

RESOLUTION 1997- 19

**A RESOLUTION ADOPTING, AS FINAL
RULES, 68 IAC 1-13; 68 IAC 1-14; 68 IAC 2-2-1;
68 IAC 2-3-1; 68 IAC 2-3-1.1; 68 IAC 2-3-4; 68 IAC
2-3-9; 68 IAC 2-6-1; 68 IAC 2-6-5; 68 IAC 2-6-6; 68 IAC
8-1-2; 68 IAC 10-1-6.1; 68 IAC 11-7-4.1; 68 IAC 12-1-4; 68
IAC 12-1-5; 68 IAC 12-1-6; 68 IAC 14-3-4; 68 IAC 15-1-1;
68 IAC 15-2-2; 68 IAC 15-6-2; AND 68 IAC 15-8-1**

The Indiana Gaming Commission (“Commission”) adopts the following resolution pursuant to the authority granted to it under IC 4-33 and pursuant to 68 IAC 1-2-6.

The following factors have been considered by the Commission:

1. The Commission has begun promulgation of these rules pursuant to IC 4-21.5, and these rules were published in either Volume 20 of the *Indiana Register*, pages 777 through 778, on December 1, 1996 or in Volume 20 of the *Indiana Register*, pages 1758 through 1775 on April 1, 1997.
2. The Commission held a public hearing on these rules on April 24, 1997, and accepted written comment on the same. The verbal comments from the public hearing were transcribed, and the transcript as well as the written comments have been provided to the Commission members for review.
3. The promulgation process for the final promulgation of these rules will take approximately four (4) to six (6) months.

**NOW, THEREFORE, BE IT RESOLVED BY THE INDIANA GAMING
COMMISSION, THAT THE FOLLOWING RESOLUTION IS ADOPTED:**

SECTION 1. SCOPE.

This resolution applies to each applicant, each licensee, each riverboat license applicant, any person who does business with an applicant or licensee, and any person who is a patron of a Riverboat Gambling Operation.

SECTION 2. DEFINITIONS.

The definitions set forth in IC 4-33-2 and 68 IAC apply to this resolution.

SECTION 3. ADOPTION OF THE FINAL RULES.

The Commission adopts the following final rules pending completion of the promulgation process:

Article 1 General Provisions

- 68 IAC 1-13 Reporting of Interest in a License
- 68 IAC 1-14 Gaming Enforcement; Reimbursable Expenses for Troopers and Other State Police Personnel

Article 2 Licenses; Approval of Associated Equipment

- 68 IAC 2-2-1 Supplier's license required
- 68 IAC 2-3-1 Occupational license required
- 68 IAC 2-3-1.1 Surveillance department requirements
- 68 IAC 2-3-4 Applications
- 68 IAC 2-3-9 Duty to maintain suitability; duty to disclose
- 68 IAC 2-6-1 General Provisions
- 68 IAC 2-6-5 Security and audit specifications
- 68 IAC 2-6-6 Electronic gaming device inventory requirements; conversion notification

Article 8 Public Safety and Excursions

- 68 IAC 8-1-2 General provisions

Article 9 Ethics

- 68 IAC 9-1-15.1 Post-tenure restrictions
- 68 IAC 9-4-2 Restrictions on gaming by members, employees, and agents
- 68 IAC 9-4-5 Restriction on gaming by owner or employee of riverboat

Article 10 Conduct of Gaming

- 68 IAC 10-1-6.1 Conduct of live gaming device tournaments

Article 11 Internal Control Procedures

68 IAC 11-7-4.1 Maintenance of keys by master

Article 12 Security and Surveillance

68 IAC 12-1-4 Required surveillance

68 IAC 12-1-5 Surveillance system coverage

68 IAC 12-1-6 Surveillance system requirements

Article 14 Gaming Equipment

68 IAC 14-3-4 Removal of cards or dice

Article 15 Accounting Records and Procedures

68 IAC 15-1-1 Applicability; general provisions

68 IAC 15-2-2 Cash transactions

68 IAC 15-6-2 Admissions

68 IAC 15-8-1 Applicability; general provisions

68 IAC 15-11-3.1 Reserve hopper fill requirements

Article 17 Movement of Gaming Equipment

68 IAC 17-1-1 General provisions

Pursuant to IC 4-22-2-27 through IC 4-22-2-30, the Commission adopts the rules which are being forwarded to the Attorney General's Office on or before June 30, 1997 for approval as final rules pursuant to IC 4-22-2-32. Upon approval by the Attorney General's Office, the rules will be submitted to the Governor's office for approval pursuant to IC 4-22-2-33. After the rules have been approved or deemed approved by the Governor, the rules shall be submitted to the Secretary of State for filing in accordance with IC 4-22-2-35. The Commission further adopts any stylistic, grammatical, typographical, or other nonsubstantive changes which the Commission, the Attorney General's Office, or the Legislative Services Agency may make before the rules are printed as final rules in the *Indiana Register*.

SECTION 4. EFFECTIVE DATE.

This RESOLUTION is effective immediately.

SECTION 5. EXPIRATION DATE.

This RESOLUTION expires thirty (30) days from the date and time that the Secretary of State accepts the rules listed in Section 3 for filing.

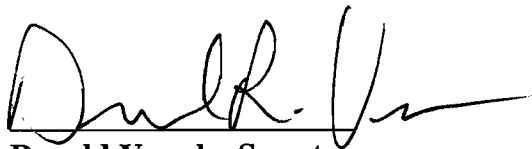
ADOPTED, THIS THE 13TH DAY OF JUNE, 1997:

THE INDIANA GAMING COMMISSION:



Alan I. Klineman, Chair

ATTEST:



Donald Vowels, Secretary