SETTLEMENT AGREEMENT for FTA

The Commissioner of Labor (hereinafter referred to as "Commissioner") and *Tikal Inc* (hereinafter referred to as "Employer") hereby agree as follows:

The Commissioner amends the Safety Order(s) and Notification(s) of Penalty, IOSHA Inspection No. 317862100 issued to the Employer on January 30, 2015, in the following manner.

SAFETY ORDER 01:

Item 3 & Item 6: Upheld; GROUP, penalty reduced to \$ 0.00, abatement has been verified on March 12, 2015.

Item 4: Upheld; penalty reduced to \$ 0.00, abatement has been verified on March 12, 2015.

Item 5: Upheld; penalty reduced to \$0.00, abatement has been verified on March 12, 2015.

In exchange, The Employer agrees:

- 1. The Employer has trained all employees who have a safety responsible in Crane safety.
- 2. The Employer trained all Supervisors in Competent Person safety, for cranes.
- 3. The Employer has developed Safety and Health programs.
- 4. IDOL has verified ALL training and programs.
- 5. ALL PENALITIES HAVE BEEN REDUCED TO \$ 0.00, PER Area Director jlANDER.

Respondent understands that a request must be made in writing to IOSHA for a Petition for Modification of Abatement prior to the above abatement date if abatement cannot be met by the respective dates and an extension is required.

Except for the above specified amendments all other provisions of Safety Order Number 317862100 are retained intact.

The TOTAL AGREED PENALTY is \$ 0.00

THE EMPLOYER IS SATISFIED WITH THE AMENDMENTS STATED ABOVE AND ACCORDINGLY WAIVES ITS RIGHT TO FILE A NOTICE OF CONTEST OF THE SAFETY ORDER(S) AND NOTIFICATION(S) OF PENALTY AS AMENDED AND AGREES TO WITHDRAW ANY PREVIOUSLY FILED NOTICES OF CONTEST IN THIS MATTER.

Upon full execution of this Settlement Agreement the Employer will post this Agreement for three (3) working days or until abatement is completed, whichever period is longer.

The total AGREED PENALTY is due and payable on within fifteen (15) working days from the Employer's execution of this Agreement. The Employer further agrees that if the AGREED PENALTY is not paid within fifteen working days from the Employer's execution of this Agreement, that the full amount of the penalty initially assessed against the Employer in the Safety Order(s) and Notification(s) of Penalty which are the subject of this Agreement is due and payable immediately. Make your check or money order payable to: "Indiana DOL/IOSHA". Please indicate IOSHA's Inspection Number (Indicated above) on your remittance. Please send payments to the following address:

Indiana Department of Labor 402 West Washington Street - Room W195 Indianapolis, Indiana 46204

The Safety Order(s) and Notification(s) of Penalty are, and shall be, herein a final and enforceable Order of the Board of Safety Review.

Except for this agreement, and matters arising out of this agreement and any other subsequent IOSHA proceedings between the parties, none of the foregoing agreements, statements, findings, and actions taken by Employer shall be deemed an admission by Employer of the allegations contained within the Safety Order(s) and Notification(s) of Penalty. The agreements, statements, findings and actions taken herein are made in order to compromise and settle this matter economically and amicably, and they shall not be used for any other purpose, except as herein stated.

Ry: Mal Inc

Title: / town

Date: 4-13-205

COMMISSIONER OF LABOR

Title:

By:

Date:

Indiana Occupational Safety and Health Administration 402 West Washington St - Room W195 Indianapolis, IN 46204

Phone: (317)232-1979 FAX: (317)233-3794



Octofied mail# 7012 2210 0002 4729 6023 1-30-15 pto

Notification of Failure to Abate Alleged Violations

To:

Tikal Inc

160 West Carmel Dr., Suite 186

Carmel, IN 46032

Inspection Site:

16091 Malbec Street Fishers, IN 46038 **Original Inspection Number: 317452993**

Original Inspection Date(s): 4/21/2014 - 8/25/2014

Inspection Number: 317862100

Inspection Date(s): 01/28/2015 - 01/30/2015

Issuance Date: 1/30/2015

The violation(s) described in this Notification of Failure to Abate Alleged Violations is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description

given below.

After the original inspection, a Safety Order(s) was issued to you in accordance with the provisions of the Occupational Safety and Health Act of 1970 (the Act), notifying you of certain violations of the Act and the dates by which they were to be abated. Based upon reinspection, it is alleged that you have failed to abate the violation(s) listed below within the time prescribed, and the following additional penalties are proposed. The additional penalty is computed by multiplying a daily penalty times the number of days the violation(s) remained unabated. You are to notify the Area Director in writing of the date and nature of the corrective action taken. If you do not abate the violation(s), further penalties may be proposed and other enforcement action to compel abatement may be taken under section 11(b) of the Act.

You are further notified that you must pay the ADDITIONAL PENALTY unless you inform the Area Director in writing that you intend to contest the Notification or the Additional Penalty within 15 working days (excluding weekends and State holidays) from your receipt of this notification. If you do not contest within 15 working days after receipt, the Notification and the additional penalties will become the final order of the Occupational Safety and Health Review Commission and may not be reviewed by any court or agency. Issuance of this Notification does not constitute a finding that a violation of the Act has occurred unless there is a failure to contest as provided for in the Act or, if contested, unless the Notification is affirmed by the Review Commission.

