

**ORDER 2021-43
IN RE SETTLEMENT AGREEMENT**

**UNIBET INDIANA, LLC
21-UB-01**

After having reviewed the attached Settlement Agreement, the Indiana Gaming Commission hereby:

APPROVED

APPROVES OR DISAPPROVES

the proposed terms of the Settlement Agreement.


IT IS SO ORDERED THIS THE 23rd DAY OF MARCH, 2021.

THE INDIANA GAMING COMMISSION:



Michael B. McMains, Chair

ATTEST:



Jason Dudich, Secretary

**STATE OF INDIANA
INDIANA GAMING COMMISSION**

IN RE THE MATTER OF:)	
)	SETTLEMENT
UNIBET INDIANA, LLC)	21-UB-01
)	

SETTLEMENT AGREEMENT

The Indiana Gaming Commission (“Commission”) by and through its Executive Director Sara Gonso Tait and Unibet Indiana, LLC (“Unibet”), (collectively, the “Parties”) desire to enter into this settlement agreement (“Agreement”) prior to the initiation of a disciplinary proceeding pursuant to 68 IAC 13-1-18(a). The Parties stipulate and agree that the following facts are true:

FINDINGS OF FACT

1. Chapter 2, Section 16(d) of the Emergency Rules for Sports Wagering provides except as outlined in this section, occupational licensing of an employee, independent contractor, agent or subagent of a sports wagering operator shall be governed by IC 4-33-8 and 68 IAC 2-3.
2. 68 IAC 2-3-9.2(b)(1) requires the occupational licensee to notify the Commission of separation on a form prescribed or approved by the Commission.
3. 68 IAC 2-3-9.2(c) requires the notification must be submitted to the Commission within fifteen (15) days of the occurrence of the change or action.
4. On January 19, 2021, the Commission’s Gaming Administrator was notified that seven (7) employees, a Customer Service/Quality Assurance, five (5) Fraud Analysts and a Player Sustainability Operations Manager were separated on the following dates: August 6, 2020, August 19, 2020, September 24, 2020, October 30, 2020, November 5, 2020, November 20, 2020 and November 22, 2020. The Commission was not notified in a timely manner.

TERMS AND CONDITIONS

Commission staff alleges that the acts or omissions of Unibet by and through its agents as described herein constitute a breach of IC 4-38, 68 IAC, the Emergency Rules for Sports Wagering, and/or Unibet’s approved internal control procedures. The Commission and Unibet hereby agree to a monetary settlement of the alleged violations described herein in lieu of the Commission pursuing formal disciplinary action against Unibet.

Unibet shall pay to the Commission a total of \$3,500 in consideration for the Commission foregoing disciplinary action based on the facts specifically described in the

Findings of Fact contained in this Agreement. This Agreement extends only to those violations and findings of fact specifically alleged in the findings above. If the Commission subsequently discovers facts that give rise to additional or separate violations, the Commission may pursue disciplinary action for such violations even if the subsequent violations are similar or related to an incident described in the findings above.

Upon execution and approval of this Agreement, Commission staff shall submit this Agreement to the Commission for review and final action. Upon approval of the Agreement by the Commission, Unibet agrees to promptly remit payment in the amount of \$3,500 and waive all rights to further administrative or judicial review.

This Agreement constitutes the entire agreement between the Parties. No prior or subsequent understandings, agreements, or representations, oral or written, not specified or referenced within this document will be valid provisions of this Agreement. This Agreement may not be modified, supplemented, or amended, in any manner, except by written agreement signed by all Parties.

This Agreement may be executed in multiple counterparts, each of which shall be deemed an original agreement and both of which shall constitute one and the same agreement. The counterparts of this Agreement may be executed and delivered by electronic mail, facsimile, or other electronic signature by either of the parties and the receiving party may rely on the receipt of such document so executed and delivered electronically as if the original had been received.

This Agreement shall be binding upon the Commission and Unibet.

IN WITNESS WHEREOF, the Parties have signed this Agreement on the date and year as set forth below.

Sara Gonso Tait, Executive Director

Indiana Gaming Commission

Date

DocuSigned by:
Liv Biesemans
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Liv Biesemans, Group Deputy General
Counsel
Kindred Group, Unibet Indiana, LLC

11-03-21 | 7:18 PM GMT

Date

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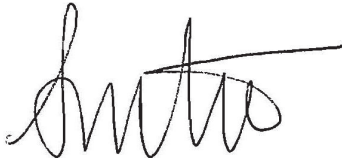
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Sara Gonso Tait, Executive Director
Indiana Gaming Commission

Liv Biesemans, Group Deputy General
Counsel
Kindred Group, Unibet Indiana, LLC

Date

Date