



Liberty Behavioral Health Corporation Indiana Sex Offender Management and Monitoring Program Monthly Utilization and Status Report

March 31, 2008

I. EXECUTIVE SUMMARY

The INSOMM Program hosted a workshop for its Network Polygraph Examiners on March 3, 2008. The featured speaker was Eric Holden, a nationally known and respected polygraph examiner, and a past President of the American Polygraph Association. The workshop covered several important topics, and focused on improving validity and reliability of post conviction polygraph examinations of sexual offenders. INSOMM Program Network Polygraph Examiners, as well as INSOMM Program District Re-Entry and Resource Coordinators, attended the conference. Several attendees reported benefiting from the workshop, and evaluations of the workshop were very positive.

A third and final meeting of the INSOMM Program Network Treatment Provider Quality Assurance Workgroup was held on March 20, 2008. The primary goal of these meetings has been to discuss potential quality improvement initiatives to be implemented in Phase III of the INSOMM Program, and to develop an auditing process to be used with INSOMM Program Network Treatment Providers that will permit the INSOMM Program to assess quality of service provision in Phase III. These meetings have been very productive, and information gathered and discussed during these meetings will be used to improve the quality of Phase III services in several ways. For example, forms for documenting service provision and treatment progress have been updated and improved upon. A system for improving communication between the INSOMM Program and the Network Treatment Providers, and amongst Network Treatment Providers, was discussed and is planned for implementation. Finally, a formal auditing process for reviewing the quality of services being provided to paroled sex offenders participating in Phase III of the INSOMM Program is under development.

An INSOMM Program Management Committee Meeting was held on March 25, 2008. Issues addressed at the meeting included a discussion of options for more accurate reporting of "cancelled" polygraph appointments in Phase III, a directive from Dr. William Elliott, Director of Mental Health and Behavioral Management, relating to the process for managing those offenders who are appealing their sexual offense conviction, a discussion of which sexual offenses qualify as INSOMM Program "target" offenses, and an update from Dr. Tracey Fintel, INSOMM Program Clinical Director, and Kyle Shore, INSOMM Program Team Leader (Plainfield Correctional Facility) regarding the Sex Offender Containment and Accountability Program (SOCAP). Two sub-committees were formed to address issues requiring further investigation and formulation, and they are scheduled to report back to the INSOMM Program Management Committee at the April meeting.

II. CONTRACT DELIVERABLES AND PERFORMANCE INDICATORS

A. Phases I and II and Re-Entry – Facility Based Services

SCREENING AND ASSESSMENT FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since 07/06
Number of Static-99's Completed			
▪ Low Risk	39	449	695
▪ Medium Risk	76	725	1,154
▪ High Risk	53	606	926
▪ Total	168	1,780	2,775
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	160	918	2,542
▪ Number Refusing Treatment	2*	23	40
▪ Total Screened	162	941	2,582

*Of these two offenders, one had refused group treatment during a prior screening and maintained his desire to refuse group, and the other denied being a sex offender. Code 116 conduct reports were written on these two offenders for failure to participate in mandatory facility-based treatment.

SCREENING AND ASSESSMENT FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since 10/07
Number of Assessments Completed	0	0	0
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	0	10	10
▪ Number Refusing Treatment	0	0	0
▪ Total Screened	0	10	10

FACILITY-BASED POLYGRAPH TESTING FOR ADULT MALE OFFENDERS								
		TEST TYPE			TESTS COMPLETED		OTHERS REFERRED FOR TESTING BUT TESTS NOT COMPLETED	
Facility	Outcome	Sexual Offense History	Instant Offense	Special Issues	Total This Month	Fiscal Year Total to Date 01/08 – 03/08	Total This Month	Fiscal Year Total to Date 01/08 – 03/08
MCF	NDI	0	0	0	0	0		
	DI	0	6	0	6	15		
	Inconclusive	0	0	0	0	0		
	Total	0	6	0	6	15	1**	3
PCF	NDI	0	0	0	0	0		
	DI	0	7	0	7	18		
	Inconclusive	0	0	0	0	1		
	Total	0	7	0	7	19	1***	4

NDI = Non-Deception Indicated; DI = Deception Indicated

**One test scheduled at MCF was not administered due to pre-test admissions.

***One test scheduled at PCF was not administered due to pre-test admissions.

RISK-BASED TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since 07/06
Number of Offenders Initiating, but Failing to Complete Treatment <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	8 13 20 41****	26 64 71 161	71 251 231 553
Number of Offenders Currently Enrolled <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	186 363 268 817		
Number of Successful Completers <ul style="list-style-type: none"> ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	18 9 2 29	45 71 58 174	153 176 106 435

****Eighteen of these offenders moved to the Re-Entry Unit at PCF before completing risk-based treatment. Sixteen of these offenders failed to complete treatment due to early release to the community. Three offenders were removed from treatment due to disruptive behavior and/or refusal to do assignments. One offender withdrew from group asserting his innocence and reporting his intention to file an appeal. Code 116 conduct reports were written on these latter four offenders for failure to participate in mandatory facility-based treatment. Two additional offenders were removed from programming, one due to placement in segregation, and the other due to mental illness.

