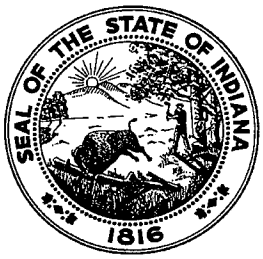
Indiana Department of Labor
Indiana Occupational Safety and Health Association
402 West Washington Street
Room W195
Indianapolis, IN 46204-2751
Phone: 317/232-1979 Fax: 317/233-8509



certified mail # 7003 1010 0003 5731 3389 11-10-10 jto

Safety Order and Notification of Penalty

To:

Pilkington North America,
and its successors
300 Northridge Drive
Shelbyville, IN 46176

Inspection Site:

300 Northridge Drive
Shelbyville, IN 46176

Inspection Number: 314815598

Inspection Date(s): 09/30/2010 - 10/20/2010

Issuance Date: 11/10/2010

The violation(s) described in this Safety Order and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

An inspection of your place of employment has revealed conditions which we believe do not comply with the provisions of the Indiana Occupational Safety and Health Act (Indiana Code Chapter 22-8-1.1) or the standards or rules adopted thereunder. Accordingly, enclosed please find safety order(s) and notification(s) of penalty describing such violation(s) with references to applicable standards, rules, or provisions of the statute and stating the amount of any penalty(ies).

Informal Conference - Please be advised that it may be possible to informally settle any potential dispute without initiating the more elaborate proceedings brought on by a petition for review. Prior to filing a petition for review, you may request an informal conference concerning any of the results of the inspection (safety orders, penalties, abatement dates, etc.) by contacting the Indiana Department of Labor/IOSHA, preferably by telephone, in a prompt manner. Please be advised that a request for an informal conference cannot extend the fifteen working day period for filing a petition for review. Informal conferences frequently resolve any possible disputes, and therefore you are urged to take advantage of this opportunity. Because of the limited time period and in order to facilitate scheduling, any requests for an informal conference should be made promptly upon your receipt of the safety order(s) and notification(s) of penalty.

Right to Contest - You are hereby also notified that you are entitled to seek administrative review of the safety order(s), penalty(ies), or both by filing a written petition for review at the above address postmarked within fifteen working days of your receipt of the safety order(s) and notification(s) of penalty. ("Working days" means Mondays through Fridays, but does not include Saturdays, Sundays, legal holidays under a state statute or days

on which the Indiana Department of Labor's offices are closed during regular business hours). If you do not file such a petition for review (contest), the safety order(s) and penalty(ies) shall be deemed final orders of the Board of Safety Review and not subject to review by any court or agency. The issuance of a safety order does not constitute a finding that a violation has occurred unless no petition for review is filed, or if a petition for review (contest) is filed, it must contain a statement of its basis and should reference the above inspection number. Upon receipt of your petition for review, we will affirm, amend or dismiss the safety order(s) and notification(s) of penalty. If we affirm, your petition for review will be granted (unless it was not timely) and the dispute will be certified by the Board of Safety Review for further proceedings. The Board of Safety Review is an independent agency appointed by the governor with authority to conduct hearings and to issue decisions concerning disputed safety order(s) and notification(s) of penalty. If we amend the safety order(s) or notification(s) of penalty, your petition for review shall be deemed moot. However, you will then be given an opportunity to file a petition for review concerning the amended safety order(s) and notification(s) of penalty.

Please be advised that an employee or representative of employees may file a petition for review to contest the reasonableness of the time stated in the safety order(s) for the abatement of any violation.

Posting - Upon receipt of any safety order(s) you are required to post such safety order(s), or a copy thereof, unedited, at or near each place an alleged violation referred to in the safety order(s) occurred. However, if your operations are such that it is not practicable to post the safety order(s) at or near each place of alleged violation, such safety order(s) shall be posted, unedited, in a prominent place where it will be readily observable by all affected employees. For example, if you are engaged in activities which are physically dispersed, the safety order(s) may be posted at the location from which the employees operate to carry out their activities. You must take steps to ensure that the safety order is not altered, defaced, or covered by other material. Posting shall be until the violation is abated, or for three working days, whichever is longer.

Penalties - Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Abatement does not constitute payment of penalties.

Abatement - The conditions cited in the safety order(s) must be corrected (abated) on or before the date shown for each item on the safety order(s) and notification(s) of penalty unless:

(1) You file a petition for review concerning the violation, in which case the full abatement period shall commence from the issuance of a final decision by the Board of Safety Review or the courts which requires compliance with the safety order; or

(2) The abatement period is extended by the granting of a petition for modification of abatement date.

