	Total This Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since 02/09
NCCF	46	252	252

RE-ENTRY PROGRAMMING FOR ADULT FEMALE OFFENDERS						
	PAROLE EDUCATION AND COMMUNITY PREPARATION CLASS			SEX OFFENDER REGISTRATION EDUCATION		
Facility	Total This Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since 10/07	Total This Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since 10/07
RCF	0	0	10	0	0	21
Other Facilities	0	0	0	0	0	0
Total	0	0	10	0	0	21

B. Phase III - Community-Based Services

PAROLE TREATMENT PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Number of Offenders
Number of Current INSOMM Adult Parolees Not Enrolled in Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 2 1 17 11 31
Number of Current INSOMM Adult Parolees Enrolled in Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 69 148 254 179 650
Number of Current INSOMM Adult Parolees Who Have Successfully Completed Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 0 14 6 2 22
Total Current INSOMM Adult Parolees	703

PAROLE TREATMENT PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Number of Offenders
Number of Current INSOMM Juvenile Parolees Not Enrolled in Community-Based Treatment	9
Number of Current INSOMM Juvenile Parolees Enrolled in Community-Based Treatment	10
Number of Current INSOMM Juvenile Parolees Who Have Successfully Completed Community-Based Treatment	0
Total Current INSOMM Juvenile Parolees	19

REASONS FOR NOT BEING IN TREATMENT AMONG ADULT OFFENDERS	Number Of Offenders	% of the 31 Offenders Not In Treatment
Pending Charge / Jail Detainer / Outstanding Warrant / Delinquent / Incarcerated on VOP	7	23%
Not Compliant with Treatment Requirements	6	19%
Medical Reasons	4	13%
Financially Unable to Pay or Suspended from Treatment Due to Prior Non-Payment for Services	4	13%
Unable to Benefit From Treatment (Due To Mental Status / Cognitive Deficits)	3	10%
No Parole Stipulations	2	6%
Agent and Therapist Decision to Suspend Offender From Treatment Until He Completes Substance Abuse Treatment	2	6%
Short Parole	1	6%
Transportation Problems	1	3%
Offender Experiencing Mental Health-Related Issues and Suspended from Treatment Due to Prior Non-Payment for Services	1	3%
Total	31	100%

REASONS FOR NOT BEING IN TREATMENT AMONG JUVENILE OFFENDERS	Number Of Offenders	% of the 9 Offenders Not In Treatment
District Supervisor / Parole Agent Decision to Not Refer Offender for Treatment Due to Changes in IDOC Procedures for Paroled Juveniles	8	89%
Non-Compliant With Treatment Requirements	1	11%
Total	9	100%

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since Program Inception
Total Polygraphs Scheduled	101	1,344	
<ul style="list-style-type: none"> ▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation) 	20	283	
<ul style="list-style-type: none"> ▪ Polygraphs Cancelled (See Reasons Below) 	32	404	
<ul style="list-style-type: none"> ▪ Polygraphs Completed 	49	657	5,775

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since Program Inception
Total Polygraphs Scheduled	6	95	
<ul style="list-style-type: none"> ▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation) 	3	19	
<ul style="list-style-type: none"> ▪ Polygraphs Cancelled (See Reasons Below) 	1	52	
<ul style="list-style-type: none"> ▪ Polygraphs Completed 	2	24	97

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG ADULT OFFENDERS	Number of Offenders	% of the 32 Offenders Who Missed Polygraph Appt.
Financial Reason	17	53%
No Show / Late to Appt.	5	16%
Parole Agent Error (Agent Failed to Give Appt Letter to Offender – 2 Offenders)	2	6%
Containment Team Decision to Cancel and/or Reschedule the Exam (Exam Rescheduled to an Earlier Date – 2 Offenders)	2	6%
Polygraph Examiner Opted Not to Conduct Exam (Offender Was Deemed Unfit to Test Due to Heart Issues; Offender Was Deemed Unfit to Test Due to High Blood Pressure)	2	6%
Offender Transferred to a Different Parole District	2	6%
District Re-Entry and Resource Coordinator (DRRC) Error (Offender Was Provided the Wrong Information About the Amount He Was to Pay for His Exam. This Was Because DRRC Mistakenly Considered Offender to be an In-State Offender When He Was Out-of-State. Exam Was Re-Scheduled to a Later Date to Allow Offender Time to Generate the Additional Amount for His Exam.)	1	3%
INSOMM Program Administrative Staff Scheduling Error	1	3%
Total	32	100%

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG JUVENILE OFFENDERS	Number of Offenders	% of the Offenders Who Missed Polygraph Appt.
Containment Team Decision to Cancel and/or Reschedule the Exam (Exam Rescheduled to an Earlier Date)	1	100%
Total	1	100%

