

Applicants for grant assistance must provide responses to the categorical evaluation criteria AND the Administrative Priorities in their grant proposals. Both sets of evaluation criteria and the Historic Preservation Fund (HPF) grant program guidelines are being provided for public review and comment. Please provide any comments to the DHPA Grants Staff by Thursday, April 13, 2017. All public comments received will be presented at the meeting of the State Historic Preservation Review Board on April 19, 2017.

All public comments must be received in writing. Send comments by fax to 317-232-0693, by e-mail to skennedy@dnr.IN.gov, or by mail to DHPA Grants Staff, 402 W. Washington St., Room W274, Indianapolis, IN 46204.

DRAFT FY 2018 ARCHAEOLOGY PRIORITIES

(The priorities for this category are being overhauled for FY2018. The staff's proposed changes and priorities are below, the previous priorities are included at the end of this draft for comparison, but for clarity are not indicated within the text this year).

The Division of Historic Preservation and Archaeology is partnering with land-owning divisions in the Department of Natural Resources to promote archaeological survey on state properties. To this purpose, the DHPA will only be accepting grant proposals that will conduct Phase I archaeology in areas either identified specifically in the Archaeology Goals and Objectives, or that have been coordinated with the DNR division and property staff.

Instructions: Provide complete but concise answers for each of the priority statements below. Please be as specific as possible in your answers, and explain exactly how the proposed project will meet the priority issues. Most projects will adequately address one or more priorities, while only partially addressing others, and will be scored accordingly. If a proposed project does not address a specific priority, mark "NA" as the response.

FEDERAL REQUIREMENTS FOR ARCHAEOLOGY PROJECTS:

Eligible and Priority Activities:

- **Reconnaissance Survey** (Phase I-a: "archival research and a field visit to determine the identity and location of resources present in an area. Such surveys should be systematic and designed so that a determination can be made from the results as to when it is worthwhile to obtain the additional level of documentation (through an Intensive Level Survey) necessary for a National Register nomination.")
- **Intensive Survey** (Phase I-b and Phase I-c: "the systematic, detailed field (and archival) inspection of an area designed to identify fully architectural, archaeological, and historic properties; and calculated to produce a level of documentation sufficient, without any further data, to evaluate National Register eligibility." Intensive survey also includes "controlled surface or shallow subsurface investigations" and methods such as "grid collection or sampling; detailed mapping; piece-plotting; systematic probing, coring, or auguring; use of remote sensing techniques.")
- **Phase I Resurvey Activities** ONLY to modify documented boundaries, identify resources not included in the property's previous eligibility determination, establish a property's relationship with other resources as part of historic context development, or upgrade existing inventory data.

NOTE: Projects that include activities that will directly impact areas or sites where known human remains or burials exist are discouraged.

Ineligible Activities:

- Any archaeological activities that do not meet the eligibility criteria described above;
- Curation of archaeological collections beyond the project end date;
- Exhibition of archaeological collections beyond the project end date;

- Mitigation activities (Phase III).

FUNDING RATIOS FOR SURVEY:

Phase I Survey Activities: 70% federal share / 30% local match

ARCHAEOLOGY PRIORITIES:

Max.

Score: Priority will be given to:

- 20 pts 1. Projects that will involve reconnaissance and/or intensive survey (Phase I) of previously unsurveyed areas or portions of Fish and Wildlife Areas, Nature Preserves, State Forests, or State Parks. Particularly areas that have a high probability for site locations, such as areas along major water courses, or that may need protection due to the potential for increased visitation and use.

Provide an overall description of the project, including: the name of the property, the areas of the property to be surveyed, the proposed activities, the research objectives, project goals and methodology, and how the project will assist with identification, documentation, data collection and/or data reconciliation through field verification.

