



Liberty Behavioral Health Corporation Indiana Sex Offender Management and Monitoring Program Monthly Utilization and Status Report

June 30, 2008

I. EXECUTIVE SUMMARY

An INSOMM Program Management Committee Meeting was held on June 12, 2008. The majority of the meeting time was used to discuss the issue of how to ensure sex offenders are provided adequate treatment prior to their receiving time cuts as the result of participating in time cut programs (e.g., educational programs, substance abuse treatment). The value of these time cut programs for sex offenders, and the definitions of "treatment completion" and "treatment participation" were addressed. The administrative issues that would be involved in keeping track of deferring time cuts until offenders completed the INSOMM Program was also discussed. Following much discussion it was tentatively agreed that sex offenders could be adequately treated within the INSOMM Program, even after participating in time cut programs.

The Indiana Department of Correction held its "Unlock the Mystery" National Mental Health Conference on June 23, 24, and 25, 2008. The conference was an overwhelming success and featured speakers from around the United States, including several INSOMM Program staff members that presented information relating to the INSOMM Program and the management and treatment of sexual offenders. Additionally, several Liberty Behavioral Health Corporation staff members from around the United States and Bermuda presented at the conference on a variety of clinical issues relating to correctional mental health, substance abuse, and sex offender treatment.

Dr. Deming and Ms. Gharst continue to meet with INSOMM Program Phase III Network Treatment Providers throughout the state. On June 3, 2008 they traveled to Muncie, Indiana to meet with a treatment provider interested in joining the INSOMM Treatment Provider Network, and on June 10, 2008 they traveled to Evansville, Indiana to meet with a prospective treatment provider. Dr. Deming and Ms. Gharst are continually looking to expand the network of qualified and credentialed Phase III treatment providers, particularly in areas of the state where treatment providers are scarce.

II. CONTRACT DELIVERABLES AND PERFORMANCE INDICATORS

A. Phases I and II and Re-Entry – Facility Based Services

SCREENING AND ASSESSMENT FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since 07/06
Number of Static-99's Completed			
▪ Low Risk	20	519	765
▪ Medium Risk	49	872	1,301
▪ High Risk	36	700	1,020
▪ Total	105	2,091	3,086
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	67	1,366	2,990
▪ Number Refusing Treatment	2*	40	57
▪ Total Screened	69	1,406	3,047

*Of these 2 offenders, one expressed concerns about group interfering with his education classes. The other indicated that he "just doesn't want to participate." Code 116 conduct reports were written on these two offenders for failure to participate in mandatory facility-based treatment.

SCREENING AND ASSESSMENT FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since 10/07
Number of Assessments Completed	1	1	1
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	0	10	10
▪ Number Refusing Treatment	0	0	0
▪ Total Screened	0	10	10

FACILITY-BASED POLYGRAPH TESTING FOR ADULT MALE OFFENDERS								
Facility	Outcome	TEST TYPE			TESTS COMPLETED		OTHERS REFERRED FOR TESTING BUT TESTS NOT COMPLETED	
		Sexual Offense History	Instant Offense	Special Issues	Total This Month	Fiscal Year Total to Date 01/08 – 06/08	Total This Month	Fiscal Year Total to Date 01/08 – 06/08
MCF	NDI	0	0	0	0	0		
	DI	0	4	0	4	31		
	Inconclusive	0	0	0	0	0		
	Total	0	4	0	4	31	0	6
PCF	NDI	0	0	0	0	1		
	DI	0	5	0	5	36		
	Inconclusive	0	0	0	0	2		
	Total	0	5	0	5	39	1**	7

NDI = Non-Deception Indicated; DI = Deception Indicated

**One test scheduled at PCF was not completed as one offender refused to take the exam.

RISK-BASED TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since 07/06
Number of Offenders Initiating, but Failing to Complete Treatment <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	0 5 7 12***	43 109 102 254	88 296 262 646
Number of Offenders Currently Enrolled <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	216 320 257 793		
Number of Successful Completers <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	14 52 1 67	76 155 83 314	184 260 131 575

***Eight of these offenders moved to the Re-Entry Unit at PCF before completing risk-based treatment, one failed to complete treatment due to early release to the community, one was released to the court system, and two were removed after denying their offenses and refusing to take a polygraph exam. Code 116 conduct reports were written on these latter two offenders for failure to participate in mandatory facility-based treatment.

