

ORIGINAL

Commissioner	Yes	No	Not Participating
Huston	✓		
Freeman	✓		
Krevda	✓		
Ober	✓		
Ziegner	✓		

STATE OF INDIANA

INDIANA UTILITY REGULATORY COMMISSION

APPLICATION OF SPECTRUM MID-AMERICA, LLC) CAUSE NO. 45356
FOR DIRECT MARKETING AUTHORITY BY A VIDEO)
SERVICE PROVIDER IN THE STATE OF INDIANA) APPROVED: APR 29 2020

ORDER OF THE COMMISSION

Presiding Officer:
Lora L. Manion, Administrative Law Judge

On March 10, 2020, Spectrum Mid-America, LLC (“Applicant”) filed with the Indiana Utility Regulatory Commission (“Commission”) its Application for Direct Marketing Authority (“Application”) to market the services and/or products offered by Applicant directly to all households and businesses in its service areas within the State of Indiana, pursuant to Ind. Code ch. 8-1-34. On March 13, 2020, Applicant filed a Notice of Submission of Confidential Information. On March 20, 2020, Applicant filed an Amended Application and an amended confidential filing.

Based upon information contained in the Application and applicable law, the Commission makes the following findings:

1. Notice and Jurisdiction. Notice of receipt of the Application was provided on the Commission’s website in accordance with General Administrative Order 2013-4. Applicant is a holder of multiple Certificates of Franchise Authority (“CFA”), which were issued by the Commission in Cause Nos. 43153-VSP-01, 43153-VSP-02, 43153-VSP-03, 43153-VSP-04, 43516-VSP-01, 43516-VSP-02, 43174-VSP-01, and 43197-VSP-01 and has requested issuance of Direct Marketing Authority (“DMA”) pursuant to Ind. Code § 8-1-34-30. Therefore, the Commission has jurisdiction over Applicant and the subject matter of this Cause.

2. Commission Discussion and Findings. Applicant filed an Application for DMA on the form prescribed by the Commission and provided specific information regarding designated employees of the Applicant that it is proposing will be engaged in direct marketing of its services and/or products to all households and businesses in its designated service areas within the State of Indiana. On March 13, 2020, Applicant filed a Notice of Submission of Confidential Information. On March 20, 2020, Applicant filed an Amended Application and an amended confidential filing. Pursuant to Ind. Code § 8-1-34-30(g)(2), a list of the names of Applicant’s designated employees is provided as an Attachment to this Order and available on the Commission’s website at www.in.gov/iurc/.

Applicant has provided specific information to the Commission regarding its designated employees as required by Ind. Code § 8-1-34-30(d) and (e). Based upon the information provided by Applicant, the Commission finds the Application to be complete and properly verified. Therefore, in accordance with Ind. Code § 8-1-34-30(g), the Commission finds that Direct Marketing Authority should be issued to Applicant for its designated employees to market the services and/or products offered by Applicant in its designated service area. The granting of this DMA is subject to the Applicant’s lawful conduct of direct marketing activities and holding a valid CFA, as well as local

laws and regulations governing the hours or manner in which direct marketing activities may be performed and that apply uniformly to all persons engaging in direct marketing or other soliciting.

In addition, the Commission finds that Applicant shall comply with all applicable legal requirements pertaining to the direct marketing of services and/or products in its designated service area, including notice to the Commission of any changes to the Applicant's list of designated employees pursuant to Ind. Code § 8-1-34-30(h). Notice of changes to the Applicant's list of designated employees shall be provided as follows:

- a. If Applicant wishes to add additional designated employees, it must submit verified information that complies with Ind. Code § 8-1-34-30(e)(1) or Ind. Code § 8-1-34-30(f)(1) to the Commission as a supplemental filing under this Cause for each additional employee at least one week prior to such employee performing any direct marketing activities;
- b. If a designated employee leaves the employment of Applicant or no longer meets the certification requirements, Applicant shall notify the Commission through a supplemental filing under this Cause within five (5) business days of the qualifying event that the employee should be removed from the DMA list of approved designated employees.

IT IS THEREFORE ORDERED BY THE INDIANA UTILITY REGULATORY COMMISSION that:

1. Subject to the Findings set forth in this Order, Spectrum Mid-America, LLC, is granted Direct Marketing Authority to market any video service and/or product offered by Applicant directly to all households and businesses in its service areas within the State of Indiana, pursuant to Ind. Code ch. 8-1-34.
2. The authority granted herein is subject to Applicant's lawful conduct of direct marketing activities and holding a valid CFA.
3. Applicant's designated employees are those set forth in the Attachment to this Order. Changes to the list of designated employees shall be made as set forth herein.
4. This Order shall be effective on and after the date of its approval.

HUSTON, FREEMAN, KREVDA, OBER, AND ZIEGNER CONCUR:

APPROVED: APR 29 2020

I hereby certify that the above is a true and correct copy of the Order as approved.



Mary M. Becerra
Secretary of the Commission

Roster of Eligible Employees

Last Name	First Name	Last 4 Digits of Driver's License #
Bovis	Charles	9700
Brennan	John	3215
Clay	Shannon	3911
Cody	Treviel	0434
Cohen-Arazi	Moshe	4102
Ennis	James	2993
Evans	Glenn	0432
Garavaglia	Eric	2932
Grimes	Chester	0173
Hammel	Thomas	4937
Hammer	Eric	9194
Harris	Todd	9364
Huffine	Robert	2006
Lamb	Taylor	9653
Lenze	Andrew	4745
Marion	Daumone	6198
Miller	Joseph	3797
Qualkenbush	Neil	1559
Razmus	Stanley	9133
Razmus	Joseph	1323
Robison	Steven	4126
Weiss	Michael	3107
Williams	David	1983
Young	Matthew	8701