- 16 pts 2. Projects that will involve reconnaissance and/or intensive survey (Phase I) of areas specifically identified by the State (refer to the “Archaeological Goals and Objectives: Part I: Priority DNR Properties”). *Provide an explanation for choosing the DNR Property and areas to be surveyed, the research objectives and project goals for the areas. If the property is not one of the priority properties, explain the need and significance of the project area being proposed.*

- 16 pts 3. Projects that will maximize grant assistance for reconnaissance or intensive survey (Phase I) activities over a larger areas. *Provide the number of acres to be surveyed, a description of the area and its topography (for example: fields, forests, watercourses, rockshelters, etc.), and an estimate of the number of sites to be recorded. Also provide an approximate percentage of the state-owned property that will be surveyed as a result of the project. The DHPA will assess and score projects based on survey area and topography considerations.*

- 16 pts 4. Projects that describe the data collection plans and methodologies to be used in the project, such as pedestrian survey, geophysical survey, magnetometry, ground penetrating radar, or shovel probes, that will make an important or unique contribution to the body of archaeological knowledge of DNR properties in Indiana. *Describe any specific thematic, methodological, geographic or cultural area of data deficiency that will be addressed in the project. Indicate how the project methodology accounts for the topography, or other property considerations.*

- 12 pts 5. Projects that will assist in the resolution of data inconsistencies or discrepancies (such as multiple site numbers and locations for a single site) within current site information in SHAARD, or that will field verify sites in the DHPA’s records which were reported or are otherwise unverified as the primary focus of a project or as a meaningful component of a larger project. *Explain the methodology that will be used to identify and address data inconsistencies or discrepancies. Estimate the percentage of the project that will address data reconciliation.*

- 12 pts 6. Projects that will include field investigation of mounds or earthworks on DNR properties that were identified by the Preserve America Mounds Inventory Project and were recommended for field verification (specified in “Archaeological Goals and Objectives: Part II: Priority Mounds or Earthworks on DNR Properties”). *Provide information from the Preserve America Mounds Inventory and describe how this project will pursue verification of reported sites that are located on DNR properties. Include the percentage of the overall project that will focus on mounds and earthworks.*

- 12 pts 7. Projects that include a component to promote public awareness and knowledge of archaeology in Indiana through the creation or implementation of specific public education components. *These may include: public education materials deposited at the DNR property or other public institutions for use by the public and/or educators, scheduled public presentations in the county of the project, or proposals specifically geared toward work with avocational groups and volunteers, and conferences and workshops. Describe the education component in detail, explain how it will promote public awareness and knowledge of archaeology in Indiana, and identify the target audience(s). Also, describe how the public education component of the project will address Goal 1 in Indiana's Cultural Resources Management Plan (<http://www.in.gov/dnr/historic/files/hp-IndianaStatePlan2013-2019.pdf>). Public education components that will coincide with Indiana Archaeology Month (September) and will be registered with the DHPA's Archaeology Outreach Coordinator will receive higher points for this criterion.*
- 12 pts 8. Projects that will assist the DHPA in carrying out its responsibilities dealing with Section 106 and state statute issues and other mandated duties through identification and documentation of archaeological and cultural resources. *The DHPA is interested in Phase I projects that can still include valuable research questions and help better understand the archaeological record of the state. This priority is for the DHPA to evaluate and does not require an answer.*

116 Points Possible

ARCHAEOLOGICAL GOALS AND OBJECTIVES

The Division of Historic Preservation and Archaeology is partnering with land-owning divisions in the Department of Natural Resources to promote archaeological survey on DNR state properties. To this purpose, the DHPA will only be accepting grant proposals that will conduct archaeology that will focus on Fish and Wildlife Areas, Nature Preserves, State Forests, or State Parks. Project proposals should be coordinated with the DNR division and specific property management staff. Proposals may also choose to focus on specific properties or areas of properties that are identified below as priorities, as suggested by the respective DNR divisions.

Part I: Priority DNR Properties

HIGH PRIORITY

Fish and Wildlife Areas:

- Goose Pond (Greene County)
- Hovey Lake (Posey County)
- Wilbur Wright (Henry County)

Nature Preserves:

- Bluffs of Beaver Bend (Martin County)
- Calvert and Porter Woods (Montgomery County)
- Stoutsburg Savanna (Jasper County)

State Forests:

- Harrison-Crawford (Harrison and Crawford counties)
 - Wolfpen Ridge survey – Phase Ia archaeological survey of Wolfpen Ridge, a broad upland ridgetop near the Ohio River.
 - Limekiln survey – Reconnaissance or Intensive survey of the limekiln industry located within the current borders of this State Forest.

