Indiana Horse Racing Commission

Complaint Policy

- Complaints shall be submitted to the Executive Director on a form prescribed by the Commission. The form is available at <u>www.in.gov/hrc</u> and can be filed either electronically, faxed or mailed to the Indiana Horse Racing Commission. The Assistant Executive Director and the agency's General Counsel will both be copied on any complaints received. Any complaint not received on the prescribed form will be returned upon receipt.
- 2. Each complaint shall:
 - a. Enumerate each-alleged violation of the Commission's enabling statute or administrative rules, including the specific statute or rule violated.
 - b. Provide specific facts and circumstances to support the alleged violations, including any relevant documentation.
 - c. Provide the name(s) (and contact information, if available) of individuals who are familiar with the relevant facts and circumstances and documents.
 - d. Complaints must be signed by and include the address and contact information of the complainant.
- 3. Complaints within the scope of racing or pari-mutuel racing shall be forwarded to the Presiding Judge or Senior State Steward, as applicable. The Presiding Judge or Senior State Steward shall review and investigate the complaint and advise the Executive Director of his findings. The Presiding Judge or Senior State Steward will respond to the Complainant in writing. A copy of that response shall be forwarded to the Executive Director.
- 4. Complaints outside the scope of racing or pari-mutuel wagering, but still pertaining to facts and or circumstances within the Commission's jurisdiction, will be reviewed and investigated by the Executive Director or his designee. The Executive Director or his designee will advise the Commission Chair of his findings, and will respond in writing to the Complainant.
- 5. The Indiana Horse Racing Commission shall annually post the following information on the commission's Internet web site.
 - a. A summary of the disciplinary actions taken by the commission in the preceding calendar year.
 - b. A summary of the complaints received and resolved in the preceding calendar year.