



Liberty Behavioral Health Corporation Indiana Sex Offender Management and Monitoring Program Monthly Utilization and Status Report

June 30, 2009

I. EXECUTIVE SUMMARY

Following an evaluation of the Sex Offender Treatment and Education Program (STEP) at Pendleton Juvenile Correctional Facility, INSOMM Program Director Adam Deming, and INSOMM Clinical Director Cari Rezman, presented the findings of their evaluation to Department of Correction administrative staff on June 4, 2009. A full report and completed evaluation tool were provided to Department of Correction personnel. In summary, the evaluation noted several strengths, and also made some recommendations for enhancement of the STEP. The results of the evaluation were also presented to Pendleton Juvenile Correctional Facility administrators at a second meeting on June 8, 2009.

On Friday June 12, 2009, INSOMM Program Counselor 2, Sarah Keller, was the victim of a physical assault, perpetrated by an offender in custody, at the New Castle Correctional Facility. Ms. Keller was violently attacked in the women's bathroom. As a result of this assault, The GEO Group, a private company that operates the New Castle facility, is currently reviewing safety and security protocols there. Ms. Keller returned to work on June 22, 2009.

An INSOMM Program Management Committee Meeting was held on Tuesday June 16, 2009 at 10am. Final decisions were made regarding the flagging system for identifying INSOMM offenders. It was also decided that the current Assessment Specialist position at the Reception and Diagnostic Center would be moved to the New Castle Correctional Facility. Committee members were notified that the parole agent training originally scheduled for July has been cancelled.

The second in a series of clinical staff "summit" meetings was held at the INSOMM Program main office on Wednesday June 17, 2009. The purpose of these meetings is to provide training to INSOMM Program staff regarding current practices in assessing and treating sexual offenders, to discuss special issues in the field, and to ensure all counseling staff have a unified understanding of the goals and processes for providing Phase I and II INSOMM Program services.

The 8th Annual INSOMM Program Training Conference was held on June 29 and 30, 2009, at the Indianapolis Hilton. The conference began with a keynote address by Indiana Department of Correction Commissioner Edwin Buss. The featured speaker for the conference, Dr. Pamela Yates, spoke on Monday June 29 about the use of the Self Regulation Model and the Good Lives Model as they relate to offense pathways and providing approach goals in the treatment of sexual offenders. On Tuesday June 30, INSOMM Program Director Adam Deming provided a plenary session relating to use of evidence based practices with sexual offenders. A morning break out session featured parole agent Bob Hays discussing an offender accountability workbook he developed; Mr. Brent Myers provided a legislative update; and INSOMM Program Phase III therapists from Creative Interventions Counseling provided a workshop on a unique therapeutic approach they use with sexual offenders. On Tuesday afternoon, Mr. George Baranowski provided information regarding the critical role of polygraph examination in the treatment of sexual offenders. One hundred forty four persons attended the two day training conference, and attendee evaluations of the speakers and the venue were very positive.

II. CONTRACT DELIVERABLES AND PERFORMANCE INDICATORS

A. Phases I and II and Re-Entry – Facility Based Services

SCREENING AND ASSESSMENT FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since 07/06
Number of Static-99's Completed			
▪ Low Risk	22	279	1,089
▪ Medium Risk	43	388	1,796
▪ High Risk	31	283	1,386
▪ Total	96	950	4,271
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	72	772	4,182
▪ Number Refusing Treatment	3*	17	95
▪ Total Screened	75	789	4,277

*Two offenders refused group expressing their desire and intent to “max out” their time and one offender was screened for return to group 60 days after being removed for non-compliance with group requirements. This latter offender remained non-compliant at this screening. Code 116 conduct reports were written on all three of these offenders for failure to participate in mandatory facility-based treatment.

SCREENING AND ASSESSMENT FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since 10/07
Number of Assessments Completed	3	21	25
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	4	23	50
▪ Number Refusing Treatment	0	2	2
▪ Total Screened	4	25	52

FACILITY-BASED POLYGRAPH TESTING FOR ADULT MALE OFFENDERS								
		TEST TYPE			TESTS COMPLETED		OTHERS REFERRED FOR TESTING BUT TESTS NOT COMPLETED	
Facility	Outcome	Sexual Offense History	Instant Offense	Special Issues	Total This Month	Fiscal Year Total to Date 10/08 – 6/09	Total This Month	Fiscal Year Total to Date 10/08 – 6/09
NCCF	NDI	0	0	0	0	0		
	DI	0	4	0	4	15		
	Inconclusive	0	0	0	0	1		
	Total	0	4	0	4	16	0	0
Other Facilities	NDI	0	0	0	0	0		
	DI	0	0	0	0	7		
	Inconclusive	0	0	0	0	0		
	Total	0	0	0	0	7	0	3

