

## SETTLEMENT AGREEMENT

The Commissioner of Labor (here in after referred to as "Commissioner") and the Red Oak Construction, herein after referred to as "Employer") hereby agree as follows:

The Commissioner amends the Safety Order(s) and Notification(s) of Penalty, IOSHA Inspection No. 313669517 issued to the Employer on January 6, 2010, in the following manner.

### SAFETY ORDER 01:

Item 1: Upheld; penalty reduced to \$ 3330.00

**The Employer will train five (5) workers who have a safety responsible in Construction Safety Scaffolding and Fall Protection. The Employer has elected to use the services of IDOL INSafe. The Employer has agreed to complete the training in fifteen (15) working days of the signed agreement. The Employers will forward IDOL the sign-in sheet and the class agenda as proof of abatement when completed.**

Except for the above specified amendments all other provisions of Safety Order Number 313669517 are retained intact.

The TOTAL AGREED PENALTY is \$ 3330.00

**THE EMPLOYER IS SATISFIED WITH THE AMENDMENTS STATED ABOVE AND ACCORDINGLY WAIVES ITS RIGHT TO FILE A NOTICE OF CONTEST OF THE SAFETY ORDER(S) AND NOTIFICATION(S) OF PENALTY AS AMENDED AND AGREES TO WITHDRAW ANY PREVIOUSLY FILED NOTICES OF CONTEST IN THIS MATTER.**

Upon full execution of this Settlement Agreement the Employer will post this Agreement for three (3) working days or until abatement is completed, whichever period is longer.

The total AGREED PENALTY is due and payable on within fifteen (15) working days from the Employer's execution of this Agreement. The Employer further agrees that if the AGREED PENALTY is not paid within fifteen working days from the Employer's execution of this Agreement, that the full amount of the penalty initially assessed against the Employer in the Safety Order(s) and Notification(s) of Penalty which are the subject of this Agreement is due and payable immediately.

The Safety Order(s) and Notification(s) of Penalty are, and shall be, herein a final and enforceable Order of the Board of Safety Review.

Except for this agreement, and matters arising out of this agreement and any other subsequent IOSHA proceedings between the parties, none of the foregoing agreements, statements, findings, and actions taken by Employer shall be deemed an admission by Employer of the allegations contained within the Safety Order(s) and Notification(s) of Penalty. The agreements, statements, findings and actions taken herein are made in order to compromise and settle this matter economically and amicably, and they shall not be used for any other purpose, except as herein stated.

Red Oaks Construction

By: Christian Senguer

Title: owner

Date: 1-12-10

COMMISSIONER OF LABOR

By: [Signature]

Title: [Signature]

Date: 1/15/10

Indiana Department of Labor  
Indiana Occupational Safety and Health Administration  
402 West Washington Street  
Room W195  
Indianapolis, IN 46204-2751  
PHONE 317-232-1979; FAX 317-233-3790



*Certified mail # 7002 0510 0003 8218 0528 12-9-09 JJA*

## Notification of Failure to Abate Alleged Violations

**To:**  
Red Oak Construction  
and its successors  
10220 Thimlar Raod  
Attn: Christian Lengacher  
New Haven, IN 46774

**Original Inspection Number:** 311926414  
**Original Inspection Date(s):** 03/06/2009 - 03/11/2009

**Inspection Number:** 313669517  
**Inspection Date(s):** 09/09/2009 - 09/09/2009  
**Issuance Date:** 12/09/2009

**Inspection Site:**  
703 E. 30<sup>th</sup> St.  
Stetson Senior Housing  
Indianapolis, IN 46205

*The violation(s) described in this Notification of Failure to Abate Alleged Violations is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.*

After the original inspection, a Safety Order(s) was issued to you in accordance with the provisions of the Occupational Safety and Health Act of 1970 (the Act), notifying you of certain violations of the Act and the dates by which they were to be abated. Based upon reinspection, it is alleged that you have failed to abate the violation(s) listed below within the time prescribed, and the following additional penalties are proposed. The additional penalty is computed by multiplying a daily penalty times the number of days the violation(s) remained unabated. You are to notify the Area Director in writing of the date and nature of the corrective action taken. If you do not abate the violation(s), further penalties may be proposed and other enforcement action to compel abatement may be taken under section 11(b) of the Act.