RE-ENTRY UNIT TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS AT PCF	Total this Month	Fiscal Year Total to Date 01/08 – 06/08	Total Since 01/08
Number of Offenders Initiating, but Failing to Successfully Participate in Re-Entry Unit Treatment	0	1	1
Number of Offenders Currently Enrolled	230		
Number of Offenders Who Released to the Community After Successfully Participating in Re-Entry Unit Treatment	20	157	257

TIME-LIMITED TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since 08/07
Number of Offenders Initiating, but Failing to Successfully Participate in Time-Limited Treatment	0	0	0
Number of Offenders Currently Enrolled	0		
Number of Offenders Who Released to the Community After Successfully Participating in Time-Limited Treatment	0	124	156

TREATMENT PROGRAMMING FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since 10/07
Number of Offenders Initiating, but Failing to Successfully Participate in Treatment	0	1	1
Number of Offenders Currently Enrolled	22		
Number of Offenders Who Released to the Community After Successfully Participating in Treatment	2	15	15

TREATMENT GROUPS COMPLETED / CANCELLED (Miami, Plainfield, and Rockville Correctional Facilities)	Total Groups Run this Month	Total Groups Cancelled This Month	Total Groups Scheduled This Month
Number of Groups	624	74	698
% of This Month's Scheduled Groups	89%	11%	100%

REASONS FOR CANCELLED TREATMENT GROUPS	Number Of Groups	% of the 74 Cancelled Groups
Group Counselor on Paid Time Off	47	64%
Facility / Institutional Reason (Offender Assembly – 10 Groups; No Movement – 5 Groups; Group Counselor Attending Department Head's Meeting – 2 Groups)	17	23%
Group Counselor Attending INSOMM Program Management Committee Meeting	2	3%
Group Counselor Meeting With INSOMM Program Director to Discuss Re-Entry at Plainfield	2	3%
Group Counselor Working to Fix Computer Problems	2	3%
Scheduled Start Date for Hearing-Impaired Group Was Cancelled and Rescheduled For a Later Date While INSOMM Program Administrators Considered Various Planning-Related Issues Pertaining to This Specific Group	1	1%
Group Counselor Providing Training	1	1%
Group Counselor Working on Paperwork	1	1%
Group Counselor Error (Counselor Forgot to Submit Count Letter)	1	1%
Total	74	100%

RE-ENTRY PROGRAMMING FOR ADULT MALE OFFENDERS						
	PAROLE PLACEMENT AND EDUCATION			SEX OFFENDER REGISTRATION EDUCATION		
Facility	Total This Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since 01/06	Total This Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since 09/06
MCF	20#	148	787	0	96	609
PCF	39	301	914	0	305	909
Other Facilities	0	0	628	0	0	2
Total	59	449	2,329	0	401	1,520

In addition to the 20 offenders who received standard Parole Placement and Education classes at MCF, one offender in segregation was provided with a folder of information pertaining to community placement.

RE-ENTRY PROGRAMMING FOR ADULT FEMALE OFFENDERS						
	PAROLE PLACEMENT AND EDUCATION			SEX OFFENDER REGISTRATION EDUCATION		
Facility	Total This Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since 10/07	Total This Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since 10/07
RCF	0	10	10	0	21	21
Other Facilities	0	0	0	0	0	0
Total	0	10	10	0	21	21

B. Phase III - Community-Based Services

PAROLE TREATMENT PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Number of Offenders
Number of Current INSOMM Adult Parolees Not Enrolled in Community-Based Treatment	
▪ Unknown Risk	3
▪ Low Risk	5
▪ Medium Risk	21
▪ High Risk	11
▪ Total	40
Number of Current INSOMM Adult Parolees Enrolled in Community-Based Treatment	
▪ Unknown Risk	47
▪ Low Risk	153
▪ Medium Risk	234
▪ High Risk	207
▪ Total	641
Number of Current INSOMM Adult Parolees Who Have Successfully Completed Community-Based Treatment	
▪ Unknown Risk	0
▪ Low Risk	6
▪ Medium Risk	3
▪ High Risk	1
▪ Total	10
Total Current INSOMM Adult Parolees	691

PAROLE TREATMENT PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Number of Offenders
Number of Current INSOMM Juvenile Parolees Not Enrolled in Community-Based Treatment	0
Number of Current INSOMM Juvenile Parolees Enrolled in Community-Based Treatment	45
Number of Current INSOMM Juvenile Parolees Who Have Successfully Completed Community-Based Treatment	0
Total Current INSOMM Juvenile Parolees	45

