

City of Tell City  
Request for Proposals No. 1  
April 2, 2009 Posting Date

# Request for Proposals Notification

**Project Location:** *Tell City*

**Response Due Date and Time:** *April 24, 2009 and no later than 4:00 pm (CST)*

This Request for Proposals (RFP) is official notification of needed professional services. This RFP is being issued to solicit a Letter of Interest (LoI) and other documents from firms qualified to perform engineering work on federal aid projects. A submittal does not guarantee that the firm will be contracted to perform any services but only serves notice that that firm desires to be considered.

**Contact for Questions:** *Mr. Dick Neumann  
P.O. Box 423  
926 South Boundary Street  
Tell City, IN 47586  
(812) 547-3586*

## **Submittal requirements:**

1. Letter of Interest (required content and instructions follow)
2. One (1) signed Affirmative Action Certification and associated required documents for all items with Disadvantaged Business Enterprise (DBE) goals (sample form follows).

**Submit To:** *Mayor Barbara Ewing  
700 Main Street  
P.O. Box 515  
Tell City, IN 47586*

## **Selection Procedures:**

Consultants will be selected for work items further described herein, based on the evaluation of the Letter of Interest (LoI) and other required documents. The Consultant Selection Rating Form that will be used to evaluate and score the submittals is included for your reference.

To be eligible for consideration, the lead consultant must be prequalified by INDOT.

## Requirements for Letters of Interest (LoI)

### A. General instructions for Preparing and Submitting a Letter of Interest (LoI)

1. Provide the information as set out in Item B below, in the same order listed, signed by an officer of the firm. Scanned signed documents or electronically applied signatures are both acceptable. Do not send additional forms, resumes, brochures, or other material unless otherwise noted in the item description.
2. LoI's shall be limited to a total of twelve (12) 8 ½" x 11" pages. Ten (10) pages for Identification and Qualification and two (2) pages for the combined Key staff and Project Approach unless otherwise noted in the Project Description.
3. LoI's must be received not later than "Response Due Date and Time" as shown in the RFP header shown above. Responses received after this deadline will not be considered. Submittals must include all required attachments to be considered for selection.

### B. Letter of Interest Content

#### 1. Identification and Qualifications

- a. Provide the firm name, address of the responsible office from which the work will be performed and the name and email address of the contact person authorized to negotiate for the associated work.
- b. List all proposed sub consultants, their DBE status, and the percentage of work to be performed by the lead consultant and each sub consultant (sample Affirmative Action Certification follows). A listing of certified DBE's eligible to be considered for selection as prime consultants or sub-consultants for this RFP can be found at the Indiana Department of Transportation's (INDOT) website. (<http://www.in.gov/indot/2576.htm> ).
- c. Provide personnel resumes and such additional information concerning qualifications as may be relevant to the project.

#### 2. Key staff and Project approach

- a. List the Project Manager and other key staff members, including key sub consultant staff and include the percent of time the project manager will be committed for the contract, if selected. Include project engineers for important disciplines and staff members that will be responsible for the work. Address the experience of the key staff members on similar projects and the staff qualifications relative to the required item qualifications.
- b. Describe the capacity of your staff and their ability to perform the work in a timely manner relative to present workload and the availability of the assigned staff.

- c. Provide a description of your Project Approach relative to the advertised services. For project specific items confirm that the firm has visited the project site. For all items address your firm's technical understanding of the project or services, as related to your firm's qualifications.

### **Requirements for Affirmative Action Certification**

A completed Affirmative Action Certification form is required for all items that identify a DBE goal. The consultant must identify the DBE firms with which it intends to subcontract. Include the contract participation percentage of each DBE and list what the DBE will be subcontracted to perform on the Affirmative Action Certification Form. **Copies of DBE certifications, as issued by INDOT, for each firm listed are to be included as additional pages after the form.** If the consultant does not meet the DBE goal, the consultant must provide documentations on additional pages that it has made good faith efforts to achieve the DBE goal. Please review the DBE program based on any goals set and complete the DBE Affirmative Action Certification form as applicable. What constitutes good faith efforts is explained in detail within the DBE program information referred to above. If no goal is set then no Affirmative Action Certification form is required. Indiana Department of Transportation's (INDOT) DBE Program Information is available at the Indiana Department of Transportation's website.

A listing of certified DBE's eligible to be considered for selection as prime consultants or sub-consultants for this RFP can be found at the Indiana Department of Transportation's (INDOT) website. (<http://www.in.gov/indot/2576.htm> )

**DBE subcontracting goals apply to all prime submitting consultants, regardless of the prime's status of DBE.**

## Work item details:

[Local Public Agency Name]            *City of Tell City*

Project Location:        *Portion of CR 232 (River Road), from SR 66, a distance of 0.21 miles located in the City of Cannelton, to the junction with CR 222 (Cannelton River Road).*

INDOT District covering project:    *Vincennes*

INDOT Des#: (if known)                *0900099*

Project Phases Included: *Topographic Survey and Data Collection, Design Engineering, Environmental Document Preparation, ROW Engineering, Construction Engineering, Construction Inspection.*

Project Description: *The design of construction plans, preparation of bid specifications and cost estimation for upgrade of 0.21 miles of CR 232 (River Road) from SR 66 to CR 222 (Cannelton River Road), meeting applicable FHWA specifications. This portion of the road will be the first phase of two to provide a direct access to the Tell City River Port from SR 66. Project must include provision for a potential greenway space adjacent to the road. Proposed route must maintain the existing railroad right of way.*

*The City of Tell City is designated as the lead agency for this project. This project is a collaboration of the City of Tell City, City of Cannelton, Perry County and the Perry County Port Authority.*