You are further notified that you must pay the ADDITIONAL PENALTY unless you inform the Area Director in writing that you intend to contest the Notification or the Additional Penalty within 15 working days (excluding weekends and State holidays) from your receipt of this notification. If you do not contest within 15 working days after receipt, the Notification and the additional penalties will become the final order of the Occupational Safety and Health Review Commission and may not be reviewed by any court or agency. Issuance of this Notification does not constitute a finding that a violation of the Act has occurred unless there is a failure to contest as provided for in the Act or, if contested, unless the Notification is affirmed by the Review Commission.

**Notification of Corrective Action** - For **each** violation which you do not contest, you are required by 29 CFR 1903.19 to submit an Abatement Certification to the Area Director of the IOSHA office issuing the safety order and identification above. The certification **must** be sent by you within **10 calendar days** of the abatement date of the abatement date identification on the safety order. For **Knowing** and **Repeat** violations, documents (examples: photos, copies of recipes, training records, etc.) demonstrating that abatement is complete must accompany the certification. Where the safety order is classified as **Serious** and the safety orders states that abatement documentation is required, documents such as those described above are required to be submitted along with the abatement certificate. If the safety order indicates

that the violation was corrected during the inspection, no abatement certification is required for that item.

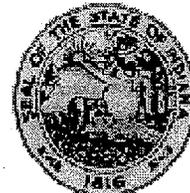
For each safety order where you do contest the violation or the abatement date, your obligation to submit abatement certification and any documents is delayed until the contest and the 10-day period after the abatement date have expired. See 29CFR 1903.19 and the OSHA 3000 booklet for more information.

**All abatement verification documents must contain the following information:** 1) Your name and address; 2) the inspection number (found on the front page); 3) the safety order and safety order item number(s) to which the submission relates; 4) a statement that the information is accurate; 5) the signature of the employer or employer's authorized representative; 6) the date the hazard was corrected; 7) a brief statement of how the hazard was corrected; and 8) a statement that affected employees and their representatives have been informed of the abatement.

The law also requires a copy of all abatement verification documents, required by 29 CFR 1903.19 to be sent to IOSHA, also be posted at the location where the violation appeared and the corrective action took place.

**Inspection Activity Data** - you should be aware that IOSHA publishes information on its inspection and safety order activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to your inspection will be available 7 calendar days after the Safety Order Issuance Date. You are encouraged to review the information concerning your establishment at [WWW.OSHA.GOV](http://WWW.OSHA.GOV). If you have any dispute with the accuracy of the information displayed, please contact this office.

Make your check or money order payable to "DOL-IOSHA". Please indicate the Inspection Number on the remittance.



## NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with IOSHA to discuss the Notification of Failure to Abate Alleged Violations issued on 12/09/09. The conference will be held at the OSHA office located at 402 West Washington Street, Room W195, Indianapolis, IN, 46204 on \_\_\_\_\_ at \_\_\_\_\_. Employees and/or representatives of employees have a right to attend an informal conference.

**Indiana Department of Labor**  
Indiana Occupational Safety and Health Administration



**Notification of Failure to Abate Alleged Violation**

<b>Original Inspection:</b>	311926414	<b>Inspection:</b>	313669517
<b>Original Inspection Dates:</b>	03/06/2009 - 03/11/2009	<b>Inspection Dates:</b>	09/09/2009 - 09/09/2009
		<b>Issuance Date:</b>	12/09/2009

**Company Name:** Red Oak Construction  
**Inspection Site:** 703 E. 30<sup>th</sup> St., Stetson Senior Housing, Indianapolis, IN, 46205

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Safety Order 1 Item 1

29 CFR 1926.454(a): The employer did not have each employee who performs work while on a scaffold trained by a qualified person to recognize any hazard associated with the type of scaffold being used and to understand the procedures to control or minimize those hazards:

FAILURE TO ABATE SAFETY ORDER NO. 1, ITEM NO. 3, ON PREVIOUS INSPECTION NO. 311926414, FISCAL YEAR 2009.