REASONS FOR NOT BEING IN TREATMENT AMONG ADULT OFFENDERS	Number Of Offenders	% of the 40 Offenders Not In Treatment
Pending Charge / Jail Detainer / Outstanding Warrant / Delinquent / Incarcerated on VOP	9	23%
Not Compliant with Treatment Requirements	6	15%
Medical Reasons	5	13%
Unable to Benefit From Treatment (Due To Mental Status / Cognitive Deficits)	4	10%
No Parole Stipulations	3	8%
Transportation Problems	3	8%
Financially Unable to Pay or Suspended from Treatment Due to Prior Non-Payment for Services	2	5%
Agent Decision to Not Refer For Treatment Due to Short Parole and Other Factors	2	5%
Agent Reports That Offender Cannot Be Located - Agent is Planning to File VOP But Has Not Done So Yet	1	3%
Agent Has Instructed Offender to Pay \$60.00 for Initial Intake Within Four Weeks of Institutional Release Before Initial Treatment Appointment is Scheduled	1	3%
Parole Agent Error – Agent Did Not Provide Offender With Appointment Letter and Follow-up Has Not Yet Occurred Due to Transition to New Agent	1	3%
Offender is in Work Release Program That Precludes Treatment Participation at This Time	1	3%
Offender's Treatment Provider Discharged Him From Treatment After Determining That He Had Achieved the Maximum Benefit He Can Gain From Treatment, Despite Not Having Completed All Aspects of Treatment Program That She Provides	1	3%
No Treatment Providers in Offender's Area of Residence Are Willing to Work With Him Due to Offender's Past Problematic Behaviors and Litigious Tendencies	1	3%
Total	40	100%

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since Program Inception
Total Polygraphs Scheduled	122	1,206	
<ul style="list-style-type: none"> ▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation) 	20	251	
<ul style="list-style-type: none"> ▪ Polygraphs Cancelled (See Reasons Below) 	38	361	
<ul style="list-style-type: none"> ▪ Polygraphs Completed 	64	594	4,472

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since Program Inception
Total Polygraphs Scheduled	11	96	
<ul style="list-style-type: none"> ▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation) 	4	23	
<ul style="list-style-type: none"> ▪ Polygraphs Cancelled (See Reasons Below) 	4	30	
<ul style="list-style-type: none"> ▪ Polygraphs Completed 	3	43	68

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG ADULT OFFENDERS	Number of Offenders	% of the 38 Offenders Who Missed Polygraph Appt.
Financial Reason	14	37%
No Show / Late to Appt.	5	13%
Containment Team Decision to Cancel and/or Reschedule the Exam (Team Requested That Exam Be Rescheduled to an Earlier Date – 2 Offenders; Exam Scheduled Too Close to Offender's Anticipated Discharge Date)	3	8%
Polygraph Examiner Opted Not to Conduct Exam – (Offender Was Sleep Deprived; Offender Was Displaying Significant Symptoms Related to His Tourette's Syndrome; Offender Was Uncooperative With Testing Procedures – Refused to Submit to the Exam)	3	8%
Polygraph Examiner Cancelled (Examiner Had a Scheduling Conflict – 2 Offenders; Examiner Had a Death in the Family)	3	8%
INSOMM Program Administrative Error (Referral Letter Instructed Offender to Send Co-Payment to the Wrong Address – 2 Offenders; Exam Was Scheduled for the Wrong Date)	3	8%
Parole Agent Decision to Cancel and/or Reschedule Exam (Exam Was Rescheduled to a Different Date to Avoid Conflict With Offender's Work Schedule; Exam Was Scheduled Too Close to Offender's Anticipated Discharge Date)	2	5%
Transportation Problems	2	5%
Parole Agent Error (Agent Failed to Give Referral Letter to Offender)	1	3%
Medical Reasons	1	3%
Offender Transferred to a Different Parole District	1	3%
Total	38	100%

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG JUVENILE OFFENDERS	Number of Offenders	% of the 4 Offenders Who Missed Polygraph Appt.
Containment Team Decision to Cancel and/or Reschedule the Exam (Team Requested That Exam Be Rescheduled to an Earlier Date)	1	25%
Therapist Decision to Cancel and/or Reschedule Exam (Offender Was Scheduled to Take GED on Date of Scheduled Exam)	1	25%
Parole Agent Determined Offender Is Not an INSOMM Offender	1	25%
District Re-Entry and Resource Coordinator (DRRC) Decision to Cancel and/or Reschedule Exam (DRRC Thought Offender Would be Affected by Pending Changes Within IDOC. He Was Not Affected by These Changes and Exam Was Rescheduled.)	1	25%
Total	4	100%

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since Program Inception
Offenders Reviewed in Containment Meetings	450	4,692	26,681

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 06/08	Total Since Program Inception
Offenders Reviewed in Containment Meetings	20	255	482

RECURRENT TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/07 – 06/08
IDOC New Employee Orientation Training <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	7 94
IDOC Facility Staff Annual In-Service Training Providing an Overview of the INSOMM Program <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 38
Parole Agent Training For The Supervision of Adult Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 15
Parole Agent Training For The Supervision of Juvenile Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 8

