

SERIOUSLY EMOTIONALLY DISTURBED CHILDREN & ADOLESCENTS (SED-AAA)
SFY 2009 (July 1, 2008 – June 30, 2009)

SPECIAL CONDITIONS

I. POPULATION TO BE SERVED

- A. In accordance with the Contract, the Contractor is required to serve an individual who meets the following criteria:
1. The individual is eligible for DMHA services.
 2. The individual meets the financial and clinical eligibility criteria for a seriously emotionally disturbed (SED) child or adolescent eligible for services under the Hoosier Assurance Plan.
- B. The Contractor shall do the following:
1. Assure the availability of and provide the necessary full “continuum of care” as that term is defined at IC 12-7-2-40.6 to seriously emotionally disturbed (SED) children and adolescents who are eligible for DMHA services under this contract; and
 2. Provide services to the special populations listed in this attachment to the extent of provider’s resources and the extent of available funding.

II. ADMINISTRATIVE & FUNDING TERMS, REQUIREMENTS AND LIMITATIONS

- A. Except as provided hereinafter, the funding sources which may support services in this attachment are the following:
1. Seriously Emotionally Disturbed Children-Account Number 1000/124090;
 2. Block Grants for Community Mental Health Services - Account Number 6000/139200 (CFDA#93.958); and
 3. Social Services Block Grant – Account Number 3520/141000 (CFDA#93.667).
- B. The use of the above funding sources is subject to the following exceptions or conditions:
1. The Contractor shall not use funds from account number 6000/139200 for the following purposes:
 - (a) To make cash payments to intended recipients of health services;
 - (b) To purchase or improve land;
 - (c) To purchase, construct, or permanently improve (other than minor remodeling) any building or other facility;
 - (d) To purchase major medical equipment or to purchase any other equipment valued over one thousand dollars (\$1,000.00);
 - (e) To satisfy any requirements for expenditures of non-federal funds as a condition for the receipt of federal funds;
 - (f) To provide inpatient services; or
 - (g) To provide financial assistance to any entity other than a public or nonprofit private entity.
 2. Contractor shall use funds from Account Number 3520/141000 to support programs and or services allowed under the Social Services Block Grant Act

(42 USC 1397). All services supported by funds from Account Number 3520/141000 shall be nonsectarian in nature.

- C. Contractor is authorized to serve consumers eligible for DMHA services under this Attachment using the following procedures established by the State.
1. Contractor shall not submit any voucher for, nor shall the State pay for, any service if the voucher for payment is not submitted or processed in accordance with the State's procedures.
 2. For each consumer eligible for DMHA services, the Contractor shall do the following:
 - (a) maximize non-state funds;
 - (b) maximize alternative funding for services that are intrinsic elements of other state and/or local programs; and
 - (c) pursue all available third-party sources of revenue including consumer co-payments, where appropriate, for providing the full continuum of services needed for all eligible consumers.
- D. The Contractor shall maintain information regarding each seriously emotionally disturbed child or adolescent who is served under the Hoosier Assurance Plan and shall submit such information to DMHA in as set out in the following:
1. The Contractor shall submit data to the Community Services Data System (CSDS) in accordance with the instructions contained in the Community Services Data System Data Requirements and any updates thereto.
 2. Service units (encounter units) identified on the CSDS shall be forwarded to the State in accordance with the instructions contained in the Community Services Data System Data Requirements and any updates thereto.
 3. The value of the service units (encounter units) identified on the CSDS shall be forwarded to the State in accordance with the Instructions contained in the Community Services Data System, Data Requirements and any updates thereto.
 4. The Contractor shall submit the respective fair market value or other rate received by Contractor for such services.
 5. This information and any requested supporting records shall be forwarded to the DMHA in the manner so prescribed. The supporting records shall be maintained on site in a manner that is readily accessible to auditors.
 6. Data necessary for the calculation of performance standards as outlined in FSSA DMHA Performance Measure Definitions dated May 2008 and any updates thereto.
- E. The contractor shall participate in, and meet the requirements of, the DMHA quality assurance program. The Contractor shall participate in any quality improvement initiatives as requested by the DMHA.
- F. The Contractor shall participate in meetings and/or training provided and/or authorized by the DMHA.

- G. The Contractor shall utilize the Child and Adolescent Needs and Strengths (CANS) assessment tool and the Indiana Behavioral Health Assessment System (IBHAS) database for each child and adolescent eligible for DMHA services.

III. SPECIAL REQUIREMENTS FOR MANAGED CARE PROVIDERS WHO ARE COMMUNITY MENTAL HEALTH CENTERS (CMHC)

- A. If the Contractor is both a managed care provider (MCP) and a community mental health center (CMHC) certified by DMHA under IC 12-21-2-3, the Contractor shall comply with the following provisions:
 - a. The Contractor shall comply with the requirements of all applicable statutes and rules in effect during the term of this Contract, including 42 USC 300 X, Title 12 of the Indiana Code, and 440 IAC 4.1.
 - b. The Contractor shall provide services required pursuant to the following:
 - i. 440 IAC 4-3-1, mandatory services ;
 - ii. 440 IAC 4-3-9, services for seriously emotionally handicapped children and adolescents;
 - iii. 440 IAC 4-3-10, services for alcohol and other drug abusers; and
 - iv. 440 IAC 4-3-11, services for older adults.
- B. As a condition of the receipt of funds, Contractor shall provide the following services:
 - a. Services to individuals residing in the Contractor's geographic service area;
 - b. Outpatient services, including specialized outpatient services for children, the elderly, individuals with serious mental illness, and residents of the Contractor's primary geographic service area who have been discharged from inpatient treatment at a state operated facility;
 - c. Twenty-four (24) hour per day emergency care services;
 - d. Day treatment, other partial hospitalization services, or psychosocial rehabilitation services; and
 - e. Screening services for individuals being considered for admission to a state operated facility to determine the appropriateness of such admission.
- C. These services shall be provided within the limits of the resources of the Contractor to an individual residing or employed in the Contractor's geographic service area regardless of the individual's ability to pay for such service.
- D. As a CMHC, the Contractor shall, within the limits of its resources, handle all emergency detentions and immediate detentions in which the Contractor determines services are clinically necessary or which have been referred by a court of competent jurisdiction.
- E. Services under this Contract shall be available and accessible in as prompt a manner as appropriate and shall be available in a manner which preserves human dignity and assures continuity and high quality care.
- F. The Contractor may provide services to individuals residing outside the Contractor's geographic service area.

- G. A CMHC must provide the following services in the continuum of care directly for seriously emotionally disturbed children and adolescents:
1. Case management
 2. Crisis intervention
 3. Day treatment or partial hospitalization
 4. Screening for consumers being considered for admission to state operated facilities
 5. Individualized treatment planning
 6. Family support services
 7. Medication evaluation and monitoring
 8. Services to prevent unnecessary and inappropriate treatment and hospitalization
 9. Consultation/education services to the communities within the service area
 10. Outpatient services, including specialized outpatient services for children and the elderly, to individuals with a serious mental illness who are residents of the service area and who have been discharged from inpatient treatment.

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