

# **Agenda Item #8**

STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2024 Churchill Downs Technology Initiatives Company )  
for Secondary Pari-Mutuel )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.* )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2024 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2024 (hereinafter “the Application”), submitted by Churchill Downs Technology Initiatives Company d/b/a TwinSpires (hereinafter “the Applicant” or “TwinSpires”) on or about October 30, 2023. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Thursday, November 30, 2023, *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 11:00 a.m. in Horseshoe Indianapolis, Racetrack Clubhouse, 4300 N Michigan Rd., Shelbyville, IN 46176. **IN LIEU OF A HEARING, THE COMMISSION MAY ELECT TO CONDITIONALLY APPROVE APPLICANTS SUBJECT TO ANY ADDITIONAL INFORMATION BEING PROVIDED BY APPLICANT BY THE DATE SET IN THE CONDITIONAL FINAL ORDER.** The Hearing will relate to the following Application that was filed with the Commission on or about October 30, 2023:

2024 Application for Licensure as a Secondary Pari-Mutuel Organization of Churchill Downs Technology Initiatives Company d/b/a TwinSpires to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

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<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.* Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Bill Estes, George Pillow, Bill McCarty, and Gus Levensgood, Members. Clarence Leatherbury, Deputy Attorney General, will act as counsel to the Indiana Horse Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff, Staff Attorney, is and will act as counsel to the Commission Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider TwinSpires' 2024 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about October 30, 2023, seeking renewal of its license to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence at Harrah's Horseshoe Indianapolis at 11:00 a.m. or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Monday, November 27, 2023. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Wednesday, November 29, 2023. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the

hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Wednesday, November 29, 2023.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to TwinSpires' 2024 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2024, approval date. The licenses must be renewed annually and will be considered at the regularly scheduled November Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than November 1, 2023, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this

limitation as the Commission moves forward to consider the evidence and make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

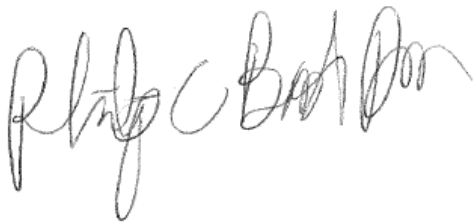
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 21<sup>st</sup> day of November 2023.



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Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission

STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2024 Application of ODS Technologies, L.P.                    )  
for Secondary Pari-Mutuel    )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.*    )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2024 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2024 (hereinafter “the Application”), submitted by ODS Technologies, L.P. d/b/a TVG Network (hereinafter “the Applicant” or “TVG”) on or about October 30, 2023. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Thursday, November 30, 2023, *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 11:00 a.m. in Horseshoe Indianapolis, Racetrack Clubhouse, 4300 N Michigan Rd., Shelbyville, IN 46176. **IN LIEU OF A HEARING, THE COMMISSION MAY ELECT TO CONDITIONALLY APPROVE APPLICANTS SUBJECT TO ANY ADDITIONAL INFORMATION BEING PROVIDED BY APPLICANT BY THE DATE SET IN THE CONDITIONAL FINAL ORDER.** The Hearing will relate to the following Application that was filed with the Commission on or about October 30, 2023:

2024 Application for Licensure as a Secondary Pari-Mutuel Organization of ODS Technologies, L.P., d/b/a TVG Network to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

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<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.* Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Bill Estes, George Pillow, Bill McCarty, and Gus Levensgood, Members. Clarence Leatherbury, Deputy Attorney General, will act as counsel to the Indiana Horse Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff, Staff Attorney, is and will act as counsel to the Commission Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider TVG's 2024 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about October 30, 2023, seeking renewal of its license to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence at Horseshoe Indianapolis at 11:00 a.m. or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Monday, November 27, 2023. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Wednesday, November 29, 2023. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the

hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Wednesday, November 29, 2023.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to TVG's 2024 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2024, approval date. The licenses must be renewed annually and will be considered at the regularly scheduled November Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than November 1, 2023, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this



limitation as the Commission moves forward to consider the evidence and make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

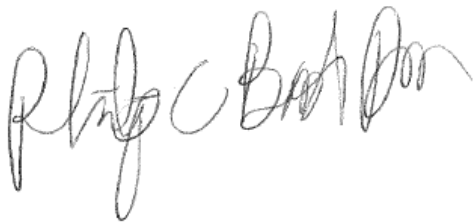
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 21<sup>st</sup> day of November 2023.