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since Program Inception
Offenders Reviewed in Containment Meetings	378	4,550	32,923

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 7/09	Total Since Program Inception
Offenders Reviewed in Containment Meetings	3	211	759

ONE-TIME TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/08 – 7/09
In-Service Training on Various Aspects of Community Supervision For Staff Members at an INSOMM Program Network Treatment Provider Agency <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	1 9	1 9
Training for INSOMM Program Counselors at NCCF on Use of the Adult Program Management Screen in OIS <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 8
Training for Hamilton County Community Corrections Staff Providing an Overview of the INSOMM Program and Risk Assessment Tools for Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 15
Training for GEO Staff at NCCF Providing an Overview of the INSOMM Program <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	6 500
HIPPA Compliance Training for All INSOMM Program Staff <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 35
Training for IDOC Staff and Other Contractual Employees at MCF Providing an Overview of the INSOMM Program <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 40

RECURRENT TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/08 – 7/09
INSOMM Program Annual Training Conference <ul style="list-style-type: none"> ▪ Number of Meetings (June 29th and 30th) ▪ Number of Participants 	0 0	2 144
In-Service Training For INSOMM Program Clinical Staff on Treatment and Assessment Issues <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	2 18
IDOC New Employee Orientation Training <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	2 17
Parole Agent Training For The Supervision of Adult and Juvenile Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	3 22

III. QUALITY IMPROVEMENT

During the past month, efforts to improve the quality of services provided by the INSOMM Program have focused most heavily on the following areas:

- **Community Treatment Quality Assurance** – The INSOMM Program was originally scheduled to conduct quality assurance audits on July 23, 2009 with two of its Network Treatment Provider Agencies – one in Fort Wayne, and one in Syracuse. However, due to a scheduling conflict at one of these two agencies, these audits were rescheduled to occur on August 13th, 2009. The INSOMM Program is also scheduled to conduct a Network Treatment Provider Quality Assurance Audit at an agency in Muncie on August 31, 2009. Additionally, arrangements were made to conduct a follow-up audit on August 6, 2009 with a Network Treatment Provider who previously received an “Unacceptable” rating due to deficiencies in documentation.
- **Polygraph Quality Assurance** – In late July, three polygraph examinations conducted by INSOMM Program Network Polygraph Examiners were randomly selected to be audited during the fourth quarter of this fiscal year. Letters were sent to the polygraph examiners who conducted the selected exams to request that they submit all needed materials for auditing within 10 business days.
- **Annual Aggregate Recidivism Analyses** – Efforts continued in July to update the INSOMM Program aggregate recidivism database. This database includes all INSOMM Program offenders who have been released to parole since 1999 through the end of calendar year 2008. Updated data pertaining to each offender’s conviction history since their institutional release will continue to be gathered across the next several months. Once data collection is complete, updated aggregate recidivism analyses will be conducted. Results from these analyses will be reported in the INSOMM Program Annual Report to be released in November 2009.

IV. PERSONNEL / HUMAN RESOURCES

There are currently two INSOMM Program counselor vacancies: a Counselor 1 position remains open at New Castle Correctional Facility, and a Counselor 1 position is currently vacant at Pendleton Juvenile Correctional Facility. The INSOMM Program is pleased to announce that it has filled the District Coordinator position in Parole District 6. Kristy Hite will begin working with the INSOMM Program in Parole District 6 on August 17, 2009. Interviewing for vacant INSOMM Program positions will continue in the month of August, and it is anticipated that all vacant positions will be filled in September.

V. GOALS AND UPCOMING EVENTS

Goals for the month of August, 2009 include the following:

- Recruit for, interview, and fill all open INSOMM Program staff positions.
- Schedule Phase III Network Treatment Provider audits to be conducted in September of this year.
- Provide policy and procedure, informed consent, and related documentation regarding the implementation and use of the PPG at New Castle to IDOC personnel for review and approval.

Upcoming events for the INSOMM Program include:

- The third "INSOMM Program Phase I and II Summit" is scheduled for Wednesday August 5, 2009. The summit will provide training to INSOMM Program assessment and clinical staff regarding secondary trauma and treating sex offender "special populations". The summit will also allow for initial discussion of the new Phase II treatment program that is scheduled for implementation later this year.
- INSOMM Program Director Adam Deming will present at the American Correctional Association 139th Congress of Correction in Nashville, Tennessee on Tuesday August 11, 2009. Dr. Deming will present information regarding the use of best practices in the assessment, treatment, and community supervision of sexual offenders.
- An INSOMM Program Management Committee Meeting is scheduled for Tuesday September 8, 2009 at 10am. The meeting will be held at the Indiana Government Center. The room is to be announced.