*NOTE: The Perry County Port Authority, as part of a preliminary engineering study conducted in 2005 on the proposed River Road project, had an environmental assessment completed by Redwing Ecological Services and a cultural resource study by Cultural Resource Analysts conducted. This information is available for viewing at the Port Authority's offices located at 926 South Boundary Street in Tell City, Indiana.*

Estimated Construction Amount:    \$ 775,000

Funding:                                  *Federal Funding*

Term of Contract:                      *FY2009-FY2012*

DBE goal:                                 *7%*

Required Prequalification Categories:  
(List required prequalification categories)

- 5.2 Environmental Document Preparation - CE*
- 6.1 Topographic Survey Data Collection*
- 8.1 Non- Complex Roadway Design*
- 13.1 Construction Inspection*

RFP Selection Rating for \_\_\_\_\_ Des. No. \_\_\_\_\_  
 (City, County, Town, etc.) - or - (Local Public Agency)

Consultant Name: \_\_\_\_\_ Services Description: \_\_\_\_\_

Evaluation Criteria to be Rated by Scorers						
Category	Scoring Criteria	Scale	Score	Weight	Weighted Score	
Past Performance	<b>Performance evaluation score averages from historical performance data.</b>					
	Quality score for similar work from performance database.			6	0	
	Schedule score from performance database.			3	0	
	Responsiveness score from performance database.			1	0	
Capacity of Team to do Work	<b>Evaluation of the team's personnel and equipment to perform the project on time.</b>					
	Availability of more than adequate capacity that results in added value to INDOT.	1		20	0	
	Adequate capacity to meet the schedule.	0				
Insufficient available capacity to meet the schedule.	-1					
Team's Demonstrated Qualifications	<b>Technical expertise: Unique Resources that yield a relevant added value or efficiency to the deliverable.</b>					
	Demonstrated outstanding expertise and resources identified for req'd services for value added benefit.	2		15	0	
	Demonstrated high level of expertise and resources identified for req'd services for value added benefit.	1				
	Expertise and resources at appropriate level.	0				
Insufficient expertise and/or resources.	-3					
Project Manager	<b>Predicted ability to manage the project, based on: experience in size, complexity, type, subs, documentation skills.</b>					
	Demonstrated outstanding experience in similar type and complexity	2		20	0	
	Demonstrated high level of experience in similar type and complexity	1				
	Experience in similar type and complexity shown in resume'	0				
	Experience in different type or lower complexity	-1				
Insufficient experience.	-3					
Approach to Project	<b>Project Understanding and Innovation that provides cost and/or time savings.</b>					
	High level of understanding and viable innovative ideas proposed.	2		15	0	
	High level of understanding of the project.	1				
	Basic understanding of the project.	0				
Lack of project understanding.	-3					
Location	<b>Location of assigned staff office relative to project.</b>					
	Within 50 mi.	1		5	0	
	51 to 150 mi.	0				
	151 to 500 mi.	-1				
Greater than 500 mi.	-2					
<b>Weighted Sub-Total:</b>					<b>0</b>	

It is the responsibility of scorers to make every effort to identify the firm most capable of producing the highest quality deliverables in a timely and cost effective manner without regard to personal preference.

I certify that I do not have any conflicts of interest associated with this consultant. (A definition of conflicts of interest of concern may be found in IC 4-2-6-9.)

I have thoroughly reviewed the letter of interest for this consultant and certify that the above scores represent my best judgment of this firm's abilities.

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Request for Proposals Bulletin \_\_\_\_\_

Project \_\_\_\_\_

**AFFIRMATIVE ACTION CERTIFICATION FOR DBE**

I hereby certify that my company intends to affirmatively seek out and consider Disadvantaged Business Enterprises (DBEs) certified in the State of Indiana to participate as part of this proposal. I acknowledge that this certification is to be made an integral part of this proposal. I understand and agree that the submission of a blank certification may cause the proposal to be rejected. I certify that I have consulted the following DBE website to confirm that the firms listed below are currently certified DBEs:

[https://financial.gmis.in.gov/psc/guest/EMPLOYEE/ERP/c/SOI\\_APPS\\_MWBE.SOI\\_DBE\\_CERT.GBL?&](https://financial.gmis.in.gov/psc/guest/EMPLOYEE/ERP/c/SOI_APPS_MWBE.SOI_DBE_CERT.GBL?&)

I certify that I have contacted the certified DBEs listed below, and if my company becomes the CONSULTANT, these DBEs have tentatively agreed to perform the services as indicated.

I understand that neither my company nor I will be penalized for DBE utilization that exceeds the goal.

After contract award, any change to the firms listed in this Affirmative Action Certification to be applied toward the DBE goal must have prior approval by INDOT's Economic Opportunity Division.

**SUBCONSULTANTS**

**DBE SUBCONSULTANTS TO BE APPLIED TOWARD GOAL**

<b>Certified DBE Name</b>	<b>Service Planned</b>	<b>Estimated percentage to be paid to DBE*</b>

**DBE SUBCONSULTANTS TO BE USED BEYOND GOAL**

<b>Certified DBE Name</b>	<b>Service Planned</b>	<b>Estimated percentage to be paid to DBE*</b>

**Estimated Dollar Amount Credited toward DBE Goal:** \_\_\_\_\_

**Estimated Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal:** \_\_\_\_\_

**Name of Company:** \_\_\_\_\_

**By:** \_\_\_\_\_ **Date:** \_\_\_\_\_

\*It is understood that these individual firm percentages and dollar amounts are estimates only and that amounts paid may be greater or less as a result of negotiation of the contract scope of work. My firm will use good faith efforts to meet the overall DBE goal through the use of these or other certified and approved DBE firms.