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TELEPHONE SOLICITATIONS ACT REQUEST FOR EXEMPTION OPINION

Pursuant to Ind. Code 24-5-12-1 et. seq.

Instructions for Completing Attorney General's Request for Exemption Opinion Form

INTRODUCTION

The following questions are designed to assist you in determining wheater your business appears to fall within the jurisdiction of the Indiana Telephone Solicitations Act. The Attorney General strongly recommends that you discuss this questionnaire with your private attorney.

Business Name
Address
City, State, Zip
Telephone Number

Attorney's Name & Address

Name and Address of Person to Whole Correspondence Should be Directed

For Office Use Only

Industry Code 96 Practice Code 55 Investigator

YES NO

- I. A. Do you, through yourself, your salespeople, or an automated dialing and answering device, use telephone(s) to solicit the sale of tangible or intangible goods or services, including coupon books? YES NO
- B. Do the items offered for sale cost between \$100.00 and \$50,000.00? YES NO
- C. Are you located in Indiana? YES NO
- D. Do you plan to, or are you currently, soliciting in Indiana? YES NO
- II. A. Is there a representation or implication that a prospect will receive a gift, prize, or the value of a gift or prize? YES NO
- If yes, answer the following six questions (*attach separate pages as needed*):

1. List items offered.
2. State the value of each item described to a prospect and the basis for valuation.
3. State the price paid the supplier for each of these items, and the names, addresses, and telephone numbers of the supplier of each item.
4. State the manner in which you decide which a prospect is to receive, if he/she is to receive fewer than all of the items described.
5. State the odds a prospect or purchaser has of receiving each described item, if he/she is to receive fewer than all of the items described.
6. State all terms and conditions that a prospect must meet in order to receive the item(s).

- | | YES | NO |
|---|--------------------------|--------------------------|
| B. Do you offer a vacation at a reduced price? | <input type="checkbox"/> | <input type="checkbox"/> |
| 1. If yes, is the prospect required to attend a presentation wherein he is solicited to purchase a time-share or camping club membership? | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. If yes, do you own the time-share or camping club? | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. If no, do you represent the owner of the time-share or camping club? | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Describe the source of information and the basis of the valuation of the vacation. <i>(Attach extra pages if necessary)</i> | | |
| C. Do you sell office supplies or equipment? | <input type="checkbox"/> | <input type="checkbox"/> |
| 1. If yes, do you represent that the prospect will, because of some unusual event or imminent price increase, be able to buy these items below the price usually charged or soon to be charged? | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Upon what do you base the assertion of the price advantage? | | |
| D. Regarding the solicitors' identities: | | |
| 1. Provide the name(s) and address(es) of the entities or individuals for whom the solicitors work: | | |
| 2. Do the solicitors offer or provide the information regarding their employer to the prospects and purchases? | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. Do the solicitors use aliases or names other than their true names for security or other purposes? | <input type="checkbox"/> | <input type="checkbox"/> |

YES NO

E. Regarding products being sold:

1. Do you identify to the prospects and purchaser(s) the manufacturer(s) or provider(s) of the product(s) you are selling? YES NO
2. What are the name(s) and address(es) of the manufacturer(s) or provider(s) of the product(s) you are selling?
(Attach separate pages if necessary)

F. Do you offer to sell precious metals, precious stones, coal, or mineral fields, wells, or exploration sites? YES NO

1. If yes, do you own these items? YES NO
2. If no, do you represent the owner of these items? YES NO
3. Describe the source of information and the basis of the valuation of these items.
(attach separate pages if necessary)

If you need to provide copies of brochures, literature, sales contracts, or other material in support of your responses, please enclose.

I HEREBY AFFIRM UNDER PENALTIES OF PERJURY THAT THE ANSWERS TO THE QUESTIONS CONTAINED HEREIN ARE TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF.

Date

Seller's Signature

Printed Signature

Title