RE-ENTRY UNIT TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS AT PCF	Total this Month	Fiscal Year Total to Date 01/08 – 03/08	Total Since 01/08
Number of Offenders Initiating, but Failing to Successfully Participate in Re-Entry Unit Treatment	0	0	0
Number of Offenders Currently Enrolled	201		
Number of Offenders Who Released to the Community After Successfully Participating in Re-Entry Unit Treatment	45	69	69

TIME-LIMITED TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since 08/07
Number of Offenders Initiating, but Failing to Successfully Participate in Time-Limited Treatment	0	0	0
Number of Offenders Currently Enrolled	0		
Number of Offenders Who Released to the Community After Successfully Participating in Time-Limited Treatment	0	124	156

TREATMENT PROGRAMMING FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since 10/07
Number of Offenders Initiating, but Failing to Successfully Participate in Treatment	0	1	1
Number of Offenders Currently Enrolled	16		
Number of Offenders Who Released to the Community After Successfully Participating in Treatment	0	12	12

TREATMENT GROUPS COMPLETED / CANCELLED (Miami, Plainfield, and Rockville Correctional Facilities)	Total Groups Run this Month	Total Groups Cancelled This Month	Total Groups Scheduled This Month
Number of Groups	467	194	661
% of This Month's Scheduled Groups	71%	29%	100%

REASONS FOR CANCELLED TREATMENT GROUPS	Number Of Groups	% of the 194 Cancelled Groups
Group Counselor on Paid Time Off	78	40%
Facility / Institutional Reason (Power Outage – 19 Groups; No Movement – 12 Groups; Mandatory Attendance at Easter Program – 3 Groups; Group Counselor Attending Department Head's Meeting – 1 Group; Group Counselor Attending Meeting With IDOC Director of Mental and Behavioral Health – 1 Group)	36	19%
Group Counselor Receiving Training	25	13%
Holiday Observed by Liberty Behavioral Health (Good Friday)	22	11%
Group Counselors Working on Paperwork / Group Reconfiguration	22	11%
Group Counselor Providing Training	5	3%
Group Counselor Making Preparations to Start New Group	2	1%
Group Counselor Conducting Sex Offender Registry Classes	2	1%
Group Counselor Meeting With INSOMM Program Director and Clinical Director to Discuss Programming for Female INSOMM Program Offenders	1	0.5%
Group Counselor Error (Counselor Left Her Institution ID at Home and Had to Cancel Group So That She Could Go Home to Obtain the ID)	1	0.5%
Total	194	100%

RE-ENTRY PROGRAMMING FOR ADULT MALE OFFENDERS						
PAROLE PLACEMENT AND EDUCATION				SEX OFFENDER REGISTRATION EDUCATION		
Facility	Total This Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since 01/06	Total This Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since 09/06
MCF	13	97	736	0	96	609
PCF	22	177	790	35	231	835
Other Facilities	0	0	628	0	0	2
Total	35	274	2,154	35	327	1,446

RE-ENTRY PROGRAMMING FOR ADULT FEMALE OFFENDERS						
PAROLE PLACEMENT AND EDUCATION				SEX OFFENDER REGISTRATION EDUCATION		
Facility	Total This Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since 10/07	Total This Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since 10/07
RCF	0	10	10	0	21	21
Other Facilities	0	0	0	0	0	0
Total	0	10	10	0	21	21

B. Phase III - Community-Based Services

PAROLE TREATMENT PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Number of Offenders
Number of Current INSOMM Adult Parolees Not Enrolled in Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 3 5 20 15 43
Number of Current INSOMM Adult Parolees Enrolled in Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 36 142 240 214 632
Number of Current INSOMM Adult Parolees Who Have Successfully Completed Community-Based Treatment <ul style="list-style-type: none"> ▪ Unknown Risk ▪ Low Risk ▪ Medium Risk ▪ High Risk ▪ Total 	 0 3 2 2 7
Total Current INSOMM Adult Parolees	682

PAROLE TREATMENT PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Number of Offenders
Number of Current INSOMM Juvenile Parolees Not Enrolled in Community-Based Treatment	0
Number of Current INSOMM Juvenile Parolees Enrolled in Community-Based Treatment	36
Number of Current INSOMM Juvenile Parolees Who Have Successfully Completed Community-Based Treatment	0
Total Current INSOMM Juvenile Parolees	36