NDI = Non-Deception Indicated; DI = Deception Indicated

RISK-BASED TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since 07/06
Number of Offenders Initiating, but Failing to Complete Treatment <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	0 6 1 7**	101 101 93 295	213 439 432 1,084
Number of Offenders Currently Enrolled <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	0 212 120 332		
Number of Successful Completers <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	0 10 16 26	63 120*** 55*** 238	300 404*** 239*** 943

**Four of these offenders were removed for behavioral problems (failure to meaningfully participate), and one refused to continue / quit. Code 116 conduct reports were written on these three offenders for failure to participate in mandatory facility-based treatment. One additional offender failed to complete treatment due to early release to the community and one was removed due to placement in segregation.

***An error was detected in the May Monthly Report in which 5 High Risk offenders who successfully completed treatment were erroneously listed as Medium Risk offenders who successfully completed treatment. Totals have been adjusted here to reflect the correction from this error.

RE-ENTRY UNIT TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since 01/08
Number of Offenders Initiating, but Failing to Successfully Participate in Re-Entry Unit Treatment	3#	15	20
Number of Offenders Currently Enrolled	71		
Number of Offenders Who Released to the Community After Successfully Participating in Re-Entry Unit Treatment	9	188	477

#Two offenders were removed for behavioral problems (failure to meaningfully participate). A Code 116 conduct report was written on this offender for failure to participate in mandatory facility-based treatment. One offender was released early to the community.

TREATMENT PROGRAMMING FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since 10/07
Number of Offenders Initiating, but Failing to Successfully Participate in Treatment	0	1	5
Number of Offenders Currently Enrolled	29		
Number of Offenders Who Released to the Community After Successfully Participating in Treatment	0	5	25

TREATMENT PROGRAMMING FOR JUVENILE MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 1/09 – 6/09	Total Since 1/09
Number of Offenders Initiating, but Failing to Successfully Participate in Treatment	0	17	17
Number of Offenders Currently Enrolled	43		
Number of Offenders Who Released to the Community After Successfully Participating in Treatment	4	27	27

INDIVIDUAL THERAPY SESSIONS FOR JUVENILE MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 1/09 – 6/09	Total Since 1/09
Number of Individual Therapy Sessions Conducted	51	232	232

TREATMENT GROUPS COMPLETED / CANCELLED (New Castle, Rockville, and Pendleton Juvenile Correctional Facilities)	Total Groups Run this Month	Total Groups Cancelled This Month	Total Groups Scheduled This Month
Number of Groups	390	152	542
% of This Month's Scheduled Groups	72%	28%	100%

REASONS FOR CANCELLED TREATMENT GROUPS	Number Of Groups	% of the 152 Cancelled Groups
Group Counselor Receiving Training	95	63%
Group Counselor on Paid Time Off	38	25%
Group Counselor on Administrative Leave After Being Assaulted by an Offender	9	6%
Facility / Institutional Reason (No Movement – 5 Groups; Group Counselor Attending Critical Incident Debriefing – 1 Group)	6	4%
Group Counselor Working on Paperwork	2	1%
Group Counselor Providing Training and Orientation for New INSOMM Program Counselor	1	1%
Group Counselor Meeting With Applicant For Open INSOMM Program Counselor Position	1	1%
Total	152	100%

RE-ENTRY PROGRAMMING FOR ADULT MALE OFFENDERS						
	PAROLE EDUCATION AND COMMUNITY PREPARATION CLASS			SEX OFFENDER REGISTRATION EDUCATION CLASS		
Facility	Total This Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since 01/06	Total This Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since 09/06
NCCF	34	131	131	34	34	34
Other Facilities	0	178	2,671	0	143	1,772
Total	34	309	2,802	34	177	1,806

PLACEMENT AND RE-ENTRY CASE MANAGEMENT FOR ADULT MALE OFFENDERS			
NUMBER OF OFFENDERS SEEN FOR INDIVIDUAL CASE MANAGEMENT			
Facility	Total This Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since 02/09
NCCF	54	206	206

RE-ENTRY PROGRAMMING FOR ADULT FEMALE OFFENDERS						
	PAROLE EDUCATION AND COMMUNITY PREPARATION CLASS			SEX OFFENDER REGISTRATION EDUCATION		
Facility	Total This Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since 10/07	Total This Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since 10/07
RCF	0	0	10	0	0	21
Other Facilities	0	0	0	0	0	0
Total	0	0	10	0	0	21