State Parks:

- Chain O'Lakes (Noble County)
- Clifty Falls (Jefferson County)
 - Civilian Conservation Corps camp.
- Falls of the Ohio (Floyd County)
 - The George Rogers Clark home site could yield archaeological data; the site is threatened with erosion by the dam across the river.
- Lincoln (Spencer County)
- McCormick's Creek (Owen County)
 - Peden Farm, 19th century family farmstead with some extant features.
- Pokagon (Steuben County)
 - The site of the park's Civilian Conservation Corps camp barracks has been identified, but further investigation is warranted.
- Prophetstown (Tippecanoe County)
- Spring Mill (Lawrence County)
 - Unsurveyed areas of the 19th century Pioneer Village recreated in the 1920s and 1930s to represent pioneer lifeways.
- Turkey Run (Parke County)
 - The Lusk Home site was investigated in the early 1980s, but only in the immediate location of the house. Further investigation of the family's homestead is warranted.
- Versailles (Ripley County)
 - Group camp – History related to Civilian Conservation Corps in the 1930s and the National Park Service's Recreation Demonstration Area program.

- Hasmer Hill – Once home to an historic group camp that is no longer extant, but has a high probability for archaeological resources. Also, there are remnants of 19th century farmsteads in the area.

MIDDLE PRIORITY

Nature Preserves:

- Conrad Savanna (Newton County)
- Moraine (Porter County)
- Portland Arch (Fountain County)

State Forests:

- Clark State Forest (Clark, Scott, and Washington counties)
 - Reconnaissance survey of portions of Clark State Forest.
- New Deal survey
 - Intensive Survey of CCC/WPA camps within Indiana State Forests.

State Parks:

- Charlestown (Clark County)
- Mississinewa Lake (Miami County) – *State/DNR-owned areas only*
 - Potential for sites related to Battle of Mississinewa, part of the War of 1812
- Mounds (Madison County)
- Salamonie Lake (Wabash County) – *State/DNR-owned areas only*

LOW PRIORITY

All other DNR properties:

Projects that propose Phase I survey on previously unsurveyed areas of Fish and Wildlife Areas, Nature Preserves, State Forests, and State Parks – particularly areas along major water courses, areas that have a high probability for site locations, or that may need protection due to the potential for increased visitation and use.

Part II: Mounds and Earthworks on DNR Properties

Note: Site numbers below beginning with “PA” indicate mound or earthwork sites that were identified during the DHPA’s Preserve America grant, but which do not have state site numbers. Any questions about mounds and earthworks on DNR properties, and how they are to be incorporated into proposed projects, should be directed to the DHPA.

- Bluffs of Beaver Bend Nature Preserve (Martin County): 12Mn2
- Charlestown State Park (Clark County): PA-CI-023
- Fairbanks Landing Fish & Wildlife Area (Sullivan County): 12Su41, PA-Su-010
- Gray Pitcher Farm Fish & Wildlife Area (Posey County): 12Po3, 12Po843
- Minnehaha Fish & Wildlife Area (Sullivan County): 12Su503
- O’Bannon Woods State Park (Harrison County): 12Hr13
- Summit Lake State Park (Henry County): 12Hn17, 12Hn74
- Versailles State Park (Ripley County): PA-Ri-011, PA-Ri-013
- Wilbur Wright Fish & Wildlife Area (Henry County): 12Hn26, 12Hn28, 12Hn30

FY2017 ARCHAEOLOGY PRIORITIES

(These priorities are being replaced with the previous pages and are provided below only for comparison. Proposed staff changes are not interspersed with strikethrough or bold due to the complexity of the changes)

Instructions: Provide complete but concise answers for each of the priority statements below. Please be as specific as possible in your answers, and explain exactly how the proposed project will meet the priority issues. Most projects will adequately address one or more priorities, while only partially addressing others, and will be scored accordingly. No project will address every priority statement. When a proposed project does not address a specific priority, mark “NA” as the response.

