Posting Date: March 28, 2018

# Request for Proposals Notification

**Title:** Dearborn County Lower Dillsboro Road Slide Correction (Des # 1702959) in Seymour District

Response Due Date & Time: May 2, 2018 at 1:00 PM

This Request for Proposals (RFP) is official notification of needed professional services. This RFP is being issued to solicit a letter of Interest (LOI) and other documents from firms qualified to perform engineering work on federal aid projects. A submittal does not guarantee the firm will be contracted to perform any services but only serves notice the firm desires to be considered.

**Contact for Questions:** Todd Listerman, P.E.

10255 Randall Avenue Aurora, IN 47001 (812)655-9394

tlisterman@dearborncounty.in.gov

## **Submittal Requirements:**

- 1. Letter of Interest 5 Copies (required content and instructions follow)
- 2. One (1) signed Affirmative Action Certification and associated required documents for all items if the DBE goal is greater than 0%.

**Submit To:** Todd Listerman, P.E.

10255 Randall Avenue Aurora, IN 47001 (812)655-9394

tlister man@dearborn county. in. gov

#### **Selection Procedures:**

Consultants will be selected for work further described herein, based on the evaluation of the Letter of Interest (LOI) and other required documents. The Consultant Selection Rating Form used to evaluate and score the submittals is included for your reference. Final selection ranking will be determined by:

- The weighted score totals with the highest score being the top ranked firm
- Rank totals with the lowest rank total being the top ranked firm

## **Requirements for Letters of Interest (LOI)**

- A. General instructions for preparing and submitting a Letter of Interest (LOI).
  - 1. Provide the information, as stated in Item B below, in the same order listed and signed by an officer of the firm. Signed and scanned documents, or electronically applied signatures are acceptable. Do not send additional forms, resumes, brochures, or other material unless otherwise noted in the item description.
  - 2. LOI's shall be limited to twelve (12) 8 ½" x 11" pages that include Identification, Qualifications, Key Staff, and Project Approach.
  - 3. LOI's must be received no later than the "Response Due Date and Time"; as shown in the RFP header above. Responses received after this deadline will not be considered. Submittals must include all required attachments to be considered for selection.

#### B. Letter of Interest Content

- 1. Identification, Qualifications and Key Staff
  - a. Provide the firm name, address of the responsible office from which the work will be performed and the name and email address of the contact person authorized to negotiate for the associated work.
  - b. List all proposed sub consultants, their DBE status, and the percentage of work to be performed by the prime consultant and each sub consultant. (See Affirmative Action Certification requirements below.) A listing of certified DBE's eligible to be considered for selection as prime consultants or subconsultants for this RFP can be found at the "Prequalified Consultants" link on the Indiana Department of Transportation (INDOT) Consultants Webpage. (<a href="http://www.in.gov/indot/2732.htm">http://www.in.gov/indot/2732.htm</a>).

- c. List the Project Manager and other key staff members, including key sub consultant staff, and the percent of time the project manager will be committed for the contract, if selected. Include project engineers for important disciplines and staff members responsible for the work. Address the experience of the key staff members on similar projects and the staff qualifications relative to the required item qualifications.
- d. Describe the capacity of consultant staff and their ability to perform the work in a timely manner relative to present workload.

## 2. Project Approach

a. Provide a description of your project approach relative to the advertised services. For project specific items confirm the firm has visited the project site. For all items address your firm's technical understanding of the project or services, cost containment practices, innovative ideas and any other relevant information concerning your firm's qualifications for the project.

#### **Requirements for Affirmative Action Certification**

A completed Affirmative Action Certification form is required for <u>all</u> items that identify a DBE goal greater than 0%. The consultant must identify the DBE firms with which it intends to subcontract, include the contract participation percentage of each DBE and list what the DBE will be subcontracted to perform on the Affirmative Action Certification Form. Copies of DBE certifications, as issued by INDOT, for each firm listed are to be included as additional pages after the form.

If the consultant does not meet the DBE goal, they must provide evidence of a good faith effort to achieve the DBE goal; said evidence must be provided in additional documentation. Please review the <u>DBE program</u> based on set goals and complete the <u>DBE Affirmative Action Certification form</u> as applicable. What constitutes as a good faith effort is explained in detail within the DBE program information referred to above. If no goal is set, no Affirmative Action Certification form is required. Indiana Department of Transportation's (INDOT) DBE Program Information is available at the Indiana Department of Transportation's website.

A listing of certified DBE's eligible to be considered for selection as prime consultants or subconsultants for this RFP can be found at the "Prequalified Consultants" link on the Indiana Department of Transportation (INDOT) Consultants Webpage. (http://www.in.gov/indot/2732.htm).

DBE subcontracting goals apply to <u>all</u> prime submitting consultants, regardless of the prime's status of DBE.

# Work item details:

Local Public Agency: Dearborn County

Project Location: Lower Dillsboro Road

Project Description: Approximately 705' of Lower Dillsboro Road is experiencing pronounced cracking and movement along a 1,100' section of the roadway located just west of Gatch Hill Road in Aurora, Indiana. The movement is divided into three distinct areas within the 1,100' roadway section.