Job site ----- On 09-09-09 and before, training was not given to employees who performed work on scaffolds to recognize hazards associated with scaffolds.

**Additional Penalty:**

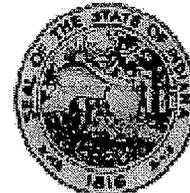
**\$29200.00**

A handwritten signature in black ink, appearing to read "Jerry W. Lardner". The signature is written over a horizontal line.

Jerry W. Lardner  
Director of Construction Safety Compliance

**Indiana Department of Labor**

Indiana Occupational Safety and Health Administration  
402 West Washington Street  
Room W195  
Indianapolis, IN 46204-2751  
Phone: 317-232-1979; FAX: 317-233-3790



**INVOICE/  
DEBT COLLECTION NOTICE**

**Company Name:** Red Oak Construction  
**Inspection Site:** 703 E. 30<sup>th</sup> St.  
Stetson Senior Housing  
Indianapolis, IN 46205  
**Issuance Date:** 12/09/2009

**Summary of Penalties for Inspection Number** 311926414  
**Followup Inspection Number** 313669517

**TOTAL ADDITIONAL PROPOSED PENALTIES = \$29200.00**

To avoid additional charges, please remit payment promptly to this Office for the total amount of the uncontested penalties summarized above. Make your check or money order payable to: "DOL-IOSHA". Please indicate IOSHA's Inspection Number (indicated above) on the remittance.

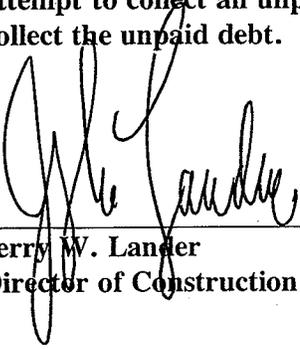
OSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Pursuant to the Debt Collection Act of 1982 (Public Law 97-365) and regulations of the U.S. Department of Labor (29 CFR Part 20), the Occupational Safety and Health Administration is required to assess interest, delinquent charges, and administrative costs for the collection of delinquent penalty debts for violations of the Occupational Safety and Health Act.

Interest. Interest charges will be assessed at an annual rate determined by the Secretary of the Treasury on all penalty debt amounts not paid within one month (30 calendar days) of the date on which the debt amount becomes due and payable (penalty due date). The current interest rate is 5%. Interest will accrue from the date on which the penalty amounts (as proposed or adjusted) become a final order of the Occupational Safety and Health Review Commission (that is, 15 working days from your receipt of the Safety Order and Notification of Penalty), unless you file a notice of contest. Interest charges will be waived if the full amount owed is paid within 30 calendar days of the final order.

**Delinquent Charges.** A debt is considered delinquent if it has not been paid within one month (30 calendar days) of the penalty due date or if a satisfactory payment arrangement has not been made. If the debt remains delinquent for more than 90 calendar days, a delinquent charge of six percent (6%) per annum will be assessed accruing from the date that the debt became delinquent.

**Administrative Costs.** Agencies of the Department of Labor are required to assess additional charges for the recovery of delinquent debts. These additional charges are administrative costs incurred by the Agency in its attempt to collect an unpaid debt. Administrative costs will be assessed for demand letters sent in an attempt to collect the unpaid debt.



\_\_\_\_\_  
Jerry W. Lander  
Director of Construction Safety Compliance

12.9.09

\_\_\_\_\_  
Date