ONE-TIME TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/07 – 06/08
Probation Officers' Annual Training Program – Training Provided on Best Practices in Assessment and Treatment of Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 100
Training For District Supervisors on Facility-Based Programming and Community-Based Policies and Procedures <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 25
Training for INSOMM Program Clinical Staff on Managing Treatment-Resistant Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	2 18
Training for Indiana Superior, Circuit, and County Court Judges on Best Practices in the Treatment and Management of Sexual Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 50
Training at the Annual Mental Health in Corrections Consortium (MHCC) Symposium on Implementing Risk-Based Treatment and Management Strategies With Sexual Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 30
Various Trainings Provided at the IDOC National Mental Health Conference, "Unlock the Mystery." <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	5 153	5 153

III. QUALITY IMPROVEMENT

During the past month, efforts to improve the quality of services provided by the INSOMM Program have focused most heavily on the following areas:

- **Monitoring Contract Compliance and Performance Indicators** – Efforts continued in June to prepare for a program review by the IDOC. This involved further gathering and organizing of materials for documenting compliance with items on the newly revised contract monitoring tool. A quarterly QA/QI Committee Meeting was held on June 2, 2008 and was used to inventory these materials and to determine if any additional documents / materials were needed for the program review. A small number of additional items were identified for inclusion in the documentation materials. A plan was generated for gathering these items. Official dates for the IDOC to review the INSOMM Program have not yet been set. The INSOMM Program Management Committee will discuss this at its next meeting on July 28, 2008 and will attempt to schedule the review for some time in August or September, 2008. The INSOMM Program Quality Assurance and Research Coordinator is scheduled to conduct an internal program review on July 21, 2008.
- **Work Task Analyses** - Traditionally each quarter INSOMM Program staff members have completed a one-week work task analysis or "time study" to provide an estimate of their typical workload distribution. The procedures used to conduct these work task analyses were last revised and enhanced during the month of August, 2007. However, there have been a number of changes and enhancements to the INSOMM Program since that time including

SOCAP programming at Plainfield Correctional Facility and the enhancement of Re-Entry and Parole Placement classes at both Plainfield Correctional Facility and Miami Correctional Facility. These program changes created the need to revise the work task analysis procedures once again to include new program tasks and activities. These revisions were made during the month of June and will be reflected on the work task analysis forms that are completed by INSOMM Program employees during the 4th quarter of this fiscal year.

- **INSOMM Program Recidivism Analyses** – Efforts were initiated in March to collect and analyze data from a cohort of INSOMM Program Offenders who released from IDOC facilities in 2004. The primary goal of these efforts is to determine what percentage of those offenders were returned to an IDOC facility within 3 years of their institutional release. The chosen time frame for this analysis is based on the standard IDOC recidivism definition that focuses on the 3-year window following release. The overarching goal of this project is to make future INSOMM Program Outcome Reports more consistent with the format and language used by the IDOC Research and Planning Division in reporting recidivism data. This project remains on-going at this time.

IV. PERSONNEL / HUMAN RESOURCES

The two vacant Counselor 1 positions were filled in the month of June. Hope Hadding is providing services as a Counselor 1 on the Re-Entry Unit at Plainfield Correctional Facility and to female sex offenders at Rockville Correctional Facility, and Dr. Tracey Fintel returned to the Counselor 1 position at Plainfield Correctional Facility.

Currently, the Clinical Director position is the only INSOMM Program staff vacancy. Several interviews are scheduled for this position in the month of July, and it is anticipated that the position will be filled in August.

V. GOALS AND UPCOMING EVENTS

Goals for the month of July, 2008 include the following:

- Recruit for, interview, and fill the Clinical Director position.
- In conjunction with Dr. William Elliott, Director of Mental Health and Behavioral Management, Indiana Department of Correction, set dates for an audit of the INSOMM Program by the Indiana Department of Correction.
- Dr. Deming, INSOMM Program Director, and Melissa Gharst, INSOMM Program Assistant Director, will visit with at least one INSOMM Program Network Treatment Provider agency, at their offices, to review and discuss quality assurance issues.

Upcoming events for the INSOMM Program include:

- The 7th Annual INSOMM Program Training Conference will take place at the Hyatt Regency Hotel in Indianapolis on July 17 and 18, 2008. The featured speakers include David Prescott and Bill Marshall, as well as speakers from the Indiana Department of Correction and the local professional community.
- Parole Agent training relating to the supervision of juvenile sex offenders will be provided at Correctional Training Institute by Juvenile Services Coordinator Amy DuBois on July 9, 2008. Additionally, training relating to the supervision of adult sex offenders will be provided by District Re-Entry and Resource Coordinator's Denise Ackerman and Brian Downs on July 10 and 11, 2008.

- Dr. Todd Casbon, Quality Assurance and Research Coordinator, will conduct an internal audit of the INSOMM Program on July 21, 2008.
- An INSOMM Program Management Committee Meeting is scheduled for Monday July 28, 2008. The meeting will be held at the Indiana Government Center Conference Room 21.