

13. Give the following information relative to your current employer. If self-employed, so indicate:

Employment Dates	Name of Employer	Address (Street, City, State, Zip)
------------------	------------------	------------------------------------

14. List your occupation here: _____ If self-employed, list type of business: _____

15. Are you under an obligation to pay child support? Yes No If yes, are you 4 months or more in arrears? Yes No

Questions 16(a-h) MUST be answered "yes" or "no". Give details in space provided.

		Yes	No
16.a.	Has your or your spouse's racing license ever been denied, suspended for more than 7 days or revoked ?		
b.	Has any other type of license or permit of you or your spouse ever been suspended, denied or revoked ?		
c.	Have you ever been expelled, discharged, or ejected from any race track or fined more than \$100?		
d.	Have you or your spouse ever been convicted (including by nolo contendere) of, or forfeited bail on, any felony or misdemeanor criminal offense (including DWI or DUI)?		
e.	Are there any criminal charges currently pending anywhere against you or your spouse?		
f.	Are you or your spouse currently on parole or probation?		
g.	Are there any outstanding civil judgments against you or your spouse?		
h.	Do you have a position with a race commission, racetrack, political party or in government?		

For each "yes" above, you must provide full details below (when, where, what). Use a separate sheet if needed.

Date	State/Track	Specific Violation, Crime, or Debt	Penalty (Sentence w/parole end-date)

17. Statement of Ownership (including questions 18 & 19)

OWNERSHIP: Number of horses or greyhounds in training (_____), listing below those you plan to race this year (and if owned by partners or entity, or leased, so designate.)					
Horse/Greyhound Name	Age	Trainer's Full Name	Ownership Name on Registration Papers	% Owned	Breed (T/S/Q/G)

18. If you listed a **Stable Name** or **Ownership Entity** (a *partnership, corporation, etc.*) as owner of a horse or greyhound under Question 17, please tell us about the **individual persons** under that name holding any interest in those horses or greyhounds. Please check with each state in which you plan to race, or with the Compact (whose licensees can use a single stable/entity form in multiple states), to determine if they require a separate **Stable or Entity** registration form be filed, in addition to this application.

Name	Address (street, city, state & zip)	Name of Horse or Greyhound	% Owned

19. Is your horse leased? * Yes No

* A copy of the lease agreement(s) must be attached and submitted with this application. Note: Virginia & Michigan require all leases be notarized.

Name of Lessor (owner)

Name of Lessee

If additional space is needed for any answers, please use a separate sheet of paper and submit it with this form.

20. If you will be appointing an **Authorized Agent**, please list agent's name here: _____

21. Are you obligated to have worker's compensation insurance covering employees in connection with racing? If yes, indicate the following:

*Company Name** *Policy Number* *Expiration Date* *Name of Policyholder*

** (A copy of your worker's compensation certificate of insurance must be attached and submitted with this application.)*

22. Are you a **New York State** public employee, elected public official, political party officer, or police officer?
 Yes No **IF YES**, contact the NYS Racing Board or www.racing.state.ny.us for Form PE-1.

I consent to a background investigation and report, including information from personal interviews with third parties (family, business, financial sources, etc.) and of my character and general reputation. I consent to a search within the grounds of any racetrack or racing association of my person and property, including premises and vehicles that I have the right to (or do) occupy or control, and to the seizure of articles related to unlawful conduct. I consent to be subject to the subpoena powers of authorized regulatory agencies and to written requests in lieu thereof, and shall provide any such agency with all information and documents it may request.

I agree that participating in racing is a privilege not a right, that my license is subject to conditions precedent in the rules of racing, that failure to comply with those rules shall be grounds for immediate suspension or revocation of my license, and that rulings in one jurisdiction may be applied in others including directly on my National Racing Compact ("Compact") license.

I agree to abide by all applicable rules and regulations where I race or am licensed, including conflict of interest rules of each jurisdiction, which I affirm that my use of my license will not violate them, and I accept that my license may be suspended or revoked at any time for misstatements or omissions in my application. I hereby authorize each racing commission (or its equivalent) in Compact memberstates, when I submit a fingerprint card directing the Federal Bureau of Investigation ("FBI") to send my criminal history record report ("CHR") to the Compact, to receive and retain my CHR, and the Compact to indicate on my fingerprint card that the FBI shall send my CHR to them. I consent to the Compact archiving my fingerprints for later use; including for fingerprint submissions for state CHR's anywhere I plan to race, for any disciplinary action relating to me, or for renewal of my Compact license; and I authorize these and related uses.

I hereby certify, under penalty of perjury and knowing that false statements herein may be prosecuted as a crime, that I have read the foregoing application, and I affirm every statement herein is true and correct. For Compact licensing, I also certify that I have not been involved with illegal substances or excessive alcohol use for 5 years, I have no associations with disreputable persons (organized crime, illegal betting, race-fixing, fraud, violence, similar misconduct), and I am in good standing and welcome to apply in all rly in all racing jurisdictions

Illinois Applicants: The Illinois Racing Board may refuse to issue or may suspend the occupation license of any person who fails to file a return, or to pay the tax, penalty or interest, as required by any tax Act administered by the Illinois Department of Revenue until such time as the requirements of any such tax Act are satisfied.

New York Applicants: The authority to request and maintain personal information from you, including identifiers like Federal social security and employer identification numbers, is in Section 5 of the New York Tax Law. Your disclosure of this information is mandatory, principally to enable the Department of Taxation and Finance to identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. The information will be used for identification and licensing purposes and as otherwise authorized by the New York State Racing and Wagering Board ("Board") including for a multi-state licensing database. An investigative consumer report may be requested in connection with this application and your signature authorizes the Board to obtain it. You may ask in writing whether or not a report was requested and the name and address of the consumer reporting agency.

NOTE: If using the RCI Multi- Program, please be sure to make copies of this form before signing!

*** Signature of Applicant**

Date

E-mail Address (Optional)

*** Use as a Florida Application Requires the Applicant's signature to be Notorized.**

Instructions for the RCI Multi-State Program **GENERAL INFORMATION**. All race jurisdictions listed within the fee structure on the next page accept this license application form. For RCI fingerprintcards or additional information, contact RCI headquarters at (859) 224-7070 or visit www.arci.com. **APPLICATIONS**. Before signing, make a copy of this completed application form for each jurisdiction you'll race in, then sign each copy with your original signature. Deliver or mail one signed copy and the applicable fee(s) to each jurisdiction where you seek an owner's license. **You must send the application and applicable fees to each one; jurisdictions will not forward copies for you.** **FINGERPRINTS**. Some States require first-time licensees to submit a fingerprint card and an extra fee of \$36. If you use an RCI fingerprint card, you only have to submit one fingerprint card to one listed jurisdiction. To have your fingerprint reports sent to the others, where you plan to race, mark those jurisdictions (up to nine) on the back of the RCI fingerprint card. You can be fingerprinted at a racetrack or by the local police or sheriff (bring an RCI fingerprint card with you; most racetracks have a supply). *Renewing licensees might be fingerprinted periodically, and will be advised by each jurisdiction.* **FEES**. **Participating jurisdictions' licensing fees are listed on the next page**, with directions to help you properly make out your check(s). **WHERE TO MAIL**. Completed fingerprints and a check or money order (no cash) may be mailed to the racing commission that requires your prints or where you plan next to race. A signed copy of this application and applicable license fee must accompany the completed fingerprint card and fee (for which a separate check may be required). Refer to the fee list schedule to calculate your fees. For RCI fingerprint cards and for further information you can contact RCI at (859) 224-7070 or www.arci.com.

See the next page for instructions on the National Racing Compact licensing program.

