**Preparation Instructions for Organizational Questionnaire**

Answer the following questions as of your institution’s fiscal year-end. Answers may be listed on continuation pages when adequate space following the question is not provided. Copies of internal reports or other records, such as printouts, are acceptable, provided they contain at least the requested information. The reports or records must also be signed by the executive officer named below, who attests to the accuracy and completeness of all information submitted. For any question that does not apply, or where the answer is none, state "None."

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| I hereby certify that the following statements are true and correct to the best of my knowledge and belief. |
| **Officer’s Name and Title** | **Institution Name and Location** |
|  |  |
| **Officer’s Signature** | **Date Signed** | **Date Information Provided as of** |
|  |  |  |
| This is an official document. Any false information contained in it may be grounds for prosecution and may be punishable by fine or imprisonment. |

1. **Has the institution experienced a breach of the information technology environment through a cyber attack or any other type of malicious activity? If so, please detail the following:**
2. **Type of attack experienced;**
3. **Length of time before the attack was discovered;**
4. **Length of time before the system was fully operational after discovering the attack;**
5. **Amount of financial loss suffered by the institution and/or customers;**
6. **How did the institution addressed this breach with its customer base; and,**
7. **Indicate whether a SAR was filed or if the FBI, Secret Service, or other law enforcement agency was contacted?**
8. **Who is the institution’s current core service provider?**
9. **Has the institution changed internal or external auditing firms in the past year? If so, please provide the reason for the change and the name of the new firm.**
10. **Has the institution had a change in any executive management or directorate membership in the past year? If so, please provide an explanation regarding the circumstances of the change.**

1. **List all irregular transactions between the institution and any of its executive officers, principal shareholders, directors, or their related interests. Irregular transactions consist of all transactions except the following:**

 **• Loans**

 **• Deposits**

 **• Bonuses**

 **• Salaries**

 **• Director fees**

1. **Has the institution purchased/participated in any loans from non-bank/credit union entities? If so, please list from whom and the amount(s) purchased, including participations from CUSOs.**
2. **Does the institution have any outstanding extensions of credit through a warehouse line or directly to a non-bank/credit union financial institution? If so, please provide:**
3. **Name of the servicer;**
4. **Type of loan; and,**
5. **Total lending commitment available**
6. **Does the institution receive funding from conduits such as a Bankers Bank, corporate credit union, an internet deposit gathering service, or deposit pooling service (CDARs, FICA, etc.)?**
7. **Does the institution have any affiliated entities, for example: a captive insurance agency, an investment subsidiary, or a REIT? If so, please detail the type of entity, ownership percentage by the bank/credit union, and the location of the entity.**
8. **Does the institution close loans or open deposit accounts via the internet or at any other location other than a banking office or title company? If so, please list the locations.**
9. **Please provide, if any, the various ways in which your institution performs banking activities (accepting deposits, disbursing proceeds, making loans) with your customers besides branch interaction. For instance, Internet banking, mobile banking, virtual teller, smart vault, remote deposit capture, or at a location other than a branch or title company.**
10. **Has the institution experienced financial loss from fraud in the past year in excess of $10,000? If so, please list the amount, date of the loss, and describe the fraudulent activity resulting in the loss in the past year.**
11. Have any sums been paid, assets exchanged, fees or commissions waived, or similar compromise actions taken as a result of actual, threatened, or potential litigation? If so, please provide details.
12. **Has the institution incurred any direct or indirect liabilities resulting from banking operations and/or fiduciary activities, including unsatisfied judgments, which are not reflected on the books of the institution? If so, please provide details.**
13. **Are there currently any lawsuits outstanding in which the institution is the defendant? If so, please provide the relevant information and projected loss exposure.**
14. **Has the institution entered into any arrangements for digital asset custody, digital asset trading, digital asset third-party services, stablecoin issuance, or stablecoin payments?**
15. **Does the institution have any relationships in which they serve as a loan originator, payment processor, loan underwriter, or deposit holder for third-party firms conducting business under their own corporate name?**