FEDERAL REQUIREMENTS FOR ARCHAEOLOGY PROJECTS:

Eligible and Priority Activities:

- Reconnaissance Survey (Phase I-a: “archival research and a field visit to determine the identity and location of resources present in an area. Such surveys should be systematic and designed so that a determination can be made from the results as to when it is worthwhile to obtain the additional level of documentation (through an Intensive Level Survey) necessary for a National Register nomination.”)
- Intensive Survey (Phase I-b and Phase I-c: “the systematic, detailed field (and archival) inspection of an area designed to identify fully architectural, archaeological, and historic properties; and calculated to produce a level of documentation sufficient, without any further data, to evaluate National Register eligibility.” Intensive survey also includes “controlled surface or shallow subsurface investigations” and methods such as “grid collection or sampling; detailed mapping; piece-plotting; systematic probing, coring, or auguring; use of remote sensing techniques.”)
- Phase I Resurvey Activities ONLY to modify documented boundaries, identify resources not included in the property’s previous eligibility determination, establish a property’s relationship with other resources as part of historic context development, or upgrade existing inventory data.
- National Register nominations ONLY for archaeological sites on the Special Topics List that have already been determined eligible and that do not require further testing.

NOTE: Due to anticipated changes in Archaeological Priorities for FY2018, multi-year and/or multi-phased projects are discouraged.

NOTE: Projects that include activities that will directly impact areas or sites where known human remains or burials exist are discouraged. Consideration will be given for projects that propose to nominate Special Topics Sites that have known burials, but where additional survey is necessary.

Limited testing (Phase II investigation) has been temporarily withdrawn as a priority activity in order to emphasize the need for large scale Phase I surveys and to seek clarification from the National Park Service on the allowable extent of ground disturbance using HPF funds.

Ineligible Activities:

- Any archaeological activities that do not meet the eligibility criteria described above;
- Curation of archaeological collections beyond the project end date;
- Exhibition of archaeological collections beyond the project end date;
- Mitigation activities (Phase III).

FUNDING RATIOS

Phase I Survey Activities: 70% federal share / 30% local match

National Register Nomination (with or without Phase I activity) projects: 50% federal share / 50% local match

ARCHAEOLOGY

Max.

Score: Priority will be given to:

- 20 pts 1. Projects that will involve reconnaissance and/or intensive survey (Phase I) of areas or resources that are threatened or will be impacted by imminent, specific, near-future development, redevelopment, or construction projects, or projects that will survey areas that have already been damaged by development, construction, erosion, flooding, or other forces. *Describe the natural or development threats to the area, or the types of damage that have already occurred, the methodology for proposed activities, and how the project goals will assist with identification, documentation, data collection and/or protection. State whether any specific resources in the project area are listed, determined eligible, or potentially eligible for listing in the National Register. Note that normal agricultural plowing or discing is not considered significant archaeological disturbance.*
- 16 pts 2. Projects that will involve reconnaissance and/or intensive survey (Phase I) of areas with data deficiencies specifically targeted by the State (refer to the “Archaeological Goals and Objectives, Part I”). *Describe the area that will be surveyed, the data deficiencies, and the cultural manifestation(s) that will be investigated. Note: Survey areas located totally within any of the regions identified in “Part I: Topical / Geographical Target Areas” will receive up to sixteen (16) points; survey areas located partially within any of these high priority regions will receive a maximum of ten (10) points.*
- 16 pts 3. Projects that involve reconnaissance or intensive survey (Phase I) activities over a large area. *Provide the number of acres to be surveyed, a description of the area and its topography (for example: farm fields, forests, watercourses, rockshelters, etc.), and an estimate of the number of sites to be recorded. Projects with a survey area of 50 to 99 acres may receive up to four (4) points; projects with a survey area of 100 to 249 acres may receive up to eight (8) points; projects with a survey area of 250-499 may receive up to fourteen (14) points; and projects with a survey area of 500 acres or more may receive up to sixteen (16) points. The DHPA will assess and score projects based on survey area and topography considerations.*
- 16 pts 4. Projects that will include reconnaissance or intensive survey (Phase I) activities related to cultural periods and topics identified in the “Archaeological Goals and Objectives: Part II.” *Describe the cultural period or topic that is the PRIMARY FOCUS of the project and identify the corresponding level of priority based on the Archaeological Goals and Objectives: Part II. Answers that indicate a combination of High-Middle-Low topics will result in a lower overall score. Although it is likely that other cultural periods and topics will be encountered and peripherally explored, this criterion addresses the PRIMARY FOCUS of the project. Only list multiple topics if the primary focus of the project truly covers multiple topics.*
- 30 pts 5. Projects whose primary purpose is to prepare a National Register nomination for one or more of the DHPA-identified “Special Topic Sites” or other site(s) already determined to be eligible for the National Register. Projects may include reconnaissance or intensive survey (Phase I) activities, if necessary as part of the nomination process. *Specify the sites for which a National Register nomination will be prepared and whether they are on the “Special Topic Sites” list. If the sites are not on the Special Topic Sites list, you MUST provide written verification that each site to be nominated has been determined eligible by the DHPA archaeological staff.*
- NOTE:
- Phase II and limited testing will not be considered for funding.
 - National Register nominations (and related Phase I survey activity) are only eligible for 50/50 grant reimbursement. Combining funding ratios within one project is not allowed.
 - Written permission MUST be obtained and submitted as part of the grant proposal for ALL landowners with control over the site(s) to be nominated and/or surveyed.
- 16 pts 6. Projects that will assist in the resolution of data inconsistencies or discrepancies (such as multiple site numbers and locations for a single site) within current site information in SHAARD, or that will field