Notification of Corrective Action - For <u>each</u> violation which you do not contest, you are required by 29 CFR 1903.19 to submit an Abatement Certification to the Area Director of the IOSHA office issuing the safety order and identification above. The certification <u>must</u> be sent by you within <u>10 calendar days</u> of the abatement date identification on the safety order. For **Knowing** and **Repeat**

violations, documents (examples: photos, copies of recipes, training records, etc.) demonstrating that abatement is complete must accompany the certification. Where the safety order is classified as Serious and the safety orders states that abatement documentation is required, documents such as those described above are required to be submitted along with the abatement certificate. If the safety order indicates that the violation was corrected during the inspection, no abatement certification is required for that item.

For each safety order where you do contest the violation or the abatement date, your obligation to submit abatement certification and any documents is delayed until the contest and the 10-day period after the abatement date have expired. See 29CFR 1903.19 and the OSHA 3000 booklet for more information.

All abatement verification documents must contain the following information: 1) Your name and address; 2) the inspection number (found on the front page); 3) the safety order and safety order item number(s) to which the submission relates; 4) a statement that the information is accurate; 5) the signature of the employer of employer's authorized representative; 6) the date the hazard was corrected; 7) a brief statement of how the hazard was corrected; and 8) a statement that affected employees and their representatives have been informed of the abatement.

The law also requires a copy of all abatement verification documents, required by 29 CFR 1903.19 to be sent to IOSHA, also be posed at the location where the violation appeared and the corrective action took place.

Inspection Activity Data - you should be aware that IOSHA publishes information on its inspection and safety order activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to your inspection will be available 7 calendar days after the Safety Order Issuance Date. You are encouraged to review the information concerning your establishment at WWW.OSHA.GOV. If you have any dispute with the accuracy of the information displayed, please contact this office.

Make your check or money order payable to "Indiana DOL/IOSHA". Please indicate the Inspection Number on the remittance.

Indiana Department of Labor Indiana Occupational Safety and Health Administration

NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

| An informal conference has been scheduled with IOSHA to discuss the Notification of Failure to Aba | te |
|--|----|
| Alleged Violations issued on 9/11/2014. The conference will be held at the OSHA office located at 40 |)2 |
| West Washington Street, Room W195, Indianapolis, IN, 46204 on | at |
| . Employees and/or representatives of employees have a right to attend an informal conference. | |

Indiana Occupational Safety and Health Administration

Notification of Failure to Abate Alleged Violation

Original Inspection:

317452993

Inspection Number:

317862100

Original Inspection Date(s): 4/21/2014 - 8/25/2014

Inspection Date(s):

01/28/2015 - 01/30/2015

Issuance Date:

1/30/2015

CSHO ID:

U7851

Optional Report No.:

01915

Company Name: Tikal Inc

Inspection Site:

16091 Malbec Street, Fishers, IN 46038

Safety Order 01 Item 001

IC 22-8-1.1 Section 2: The employer did not furnish employment and a place of employment which were free from recognized hazards that were causing or likely to cause death or serious physical harm to employees, in that employees were exposed to:

Job-site-- On or about April 12, 2014, the crane operator was hoisting, moving or installing trusses under adverse weather/ wind conditions.

FAILURE TO ABATE SAFETY ORDER NO. 01, ITEM NO. 001, INSPECTION NO. 317452993, **ISSUED ON SEPTEMBER 11, 2014.**

Among other methods, one feasible and acceptable abatement method to correct this hazard is to follow the guidelines contained within the Building Component safety Information (BCSI)- B1, Guide for Handling, Installing and Bracing of Metal Plate Connected Wood Trusses which require that trusses have to be to moving or installation under special consideration in adverse weather/wind conditions.

Indiana Occupational Safety and Health Administration

Notification of Failure to Abate Alleged Violation

Original Inspection:

317452993

Original Inspection Date(s): 4/21/2014 - 8/25/2014

Inspection Number:

317862100

Inspection Date(s):

01/28/2015 - 01/30/2015

Issuance Date:

1/30/2015

CSHO ID:

U7851

Optional Report No.:

01915

Company Name: Tikal Inc

Inspection Site:

16091 Malbec Street, Fishers, IN 46038

Safety Order 01 Item 003

29 CFR 1926.20(b)(4): The employer did not permit only employees qualified by training or experience to operate equipment and machinery:

Job-site-- On or about April 12, 2014, the employee operating the truck mounted crane National-model#800D, S#35264, was not qualified.