INDOT Des #: 1702959

Phases Included: PE, RW, Survey, Permitting

Estimated Construction Amount: \$1,700,000.00

Funding: 80% Federal Funding

Term of Contract: Until project completion

DBE goal: 3

**Required Prequalification Categories:** 

✓ 6.1 Topographical Survey Data Collection

✓ 12.2 Title Search

▼ 8.1 Non-Complex Roadway Design ▼ 12.4 Appraisal

▼ 11.1 Right of Way Plan Development □ 13.1 Construction Inspection

✓ Additional Categories Listed Below:

5.4 Ecological Surveys

5.6 Waterway Permits

5.9 Archaeological Investigations

5.10 Historical/Architectural Investigations

12.6 Negotiations

12.7 Closing

# **LPA Consultant Selection Rating Sheet**

# Sample:

RFP Selecti	on Rating	for				Des	No.		
KII Beleen	Tuuing	, 101	(City	County	, Town) or (Local Public Agency)	Des	110.		
			(City,	county	, 10 wil) of (Escal Lable Figure)				
Serv	ices Desc	ription:							
		1							
Consu	ıltant Naı	ne:							
<b>Evaluation Cri</b>	teria to be I	Rated by Sco	rers						
Category	Scoring Cr	itaria				Scale	Score	Weight	Weighted
Category	_					State	Store	Weight	Score
Past	Performano	e evaluation			historical performance data.  e for similar work from performance database.			6	
Performance			Qua			3			
remormance	Schedule score from performance database.  Responsiveness score from performance database.							1	
Capacity of	Evaluation	of the team's	s personnel a		pment to perform the project on time.				
Team to do		Av	ailability of n	nore than	adequate capacity that results in added value.	1		20	
Work	Adequate capacity to meet the schedule.							20	
WOIK					ficient available capacity to meet the schedule.	-1			
		-	nique Resou	rces that	t yield a relevant added value or efficiency				
	to the deliv	erable.			outstanding expertise and resources identified				
Team's			Demo	2		15			
Demonstrated									
Qualifications			Demo	1					
				0					
					Expertise and resources at appropriate level.  Insufficient expertise and/or resources.	-3			
	Predicted a	bility to mar	age the proi	ect, base	ed on: experience in size, complexity,	J			
				,					
Project	type, subs, documentation skills.  Demonstrated outstanding experience in similar type and complexity.							20	
Manager	Demonstrated high level of experience in similar type and complexity.								
Manager	Experience in similar type and complexity shown in resume.								
Experience in different type or lower complexity1									
	Project Und	laretanding (	and Innovation	on that n	Insufficient experience.	-3			
	110ject One	icistanung a			standing and viable innovative ideas proposed.	2			
Approach to				1	15				
Project					High level of understanding of the project.  Basic understanding of the project.	0			
					Lack of project understanding.	-3			
							Weighted	Sub-Total:	
It is the respons manner without	-		-	identify	the firm most capable of producing the highes	t deliverab	les in a tim	ely and cost	effective
T	1	Ci.		.,.	1 11 10 11 10 10 10 10 10 10 10 10 10 10				
I certify that I do	o not have an	y conflicts of	interest asso	ciated wi	th this consultant as defined in 49CFR118.36.				
I have thoroughl	v roviowed tl	he letter of int	erect for this	consulta	nt and certify that the above scores represent r	ny best inc	lament of t	hie firm'e ahii	lities
T have thorough	y icvicwed ti	ne letter of mi	icicst for this	Consulta	in and certify that the above scores represent is	ny oest jue	igniciit oi t	ilis ililiis aut	ities.
Signature:					Print Name:				
Title:					Date:				
	•								
(Form Rev. 4-7-	16)								

Des. #: Click here to enter text.

## Affirmative Action Certification (AAC) for Disadvantages Business Enterprises (DBE)

I hereby certify that my company intends to affirmatively seek out and consider Disadvantaged Business Enterprises (DBEs) certified in the State of Indiana to participate as part of this proposal. I acknowledge that this certification is to be made an integral part of this proposal. I understand and agree that the submission of a blank certification may cause the proposal to be rejected. I certify that I have consulted the following DBE website to confirm that the firms listed below are currently certified DBEs: <a href="http://www.in.gov/indot/2732.htm">http://www.in.gov/indot/2732.htm</a>.

I certify that I have contacted the certified DBEs listed below, and if my company becomes the CONSULTANT, these DBEs have tentatively agreed to perform the services as indicated. I understand that neither my company nor I will be penalized for DBE utilization that exceeds the goal. After contract award, any change to the firms listed in this Affirmative Action Certification to be applied toward the DBE goal must have prior approval by INDOT's Economic Opportunity Division.

I. DBE Subconsultants to be applied toward DBE goal for the RFP item:

Certified DBE Name to DBE	Service Planned	Estimated Percentage to be Paid*	
		%	
		%	
		%	
		%	

II. DBE Subconsultants to be utilized beyond the advertised DBE goal for the RFP item:

Certified DBE Name to DBE	Service Planned	Estimated Percentage to be Paid*
		%
		%
		%
		%

Estimated Total Percentage Credited toward DBE Goal: Estimated Percentage of Voluntary DBE Work Anticipated over DBE Goal:					
Company Name:					
Signature:	Date:				

<sup>\*</sup> It is understood that these individual firm percentages are estimates only and that percentages paid may be greater or less as a result of negotiation of contract scope of work. My firm will use good faith efforts to meet the overall DBE goal through the use of these or other certified and approved DBE firms.