verify sites in the DHPA's records which were reported or are otherwise unverified as the primary focus of a project or as a meaningful component of a larger project. *Explain the methodology that will be used to identify and address data inconsistencies or discrepancies. Estimate the number of sites or records that will be reviewed and/or corrected as a result of the project.*

- 12 pts 7. Projects that include a component to promote public awareness and knowledge of archaeology in Indiana through the creation or implementation of specific public education components. *These may include: public education materials deposited at public institutions for use by the public and/or educators, a scheduled series of public presentations, projects specifically geared toward work with avocational groups and volunteers, and conferences and workshops. Describe the education component in detail, explain how it will promote public awareness and knowledge of archaeology in Indiana, and identify the target audience(s). Public education components that will coincide with Indiana Archaeology Month (September) and will be registered with the DHPA's Archaeology Outreach Coordinator will receive up to twelve (12) points. Public education components that will not coincide with Indiana Archaeology Month will receive a maximum of six (6) points.*
- 8 pts 8. Projects that will study minority-related resources of the historic period. *Describe the historic minority- or disadvantaged-related resources that will be included in the project, explain how the resources qualify as minority- or disadvantaged-related (in terms of ethnic background, language, culture, religion, socio-economic conditions, gender) of the period, and justify why they were chosen for inclusion.*
- 10 pts 9. Projects that will use Phase I survey activities to make an original or unique contribution to the body of archaeological knowledge of Indiana. *For instance, this may be through a Phase I survey of a specific thematic, methodological, geographic (contiguous or non-contiguous), or cultural area of data deficiency. Describe the research aspect/purpose, hypotheses, methodology, and/or theoretical orientation of the project, and provide a detailed explanation of how the project will contribute to deficient areas of archaeological knowledge in Indiana. The DHPA is interested in Phase I projects that can still include valuable research questions and help better understand the archaeological record of the state.*
- 20 pts 10. Projects that will assist the DHPA in carrying out its responsibilities dealing with Section 106 and state statute issues and other mandated duties. *Examples of projects that meet this priority include large-scale surveys or Phase I surveys that identify and document sites for high priority areas or topics, and, to a much lesser extent, National Register nominations for Special Topic Sites or other eligible archaeological sites. This priority is for the DHPA to evaluate and does not require an answer.*

164 Points Possible

ARCHAEOLOGICAL GOALS AND OBJECTIVES

The items listed below reflect Indiana's topical/geographical, cultural period, and topic needs as identified by the Division of Historic Preservation and Archaeology.

All Archaeology projects should seek to broaden the state's historic and prehistoric archaeological database and assist our understanding of past human behavior and life ways. Consequently, the HPF Archaeology Category is keyed directly to prioritized topical / geographical target areas.

The Topical / Geographical Target Areas, noted below in Part I, indicate those areas within the state for which we (a) have less in the way of either an historic or prehistoric database, and (b) are subject to some form of land-use impact (natural and/or mineral resource extraction, metropolitan and/or industrial development, natural impacts, and detrimental agricultural impacts) that may affect the known cultural resource database and/or remove those resources that are yet to be discovered.