FAILURE TO ABATE SAFETY ORDER NO. 01, ITEM NO. 003, INSPECTION NO. 317452993, **ISSUED ON SEPTEMBER 11, 2014.**

Indiana Occupational Safety and Health Administration

Notification of Failure to Abate Alleged Violation

Original Inspection:

317452993

Inspection Number:

317862100

Original Inspection Date(s): 4/21/2014 - 8/25/2014

Inspection Date(s):

01/28/2015 - 01/30/2015

Issuance Date:

1/30/2015

CSHO ID:

U7851

Optional Report No.:

01915

Company Name: Tikal Inc

Inspection Site:

16091 Malbec Street, Fishers, IN 46038

Safety Order 01 Item 004

29 CFR 1926.1417(a): The employer must comply with all manufacturer procedures applicable to the operational functions of equipment, including its use with attachments:

Job-site-- On or about April 12, 2014, the employer did not comply with the crane's manufacturer procedures.

FAILURE TO ABATE SAFETY ORDER NO. 01, ITEM NO. 004, INSPECTION NO. 317452993, **ISSUED ON SEPTEMBER 11, 2014.**

Indiana Occupational Safety and Health Administration

Notification of Failure to Abate Alleged Violation

Original Inspection:

317452993

Inspection Number:

317862100

Original Inspection Date(s): 4/21/2014 - 8/25/2014

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Optional Report No.:

01915

Company Name: Tikal Inc

Inspection Site:

16091 Malbec Street, Fishers, IN 46038

Safety Order 01 Item 005

29CF 1926.1417(n): The competent person must adjust the equipment and/or operations to address the effect of wind, ice, and snow on equipment stability and rated capacity:

Job-site-- On or about April 12, 2014, the employer's competent person, operating the truck mounted crane National-model#800D, serial#35264, did not recognized the wind condition as a hazard.

FAILURE TO ABATE SAFETY ORDER NO. 01, ITEM NO. 005, INSPECTION NO. 317452993, **ISSUED ON SEPTEMBER 11, 2014.**

Indiana Occupational Safety and Health Administration

Notification of Failure to Abate Alleged Violation

Original Inspection:

317452993

Inspection Number:

317862100

Original Inspection Date(s): 4/21/2014 - 8/25/2014

Inspection Date(s):

01/28/2015 - 01/30/2015

Issuance Date:

1/30/2015

CSHO ID: U7851

Optional Report No.:

01915

Company Name: Tikal Inc

Inspection Site:

16091 Malbec Street, Fishers, IN 46038

Safety Order 01 Item 006

29 CFR 1926.1430(c)(1): Operators in Training for equipment where certification or qualification is required by this subpart. The employer must train each operator in training in the areas addressed in § 1926.1427(j):

Job-site-- On or about April 12, 2014, the employer did not provide the crane operator with training and certification.

FAILURE TO ABATE SAFETY ORDER NO. 01, ITEM NO. 006, INSPECTION NO. 317452993, **ISSUED ON SEPTEMBER 11, 2014.**

Additional Penalty: \$37,500.00

Director of Construction Safety

Indiana Occupational Safety and Health Administration 402 West Washington St - Room W195 Indianapolis, IN 46204

Phone: (317)232-1979 FAX: (317)233-3790



INVOICE/ DEBT COLLECTION NOTICE

Company Name:

Tikal Inc

Inspection Site:

16091 Malbec Street, Fishers, IN 46038

Issuance Date:

9/11/2014

Opt. Insp. Nr:

01915

Summary of Penalties for Inspection Number:

317452993

Followup Inspection Number:

317862100

Safety Order 1,

Serious

= \$187,500.00

TOTAL ADDITIONAL PROPOSED PENALTIES = \$187,500.00

To avoid additional charges, please remit payment promptly to this Office for the total amount of the uncontested penalties summarized above. Make your check or money order payable to: "Indiana DOL/IOSHA". Please indicate IOSHA's Inspection Number (indicated above) on the remittance.

OSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Pursuant to the Debt Collection Act of 1982 (Public Law 97-365) and regulations of the U.S. Department of Labor (29 CFR Part 20), the Occupational Safety and Health Administration is required to assess interest, delinquent charges, and administrative costs for the collection of delinquent penalty debts for violations of the Occupational Safety and Health Act.

<u>Interest</u>. Interest charges will be assessed at an annual rate determined by the Secretary of the Treasury on all penalty debt amounts not paid within one month (30 calendar days) of the date on which the debt amount becomes due and payable (penalty due date). The current interest rate is 5%. Interest will accrue from the date on which the penalty amounts (as proposed or adjusted) become a final order of the Occupational Safety and Health Review Commission (that is, 15 working days from your receipt of the Safety Order and Notification of Penalty), unless you file a notice of contest. Interest charges will be waived if the full amount owed is paid within 30 calendar days of the final order.

<u>Delinquent Charges</u>. A debt is considered delinquent if it has not been paid within one month (30 calendar days) of the penalty due date or if a satisfactory payment arrangement has not been made. If the debt remains delinquent for more than 90 calendar days, a delinquent charge of six percent (6%) per annum will be assessed accruing from the date that the debt became delinquent.

Administrative Costs. Agencies of the Department of Labor are required to assess additional charges for the recovery of delinquent debts. These additional charges are administrative costs incurred by the Agency in its attempt to collect an unpaid debt. Administrative costs will be assessed for demand letters sent in an attempt to collect the unpaid debt.

Date

-80-15

Jerry Lander

Director of Construction Safety