B. Phase III - Community-Based Services

PAROLE TREATMENT PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Number of Offenders
Number of Current INSOMM Adult Parolees Not Enrolled in Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 2 3 15 15 35
Number of Current INSOMM Adult Parolees Enrolled in Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 61 143 244 173 622
Number of Current INSOMM Adult Parolees Who Have Successfully Completed Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 0 10 5 2 17
Total Current INSOMM Adult Parolees	674

PAROLE TREATMENT PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Number of Offenders
Number of Current INSOMM Juvenile Parolees Not Enrolled in Community-Based Treatment	0
Number of Current INSOMM Juvenile Parolees Enrolled in Community-Based Treatment	17
Number of Current INSOMM Juvenile Parolees Who Have Successfully Completed Community-Based Treatment	0
Total Current INSOMM Juvenile Parolees	17

REASONS FOR NOT BEING IN TREATMENT AMONG ADULT OFFENDERS	Number Of Offenders	% of the 35 Offenders Not In Treatment
Pending Charge / Jail Detainer / Outstanding Warrant / Delinquent / Incarcerated on VOP	10	29%
Not Compliant with Treatment Requirements	8	23%
Unable to Benefit From Treatment (Due To Mental Status / Cognitive Deficits)	3	9%
Medical Reasons	3	9%
No Parole Stipulations	2	6%
Short Parole	2	6%
Financially Unable to Pay or Suspended from Treatment Due to Prior Non-Payment for Services	2	6%
Agent and Therapist Decision to Suspend Offender From Treatment Until He Completes Substance Abuse Treatment	2	6%
Transportation Problems	1	3%
Offender in Mental Health Facility for Stress-Related Issues	1	3%
Offender Experiencing Mental Health-Related Issues and Suspended from Treatment Due to Prior Non-Payment for Services	1	3%
Total	35	100%

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since Program Inception
Total Polygraphs Scheduled	134	1,243	
<ul style="list-style-type: none"> ▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation) 	30	263	
<ul style="list-style-type: none"> ▪ Polygraphs Cancelled (See Reasons Below) 	36	372	
<ul style="list-style-type: none"> ▪ Polygraphs Completed 	68	608	5,726

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since Program Inception
Total Polygraphs Scheduled	10	89	
<ul style="list-style-type: none"> ▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation) 	1	16	
<ul style="list-style-type: none"> ▪ Polygraphs Cancelled (See Reasons Below) 	8	51	
<ul style="list-style-type: none"> ▪ Polygraphs Completed 	1	22	95

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG ADULT OFFENDERS	Number of Offenders	% of the 36 Offenders Who Missed Polygraph Appt.
Financial Reason	16	44%
No Show / Late to Appt.	4	11%
Parole Agent Error (Agent Failed to Give Appt Letter to Offender – 4 Offenders)	4	11%
Containment Team Decision to Cancel and/or Reschedule the Exam (Exam Rescheduled to an Earlier Date – 2 Offenders; Exam Scheduled Too Close to Offender's Anticipated Discharge Date)	3	8%
Polygraph Examiner Cancelled (Examiner Had a Scheduling Conflict; Examiner Was Ill)	2	6%
District Re-Entry and Resource Coordinator (DRRC) Decision to Cancel and/or Reschedule the Exam (Exam Rescheduled to a Later Date Because Offender Released From Incarceration Later Than Anticipated; Offender Will Be Supervised by Madison County Community Corrections)	2	6%
Polygraph Examiner Opted Not to Conduct Exam (Offender Was Ill)	1	3%
Parole Agent Decision to Cancel and/or Reschedule the Exam (Exam Rescheduled to an Earlier Date)	1	3%
Medical Reasons	1	3%
Transportation Problems	1	3%
Offender Not Given Date and Time of Exam Due to Miscommunication Between DRRC, Parole Agent, and Polygraph Examiner	1	3%
Total	36	100%

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG JUVENILE OFFENDERS	Number of Offenders	% of the 8 Offenders Who Missed Polygraph Appt.
District Re-Entry and Resource Coordinator (DRRC) Decision to Cancel and/or Reschedule Exam (Exam Cancelled Due to Impending IDOC Policy Changes Pertaining to Juvenile Offenders)	8	100%
Total	8	100%

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since Program Inception
Offenders Reviewed in Containment Meetings	386	4,172	32,545

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/08 – 6/09	Total Since Program Inception
Offenders Reviewed in Containment Meetings	5	208	756