PMAs - The petition for modification of abatement date is a manner in which you may seek additional time to correct (abate) a violation without having to file a petition for review concerning the safety order, or after the expiration of the time period to file such a petition for review when it becomes apparent that you need extra time to abate the violation. A petition for modification of abatement date shall be in writing and shall include the following information:

(1) All steps you have taken, and the dates of such actions, in an effort to achieve compliance during the prescribed abatement period.

(2) The specific additional abatement time necessary in order to achieve compliance.

(3) The reasons such additional time is necessary, including the unavailability of professional or technical personnel or of materials and equipment, or because necessary construction or alteration of facilities cannot be completed by the original abatement date.

(4) All available interim steps being taken to safeguard employees against the cited hazard during the abatement period.

(5) A certification that a copy of the petition has been posted, and if appropriate, served on the authorized representative of affected employees, and a certification of the date upon which such posting and service was made.

A petition for modification of abatement date shall be filed with the Indiana Department of Labor/IOSHA no later than the close of the next working day following the date on which abatement was originally required. A later-filed petition shall be accompanied by the employer's statement of exceptional circumstances explaining the delay. A copy of such petition shall be posted in a conspicuous place where all affected employees will have notice thereof or near such location where the violation occurred. The petition shall remain posted until the time period for the filing of a petition for review of the Commissioner's granting or denying the petition expires. Where affected employees are represented by an authorized representative, said representative shall be served a copy of such petition.

Notification of Corrective Action - Correction of the alleged violations which have an abatement period of thirty (30) days or less should be reported in writing to us promptly upon correction. A "Letter of Abatement" form and an "Abatement Photographs" worksheet are enclosed for your assistance in providing adequate documentation of abatement. Reports of corrections should show specific corrective action on each alleged violation and the date of such action. On alleged violations with abatement periods of more than thirty (30) days, a written progress report should be submitted, detailing what has been done, what remains to be done, and the time needed to fully abate each such violation. When the alleged violation is fully abated, we should be so advised. Timely correction of an alleged violation does not affect the initial proposed penalty.

Followup Inspections - Please be advised that a followup inspection may be made for the purpose of ascertaining that you have posted the safety order(s) and corrected the alleged violations. Failure to correct an alleged violation may result in additional penalties for each day that the violation has not been corrected.

Employer Discrimination Unlawful - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the Indiana Department of Labor/IOSHA at the address shown above.

Notice to Employees - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the Indiana Department of Labor/IOSHA at the address shown above within fifteen (15) working days (excluding weekends and State holidays) or receipt by the employer of this safety order and penalty.

If you wish additional information, you may direct such requests to us at the address or telephone number stated above.

NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with IOSHA to discuss the safety order(s) issued on 11/10/2010. The conference will be held at the IOSHA office located at 402 West Washington Street, Room W195, Indianapolis, IN 46204 on _____ at _____. Employees and/or representatives of employees have a right to attend an informal conference.

Indiana Department of Labor

Occupational Safety and Health Administration

Inspection Number: 314815598

Inspection Dates: 09/30/2010 - 10/20/2010

Issuance Date: 11/10/2010

Safety Order and Notification of Penalty

Company Name: Pilkington North America

Inspection Site: 300 Northridge Drive, Shelbyville, IN 46176

Safety Order 1 Item 1 Type of Violation: **Serious**

29 CFR 1910.147(c)(4)(ii)(B): The employer did not develop and utilize specific procedural steps for shutting down, isolating, blocking and securing machines or equipment to control hazardous energy:

Cullet Building - On September 29, 2010 and at times prior thereto maintenance employees are exposed to hazards such as but not limited to pneumatic pressure, electrical, pinch points and rotating parts. The employer has not developed or implemented safe procedures for work tasks that are conducted on the conveyor systems in the building. Potential hazards to the employee conducting these work tasks such as but not limited to placing the skirting material back into the conveyor.

Date By Which Violation Must be Abated:	**12/15/2010**
Proposed Penalty:	**$5,000.00**

Safety Order 1 Item 2 Type of Violation: **Serious**

29 CFR 1910.147(c)(5)(i): Locks, tags, chains, wedges, key blocks, adapter pins, selflocking fasteners, or other hardware were not provided by the employer for isolating, securing, or blocking of machines or equipment from energy sources:

Cullet shuttle conveyor's air line - On September 29, 2010 the compressed air line that is connected to the air cylinder which is used in the sorting of the cullet was not capable of being lockout or having the pressure already in the air line bled out. Air cylinder activated when it received a signal from it's PLC and the maintenance employee working in the immediate proximity of the air cylinder became trapped within the conveyor frame.

Date By Which Violation Must be Abated:	**12/15/2010**
Proposed Penalty:	**$5,000.00**

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for illness or injury resulting from an accident.