REASONS FOR NOT BEING IN TREATMENT AMONG ADULT OFFENDERS	Number Of Offenders	% of the 43 Offenders Not In Treatment
Pending Charge / Jail Detainer / Outstanding Warrant / Delinquent / Incarcerated on VOP	13	30%
Medical Reasons	8	19%
Not Compliant with Treatment Requirements	7	16%
Unable to Benefit From Treatment (Due To Mental Status / Cognitive Deficits)	4	9%
No Parole Stipulations	3	7%
Transportation Problems	3	7%
Offender Is Hearing Impaired (Interpretive Services Have Been Located; Treatment Appointments Will Be Scheduled in April)	2	5%
Financially Unable to Pay or Suspended from Treatment Due to Prior Non-Payment for Services	1	2%
Short Parole (Offenders on Parole For Only Four Weeks)	1	2%
No Treatment Providers in Offender Area of Residence Are Willing to Work With Him Due to Offender's Past Problematic Behaviors and Litigious Tendencies.	1	2%
Total	43	100%

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since Program Inception
Polygraphs completed	67	380	3,878
Polygraphs cancelled	75	422	

PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since Program Inception
Polygraphs completed	5	29	54
Polygraphs cancelled	3	31	55

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG ADULT OFFENDERS	Number of Offenders	% of the 75 Offenders Who Missed Polygraph Appt.
Incarceration / VOP / Delinquent	25	33%
Financial Reason	14	19%
Containment Team Decision to Cancel and/or Reschedule the Exam – (Team Requested That Exam Be Rescheduled to an Earlier Date – 2 Offenders; Exam Scheduled Too Close to Offender’s Anticipated Discharge Date – 2 Offenders; Exam Cancelled and Rescheduled at a Location Closer to Offender’s Residence; Exam Cancelled and Rescheduled to Avoid Conflict With Offender’s Work Schedule; Sexual History Exam Cancelled and Instant Offense Exam Scheduled In Its Place Due to Offender’s Denial of His Instant Offense)	7	9%
Polygraph Examiner Cancelled (Examiner No Longer Conducting Exams In The Parole District Where Exams Were Scheduled – 4 Offenders; Examiner No Longer Conducting Exams For the INSOMM Program – 2 Offenders)	6	8%
Polygraph Examiner Opted Not to Conduct Exam – (Offender Ill With Coughing from Pneumonia and/or Emphysema – 2 Offenders; Offender Had Several Heart Conditions and Examiner Requested Doctor’s Release Before Testing; Offender Refused to Sign Waiver Agreement; Offender Signed Authorization and Release But Indicated that He Signed Under Duress; Offender Had Aspects of His Legal Case That Were Not Yet Closed and Examiner Is a State Trooper and Cannot Test Any Offender With a Potentially Open Case)	6	8%
Parole Agent Decision to Cancel and/or Reschedule Exam (Rescheduled Exam to an Earlier Date; Rescheduled Exam With a Different Examiner; Rescheduled Exam Due to Death in Offender’s Family; Rescheduled Exam to Avoid a Conflict in Offender’s School Schedule; Offender Who Had Been Convicted in a Jury Trial Had Requested to Take Instant Offense Exam to Demonstrate His Innocence, But Later Changed His Mind)	5	7%
Polygraph Examiner Error (Exam Was Accidentally Scheduled to Occur at Parole Office on Good Friday, But Office Was Scheduled to Be Closed That Day – Rescheduled to a Different Day – 2 Offender; Examiner Forgot to Put Offender on His Schedule)	3	4%
Medical Reasons	2	3%
No Show / Late to Appt.	1	1%
Discharged / Inter-State Transfer	1	1%
Transportation Problems	1	1%
Physiological Charts Were Not Interpretable (Physiological Recordings Were Erratic and Contained Numerous Artifacts Likely Due to Offender’s Recurrent Coughing and Throat Clearing)	1	1%
Offender Was Scheduled for an Instant Offense Exam and During the Pre-Test He Admitted Committing the Instant Offense – Thus, No Physiological Testing Was Needed	1	1%
District Re-Entry and Resource Coordinator (DRRC) Decision to Cancel and/or Reschedule Exam (DRRC Accidentally Scheduled Exam to Occur Prior to Offender’s Institutional Release)	1	1%
Therapist Decision to Cancel and/or Reschedule Exam (Therapist Requested That Offender’s Exam Be Postponed for One Month)	1	1%
Total	75	100%

REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG JUVENILE OFFENDERS	Number of Offenders	% of the 3 Offenders Who Missed Polygraph Appt.
Incarceration / VOP / Delinquent	1	33%
Discharged / Inter-State Transfer	1	33%
Polygraph Examiner Cancelled (Examiner Had a Family Emergency)	1	33%
Total	3	100%

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF ADULT OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since Program Inception
Offenders Reviewed in Containment Meetings	551	3,180	25,169

PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF JUVENILE OFFENDERS	Total This Month	Fiscal Year Total to Date 10/07 – 03/08	Total Since Program Inception
Offenders Reviewed in Containment Meetings	15	171	398

TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS	Total This Month	Fiscal Year Total to Date 10/07 – 03/08
IDOC New Employee Orientation Training <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	1 11	3 44
Parole Agent Training For The Supervision of Adult Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 15
Parole Agent Training For The Supervision of Juvenile Sex Offenders <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 8
Training For District Supervisors on Facility-Based Programming and Community-Based Policies and Procedures <ul style="list-style-type: none"> ▪ Number of Meetings ▪ Number of Participants 	0 0	1 25

III. QUALITY IMPROVEMENT

During the past month, efforts to improve the quality of services provided by the INSOMM Program have focused most heavily on the following areas:

- **Community Treatment Quality Assurance** – The INSOMM Program Network Treatment Provider Quality Assurance Workgroup met for the third time on March 20, 2008. The central goal of the workgroup has been to identify aspects within Phase III of the INSOMM Program, in either its administration by Liberty Behavioral Health (LBH) personnel or in the delivery of services by Network Treatment Providers, which require updating and/or improvement. Several topic areas that were discussed in the first two meetings were revisited to help refine initial ideas and plans for enhancing Phase III treatment services. These topics included (a) revising / re-developing Initial Evaluation Reports to include treatment recommendations, (b) revising / re-developing Bi-Annual Progress Reports and Discharge Reports to standardize them and make them more informative and useful, (c) the process and criteria to be used in conducting quality assurance audits for INSOMM Program Network Treatment Providers, (d) improving the clarity of the contract between LBH and INSOMM Program Network Treatment Providers, and (e) enhancing communication between LBH and INSOMM Program Network Treatment Providers, as well as communication among the treatment providers. At this time, no further meetings of the workgroup are planned. Members of the INSOMM Program Management Team are working to develop finalized procedures based on the topics and ideas discussed by the workgroup. Should significant questions, uncertainties, or obstacles be identified in this process, the workgroup may be asked to reconvene to address these issues.

- **INSOMM Program Recidivism Analyses** – Efforts were initiated in March to collect and analyze data from a cohort of INSOMM Program Offenders who released from IDOC facilities in 2004. The primary goal of these efforts is to determine what percentage of those offenders were returned to an IDOC facility within 3 years of their institutional release. The chosen time frame for this analysis is based on the standard IDOC recidivism definition that focuses on the 3-year window following release. The overarching goal of this project is to make future INSOMM Program Outcome Reports more consistent with the format and language used by the IDOC Research and Planning Division in reporting recidivism data. This project remains on-going at this time.

IV. PERSONNEL / HUMAN RESOURCES

The INSOMM Program remains fully staffed. There were no staff vacancies within the INSOMM Program during the month of March, 2008.

V. GOALS AND UPCOMING EVENTS

Goals for the month of April, 2008 include the following:

- Dr. Deming, INSOMM Program Director, will present an updated INSOMM Program monitoring tool to the INSOMM Program Management Team to receive feedback and make plans for an internal audit of the program prior to August 2008.
- INSOMM Program Counselors at the Plainfield Correctional Facility will continue to assess and place offenders into the INSOMM Program risk based treatment program based on risk level and EPRD.

- Dr. Deming, INSOMM Program Director, and Melissa Gharst, INSOMM Program Assistant Director, will visit with at least one INSOMM Program Network Treatment Provider agency, at their offices, to review and discuss quality assurance issues.

Upcoming events for the INSOMM Program include:

- Training relating to the INSOMM Program will be provided by INSOMM Program staff at the Plainfield Correctional Facility as part of the New Employee Orientation Training on April 4, 2008.
- Dr. Adam Deming, INSOMM Program Director, and Dr. Tracey Fintel, INSOMM Program Clinical Director, will provide training to all INSOMM Program counseling staff on April 1 and April 3, 2008. The training will focus on research findings and clinical strategies for managing treatment resistant sexual offenders. Directives for managing INSOMM Program offenders that deny their offenses will also be provided.
- Dr. Adam Deming is scheduled to speak at the Judicial College Education Program on April 18, 2008. Dr. Deming will provide information relating to best practices for assessing, treating, and managing sexual offenders, and will provide an overview of the INSOMM Program and its services.
- An INSOMM Program Management Committee Meeting is scheduled for Tuesday, April 22, 2008. The meeting will be held at the Indiana Government Center.
- Four INSOMM Program staff members will be providing a workshop at the Mental Health in Corrections Consortium in Kansas City, Missouri, April 28-30, 2008. Dr. Deming, Melissa Gharst, Dr. Fintel, and Dr. Casbon will present information relating to the use of risk based strategies for assessing and treating sex offenders, risk based strategies for the management of sex offenders in the community, and methods for measuring recidivism in sexual offenders following release to the community.