ONE-TIME TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/08 – 6/09
Training for INSOMM Program Counselors at NCCF on Use of the Adult Program Management Screen in OIS <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 8
Training for Hamilton County Community Corrections Staff Providing an Overview of the INSOMM Program and Risk Assessment Tools for Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 15
Training for GEO Staff at NCCF Providing an Overview of the INSOMM Program <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	6 500
HIPPA Compliance Training for All INSOMM Program Staff <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 35
Training for IDOC Staff and Other Contractual Employees at MCF Providing an Overview of the INSOMM Program <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 40

RECURRENT TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/08 – 6/09
INSOMM Program Annual Training Conference <ul style="list-style-type: none"> ▪ Number of Meetings (June 29th and 30th) ▪ Number of Participants 	2 144	2 144
In-Service Training For INSOMM Program Clinical Staff on Treatment and Assessment Issues <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	2 18
IDOC New Employee Orientation Training <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	2 17
Parole Agent Training For The Supervision of Adult and Juvenile Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	3 22

III. QUALITY IMPROVEMENT

During the past month, efforts to improve the quality of services provided by the INSOMM Program have focused most heavily on the following areas:

- **Community Treatment Quality Assurance** – The INSOMM Program conducted quality assurance audits on two Network Treatment Provider Agencies in Fort Wayne on June 22nd. Results from the audits at both agencies were rated as Provisionally Acceptable. The primary feedback that was given emphasized the need to ensure that (a) Initial Evaluation Reports, Bi-Annual Progress Reports, and Discharge Summaries are submitted to the INSOMM Program main office in a timely fashion, and (b) all agency files contain progress notes that are written by the provider and document relevant aspects of offender participation after each group session. The next Network Treatment Provider Quality Assurance Audits are scheduled to occur at agencies in Fort Wayne and Syracuse on July 23, 2009.
- **Annual Aggregate Recidivism Analyses** – Efforts continued in June to update the INSOMM Program aggregate recidivism database. This database includes all INSOMM Program offenders who have been released to parole since 1999 through the end of calendar year 2008. Updated data pertaining to each offender's conviction history since their institutional release will continue to be gathered across the next several months. Once data collection is complete, updated aggregate recidivism analyses will be conducted. Results from these analyses will be reported in the INSOMM Program Annual Report to be released in November 2009.
- **3rd Quarter Quality Assurance / Quality Improvement (QA/QI) Committee Meeting**
The INSOMM Program Quality Assurance / Quality Improvement QA/QI Committee met on June 12th to discuss a number of quality assurance related issues. Three main topics were discussed at the meeting. One of these topics was planning INSOMM Program Network Treatment Provider Audits for the remainder of Fiscal Year 2008 – 2009. Locations of agencies that have yet to be audited were considered and a plan for which agencies to target each month was developed. A second topic that was addressed was the INSOMM Program Policy and Procedure Committee. The need for the committee to make updates and new additions to the INSOMM Program Policy and Procedure Manual was discussed, as well as the need for a system to track review of these policies by INSOMM Program staff. Plans were made for the committee to begin meeting on a more regular basis again. The final topic addressed was the status of INSOMM Program recidivism projects. First, an update on the Annual Aggregate Recidivism Analyses was provided (see preceding paragraph). Second, discussion focused on a potential timeline to begin collecting and entering data for the new recidivism database that was developed earlier this year. The current target month to initiate these procedures was set as October, 2009.

IV. PERSONNEL / HUMAN RESOURCES

The INSOMM Program is pleased to announce that it has hired Michael Shrettenbrunner, who will begin as a Counselor 1 at New Castle on August 24, 2009. This leaves only one INSOMM Program Counselor vacancy at the New Castle Correctional Facility. Tamara Redman resigned her position as a District Coordinator in Parole District 6 in June. As a result, there is currently a vacancy for this position. Interviewing for both the vacant Counselor 1 position at New Castle and the District Coordinator position in PD 6 will continue in the month of July.

V. GOALS AND UPCOMING EVENTS

Goals for the month of July, 2009 include the following:

- Recruit for, interview, and fill all open INSOMM Program staff positions.
- Schedule Phase III Network Treatment Provider audits to be conducted in August of this year.
- Begin development of policies and procedures, clinical protocols, and forms to be used in the administration of the PPG at New Castle Correctional Facility.

Upcoming events for the INSOMM Program include:

- A Polygraph Subcommittee Meeting is scheduled for Monday July 13 at the INSOMM Program main office.
- An INSOMM Program Management Committee Meeting is scheduled for Tuesday July 14, 2009 at 10am. The meeting will be held at the INSOMM Program main office.