City of Columbus
March 23, 2009

# Request for Proposals Notification

**Project Location**: *17th Street between Central Avenue and US 31 in Columbus, Indiana*

**Response Due Date and Time:** *April 7, 2009, and no later than 5:00 pm (EST)*

This Request for Proposals (RFP) is official notification of needed professional services. This RFP is being issued to solicit a Letter of Interest (LoI) and other documents from firms qualified to perform engineering work on federal aid projects. A submittal does not guarantee that the firm will be contracted to perform any services but only serves notice that that firm desires to be considered.

**Contact for Questions:** *Steven T. Ruble, PE*
*sruble@columbus.in.gov*

**Submittal requirements:**

1. LoI (required content and instructions follow)

2. One (1) signed Affirmative Action Certification Form and associated required documents for all items with Disadvantaged Business Enterprise (DBE) goals (sample form follows).

3. Submittals must be in pdf format. Submittals not meeting this requirement will not be reviewed.

**Submit To:** *Steven T. Ruble, PE*
*sruble@columbus.in.gov*

**Selection Procedures:**

Consultants will be selected for work items further described herein, based on the evaluation of the LoI and other required documents. The Consultant Selection Rating Form that will be used to evaluate and score the submittals is included for your reference.

To be eligible for consideration, the lead consultant must be prequalified by the Indiana Department of Transportation (INDOT).

**Requirements for LoI**

A. General instructions for preparing and submitting a LoI

1 Provide the information as set out in Item B below, in the same order listed, signed by an officer of the firm. Scanned signed documents or electronically applied signatures are both acceptable. Do not send additional forms, resumes, brochures, or other material unless otherwise noted in the item description.

2. LoI's shall be limited to a total of twelve (12) 8 ½" x 11" pages. Ten (10) pages for Identification and Qualification and two (2) pages for the combined Key staff and Project Approach unless otherwise noted in the Project Description.

3. LoI's must be received not later than "Response Due Date and Time" as shown in the RFP header above. Responses received after this deadline will not be considered. Submittals must include all required attachments to be considered for selection.

B. LoI Content

1. Identification and Qualifications

a. Provide the firm name, address of the responsible office from which the work will be performed and the name and email address of the contact person authorized to negotiate for the associated work.

b. List all proposed sub-consultants, their DBE status, and the percentage of work to be performed by the lead consultant and each sub-consultant (sample Affirmative Action Certification form follows). A listing of certified DBE's eligible to be considered for selection as prime consultants or sub-consultants for this RFP can be found at INDOT's website. (http://www.in.gov/indot/2576.htm ).

c. Provide personnel resumes and such additional information concerning qualifications as may be relevant to the project.

2. Key staff and Project approach

a List the Project Manager and other key staff members, including key sub-consultant staff and include the percent of time the project manager will be committed for the contract, if selected. Include project engineers for important disciplines and staff members that will be responsible for the work.

Address the experience of the key staff members on similar projects and the staff qualifications relative to the required item qualifications.

b. Describe the capacity of your staff and their ability to perform the work in a timely manner relative to present workload and the availability of the assigned staff.

c. Provide a description of your Project Approach relative to the advertised services. For project specific items confirm that the firm has visited the project site. For all items address your firm's technical understanding of the project or services, as related to your firm's qualifications.

**Requirements for Affirmative Action Certification Form**

A completed Affirmative Action Certification Form is required for all items that identify a DBE goal. The consultant must identify the DBE firms with which it intends to sub-contract. Include the contract participation percentage of each DBE and list what the DBE will be sub-contracted to perform on the Affirmative Action Certification Form. **Copies of DBE certifications, as issued by INDOT, for each firm listed are to be included as additional pages after the form.** If the consultant does not meet the DBE goal, the consultant must provide documentation on additional pages that it has made good faith efforts to achieve the DBE goal. Please review the DBE program based on any goals set and complete the DBE Affirmative Action Certification Form as applicable. What constitutes good faith efforts is explained in detail within the DBE program information referred to above. If no goal is set, then no Affirmative Action Certification Form is required. INDOT's DBE program information is available at the INDOT's website.

A listing of certified DBE's eligible to be considered for selection as prime consultants or sub-consultants for this RFP can be found at the INDOT's website.
(http://www.in.gov/indot/2576.htm )

**DBE subcontracting goals apply to all prime submitting consultants, regardless of the prime's status of DBE.**

## Work item details:

Local Public Agency: *City of Columbus*

Project Location: *17th Street between Central Avenue and US 31 in Columbus, Indiana*

INDOT District: *Seymour*

INDOT Des. Nos.: *9506290 and 0500692*

Project Phases Included: *Construction Engineering*

Project Description: *The improvements to 17th Street from Central Avenue to US 31 includes the addition of curb and gutter, sidewalks, storm sewer system, an intersection improvement with signal upgrades and a horizontal curve correction at Beam Road intersection. This project also includes the rehabilitation of Bartholomew County Bridge #290 on 17th Street over Haw Creek.*

Estimated Construction Amount: *$4,700,000.00*

Funding: *Federal funding – STP through Columbus Area Metropolitan Planning Organization with Local match*