The prioritized Cultural Periods and Topics, noted below in Part II, reflect deficiencies in the state's database that may affect the state's performance in preservation planning, development of the state archaeological inventory, archaeological property evaluations, and the review of state and federal projects. Therefore, such projects are important to the continued development of Indiana's historic preservation and archaeology program.

PART I: Topical / Geographical Target Areas

All of the geographic areas noted below require reconnaissance level surveys to locate, identify, and evaluate sites related to the entire prehistoric cultural sequence and that of the subsequent Euro-American occupations.

Priority Areas:

- a. Previously unsurveyed areas or portions of State Parks, State Forests, Nature Preserves, or other similar types of properties owned by the State.
- b. Areas along major water courses that have not been previously surveyed, have a high probability for site locations, and are threatened by development, mining or other mineral extraction, flooding, and/or erosion. *Note that normal agricultural plowing or discing is not considered significant archaeological disturbance.*
- c. Unsurveyed areas with a high probability for locating archaeological sites in the following counties: Floyd, Fountain, Fulton, Pulaski, Ripley, Scott, Starke, and Washington.
- d. Areas along major transportation corridors, such as Interstate Highways, with the potential for significant development.
- e. Unsurveyed areas in the following rapidly developing counties: Clark, Dearborn, Elkhart, Hancock, Hendricks, Johnson, Lake, LaPorte, Marion, Monroe, Porter, Vanderburgh, and Vigo.
- f. Special Topics Section: sand ridges in Northern Indiana, and glacial lakes in Northern Indiana. High priority consideration will also be accorded for the evaluation of specific threatened sites targeted by the DHPA (refer to the attached list of "Special Topic Sites").

PART II: Cultural Periods and Topics

1. Higher Priority Topics:
 - a. Vincennes Culture, Western Basin Tradition in northeastern Indiana, Upper Mississippian in northern Indiana, Fort Ancient in southeastern Indiana (particularly early Fort Ancient), Late Archaic in the region north of the Wabash River, Riverton-Maples Mills in southeastern Indiana, Crab Orchard, Havana, Late Prehistoric groups in central and south-central Indiana, Middle Woodland in northern Indiana.
 - b. Allison-LaMotte culture, Newtown, Intrusive Mound, Walkerton, Early Woodland (non-Adena).
 - c. Pioneer period and early settlement in Indiana, historic farmsteads and homesteads, early Euro-American settlements and towns, transportation related sites, industrial and urban sites, schools, military-related resources.
2. Middle Priority Topics:
 - a. Goodall, Adena-Hopewell habitation
 - b. Mann, Crab Orchard
 - c. Bluegrass-French Lick
 - d. Early Archaic, Middle Archaic
 - e. Historic Native Americans
3. Lower Priority Topics:
 - a. Middle Mississippian
 - b. Late Archaic, Terminal Archaic
 - c. Marine cultural resources
 - d. Oliver
 - e. Albee
 - f. Paleoindian
 - g. All other cultural traditions

SPECIAL TOPIC SITES

Special Topic Sites have been selected because the DHPA encourages nominating these eligible archaeological sites to the National Register of Historic Places.

Breeden Site (12Hr11)

Harrison County
Shell midden

Site 12Sp7
Spencer County
Multi-component habitation site

Site 12Hr12
Harrison County
Shell midden

Alton Site (12Pe171)
Perry County
Habitation

Bertsch Site (12Wy45/46)
Wayne County
Mound, earthwork

Graves Enclosure Site (12Wy39)
Wayne County
Mound, earthwork

Little Pigeon Creek Site (12W340)
Warrick County
Village

All Seasons Site (12Mi225)
Miami County
Habitation

Site 12Ma8
Marion County
Village

State Line Site (Indiana property)
12D18
Dearborn County

Oberting Site 12D25
Dearborn County
Multicomponent site with mounds and earthworks

Cedar Bluff Rockshelter Site (12Mn72)
Martin County
Rockshelter

Reid Site (12F11)
Floyd County
Shell mound/midden

Cummings Mound Site (12D514)
Dearborn County
Mound

Site 12D486
Dearborn County
Habitation