Safety Order 1 Item 3a Type of Violation: **Serious**

29 CFR 1910.147(c)(6)(i)(C): Where lockout was used for energy control, the periodic inspection did not include a review, between the inspector and each authorized employee, of that employee's responsibilities under the energy control procedure being inspected:

Facility wide - On September 30, 2010 and at times prior thereto Maintenance Department employees are not evaluated on a annual basis to ensure that the authorized employees are performing their responsibilities under the energy control procedures correctly.

Date By Which Violation Must be Abated:	**12/15/2010**
Proposed Penalty:	**$5,000.00**

Safety Order 1 Item 3b Type of Violation: **Serious**

29 CFR 1910.147(c)(6)(ii): The employer had not certified that periodic inspections of the energy control procedures had been performed:

Facility wide - On September 29, 2010 and at times prior thereto the management team did not certify that the annual periodic lockout/tagout procedure audits had been conducted. Authorized maintenance department personnel tell CSHO that they have never been audited on their lockout practices.

Date By Which Violation Must be Abated:	**12/15/2010**

Safety Order 1 Item 4 Type of Violation: **Serious**

29 CFR 1910.147(c)(7)(i)(A): The training program of the employer did not include all the training elements listed under item A of this section:

Cullet Dump Building - The employer did not provide adequate training to ensure that employees acquired the knowledge and skills required for the safe application, usage and removal of energy control devices. Maintenance employees do not secure the potential hazardous energies found in the Cullet Building using the same techniques.

Date By Which Violation Must be Abated:	**12/15/2010**
Proposed Penalty:	**$5,000.00**

Safety Order 1 Item 5 Type of Violation: **Serious**

29 CFR 1910.147(d)(4)(i): Lockout or tagout devices were not affixed to each energy isolating device by authorized employees:

Cullet Shuttle conveyor - On September 29, 2010 lockout locks were not affixed to the shuttle conveyor's electrical disconnect or the air line's ball valve while a maintenance employee placed his body parts in the machine's potentially hazardous danger zones. An air cylinder energized while the maintenance employee was performing assigned work tasks thus injuring the employee.

Date By Which Violation Must be Abated:	**12/15/2010**
Proposed Penalty:	**$5,000.00**

Indiana Department of Labor

Occupational Safety and Health Administration

Inspection Number: 314815598
Inspection Dates: 09/30/2010 - 10/20/2010
Issuance Date: 11/10/2010

Safety Order and Notification of Penalty

Company Name: Pilkington North America
Inspection Site: 300 Northridge Drive, Shelbyville, IN 46176

Safety Order 1 Item 6 Type of Violation: **Serious**

29 CFR 1910.212(a)(1): Machine guarding was not provided to protect operator(s) and other employees from hazard(s) created by rotating parts and/or pinch points:

Cullet shuttle conveyor - On September 30, 2010 and at times prior thereto maintenance employees who are required to perform job tasks on the catwalk side of the shuttle conveyor are exposed to pinch points created when the air cylinder to the sorting chute is activated and to the rotating conveyor belt and rollers.

Date By Which Violation Must be Abated: 12/15/2010
Proposed Penalty: $5,000.00

Safety Order 1 Item 7 Type of Violation: **Serious**

29 CFR 1910.303(g)(2)(i): Live parts of electric equipment operating at 50 volts or more were not guarded against accidental contact by approved cabinets or other forms of approved enclosures, or other means listed under this provision:

Cullet Dump Building - On September 30, 2010 and at times prior thereto a metal electrical box that contained exposed electrical conductors at 120 volts had its panel door open exposing the wires. The electrical box is on the cullet shuttle conveyor's frame work overhanging the cat walk. Maintenance employees have to pass directly in front of the electrical box in order to reach the main disconnect, ball valve for the air line and the air manifold and solenoids.

Date By Which Violation Must be Abated: 12/15/2010
Proposed Penalty: $2,000.00

Robert A. Kattau
Director, Industrial Compliance

Indiana Department of Labor
Indiana Occupational Safety and Health Association
402 West Washington Street
Room W195
Indianapolis, IN 46204-2751
Phone: 317/232-1979 Fax: 317/233-8509

INVOICE/DEBT COLLECTION NOTICE

Company Name: Pilkington North America
Inspection Site: 300 Northridge Drive, Shelbyville, IN 46176
Issuance Date: 11/10/2010

Summary of Penalties for Inspection Number 314815598

Safety Order 01, Serious	=	$32,000.00
Total Proposed Penalties		$32,000.00

Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Make your check or money order payable to: "Indiana DOL/IOSHA". Please indicate IOSHA's Inspection Number (indicated above) on the remittance.

IOSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Corrective action, taken by you for each alleged violation should be submitted to this office on or about the abatement dates indicated on the Safety Order and Notification of Penalty.

A work sheet has been provided to assist in providing the required abatement information. A completed copy of this work sheet should be posted at the worksite with the safety order(s).

Robert A. Kattau
Director, Industrial Compliance

11-10-10
Date