Term of Contract: *May 2009 through December 2010*

DBE goal: *0 %*

Required Prequalification Categories: *13.1 Construction Inspection*

*The assigned Project Manager shall be either an Engineer or Project Supervisor with INDOT Certified Technical Training and be certified to perform required testing and sampling.*

**RFP Selection Rating for _____ Des. No. _____**

**(City, County, Town, etc. ) – or – (Local Public Agency)**

**Consultant Name: _____ Services Description: _____**

| **Evaluation Criteria to be Rated by Scorers** | | | | | |
|---|---|---|---|---|---|
| **Category** | **Scoring Criteria** | **Scale** | **Score** | **Weight** | **Weighted Score** |
| **Past Performance** | **Performance evaluation score averages from historical performance data.** | | | | |
| | Quality score for similar work from INDOT performance database. | | | 6 | |
| | Schedule score from INDOT performance database. | | | 3 | |
| | Responsiveness score from INDOT performance database. | | | 1 | |
| **Capacity of Team to do Work** | **Evaluation of team's personnel and equipment to perform the project on time** | | | 20 | |
| | Availability of more than adequate capacity that results in added value. | 1 | | | |
| | Adequate capacity to meet the schedule. | 0 | | | |
| | Insufficient available capacity to meet the schedule. | -1 | | | |
| **Team's Demonstrated Qualifications** | **Technical expertise: Unique Resources that yield a relevant added value or efficiency to the deliverable.** | | | 15 | |
| | Demonstrated outstanding expertise and resources identified for req'd services for value added benefit. | 2 | | | |
| | Demonstrated high level of expertise and resources identified for req'd services for value added benefit. | 1 | | | |
| | Expertise and resources at appropriate level. | 0 | | | |
| | Insufficient expertise and/or resources. | -3 | | | |
| **Project Manager** | **Predicted ability to manage the project, based on: experience in size, complexity, type, subs, documentation skills.** | | | 20 | |
| | Demonstrated outstanding experience in similar type and complexity. | 2 | | | |
| | Demonstrated high level of experience in similar type and complexity. | 1 | | | |
| | Experience in similar type and complexity shown in resume' | 0 | | | |
| | Experience in different type or lower complexity. | -1 | | | |
| | Insufficient experience. | -3 | | | |
| **Approach to Project** | **Project Understanding and Innovation that provides cost and/or time savings.** | | | 15 | |
| | High level of understanding and viable innovative ideas proposed. | 2 | | | |
| | High level of understanding of the project. | 1 | | | |
| | Basic understanding of the project. | 0 | | | |
| | Lack of project understanding. | -3 | | | |
| **Location** | **Location of assigned staff office relative to project** | | | 5 | |
| | Within 50 miles | 1 | | | |
| | 51 to 150 miles | 0 | | | |
| | 151 to 500 miles | -1 | | | |
| | Greater than 500 miles | -2 | | | |
| | | | | **Weighted Sub-Total** | |

It is the responsibility of scorers to make effort to identify the firm most capable of producing the highest quality deliverables in a timely and cost effective manner without regard to personal preference.

I certify that I do not have any conflicts of interest associated with this consultant. (A definition of conflicts of interest of concern may be found in IC 4-2-6-9)

I have thoroughly reviewed the letter of interest for this consultant and certify that the above scores represent my best judgment of this firm's abilities.

Signature: _____

Title: _____

Date: _____

(Rev. 01-20-09)

Request for Proposals Bulletin_____

Project_____

# AFFIRMATIVE ACTION CERTIFICATION FOR DBE

I hereby certify that my company intends to affirmatively seek out and consider Disadvantaged Business Enterprises (DBEs) certified in the State of Indiana to participate as part of this proposal. I acknowledge that this certification is to be made an integral part of this proposal. I understand and agree that the submission of a blank certification may cause the proposal to be rejected. I certify that I have consulted the following DBE website to confirm that the firms listed below are currently certified DBEs:
https://financial.gmis.in.gov/psc/guest/EMPLOYEE/ERP/c/SOI_APPS_MWBE.SOI_DBE_CERT.GBL?&
I certify that I have contacted the certified DBEs listed below, and if my company becomes the CONSULTANT, these DBEs have tentatively agreed to perform the services as indicated.
I understand that neither my company nor I will be penalized for DBE utilization that exceeds the goal. After contract award, any change to the firms listed in this Affirmative Action Certification to be applied toward the DBE goal must have prior approval by INDOT's Economic Opportunity Division.

## SUBCONSULTANTS

**DBE SUBCONSULTANTS TO BE APPLIED TOWARD GOAL**

| Certified DBE Name | Service Planned | Estimated percentage to be paid to DBE* |
|---|---|---|
| | | % |
| | | % |
| | | % |
| | | % |

**DBE SUBCONSULTANTS TO BE USED BEYOND GOAL**

| Certified DBE Name | Service Planned | Estimated percentage to be paid to DBE* |
|---|---|---|
| | | % |
| | | % |
| | | % |
| | | % |

**Estimated Dollar Amount Credited toward DBE Goal:**_____

**Estimated Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal:**_____

**Name of Company:**_____

**By:**_____ **Date:**_____

*It is understood that these individual firm percentages and dollar amounts are estimates only and that amounts paid may be greater or less as a result of negotiation of the contract scope of work. My firm will use good faith efforts to meet the overall DBE goal through the use of these or other certified and approved DBE firms.