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Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission

STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2024 Application of Premier Turf Club, LLC )  
for Secondary Pari-Mutuel )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.* )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2024 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2024 (hereinafter “the Application”), submitted by Premier Turf Club, LLC (hereinafter “the Applicant” or “PTC”) on or about November 2, 2023<sup>1</sup>. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Thursday, November 30, 2023, *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 11:00 a.m. in Horseshoe Indianapolis, Racetrack Clubhouse, 4300 N Michigan Rd., Shelbyville, IN 46176. **IN LIEU OF A HEARING, THE COMMISSION MAY ELECT TO CONDITIONALLY APPROVE APPLICANTS SUBJECT TO ANY ADDITIONAL INFORMATION BEING PROVIDED BY APPLICANT BY THE DATE SET IN THE CONDITIONAL FINAL ORDER.** The Hearing will relate to the following Application that was filed with the Commission on or about November 2, 2023:

2024 Application for Licensure as a Secondary Pari-Mutuel Organization of Premier Turf Club, LLC to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>2</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

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<sup>1</sup> PTC’s filing is considered timely due to PTC’s new owners conflating the earliest date a vendor license can be filed in the system (December 1<sup>st</sup>) with the SPMO application renewal date.

<sup>2</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.* Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Bill Estes, George Pillow, Bill McCarty, and Gus Levengood, Members. Clarence Leatherbury, Deputy Attorney General, will act as counsel to the Indiana Horse Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff, Staff Attorney, is and will act as counsel to the Commission Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider PTC's 2024 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about November 2, 2023, seeking renewal of its license to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence at Horseshoe Indianapolis at 11:00 a.m. or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Monday, November 27, 2023. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Wednesday, November 29, 2023. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the

hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Wednesday, November 29, 2023.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to PTC's 2024 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2024, approval date. The licenses must be renewed annually and will be considered at the regularly scheduled November Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than November 1, 2023, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

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The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

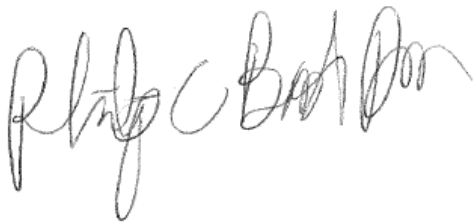
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During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 21<sup>st</sup> day of November 2023.



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Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission

STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2024 Xpressbet, LLC for Secondary Pari-Mutuel )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.* )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2024 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2024 (hereinafter “the Application”), submitted by Xpressbet, LLC (hereinafter “the Applicant” or “Xpressbet”) on or about September 29, 2023. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Thursday, November 30, 2023, *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 11:00 a.m. in Horseshoe Indianapolis, Racetrack Clubhouse, 4300 N Michigan Rd., Shelbyville, IN 46176. **IN LIEU OF A HEARING, THE COMMISSION MAY ELECT TO CONDITIONALLY APPROVE APPLICANTS SUBJECT TO ANY ADDITIONAL INFORMATION BEING PROVIDED BY APPLICANT BY THE DATE SET IN THE CONDITIONAL FINAL ORDER.** The Hearing will relate to the following Application that was filed with the Commission on or about September 29, 2023:

2024 Application for Licensure as a Secondary Pari-Mutuel Organization of Xpressbet, LLC to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.* Members of the Commission present at the meeting intend to act as the Administrative Law Judge

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<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

for the Hearing. The members include: Philip Borst, Chairman; Bill Estes, George Pillow, Bill McCarty, and Gus Levensgood, Members. Clarence Leatherbury, Deputy Attorney General, will act as counsel to the Indiana Horse Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff, Staff Attorney, is and will act as counsel to the Commission Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider Xpressbet's 2024 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about September 29, 2023, seeking renewal of its license to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

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A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Monday, November 27, 2023. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Wednesday, November 29, 2023. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Wednesday, November 29, 2023.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to Xpressbet's 2024 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2024, approval date. The licenses must be renewed annually and will be considered at the regularly scheduled November Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than November 1, 2023, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-.21.5-1-15) with respect to this Application. Indiana Code section 4-21.-5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and make a decision on the Application.



b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

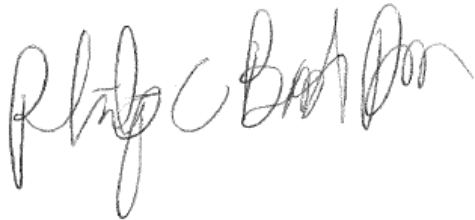
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 21<sup>st</sup> day of November 2023.



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Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission

STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2024 Application of AmWest Entertainment, LLC       )  
for Secondary Pari-Mutuel                                       )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.*    )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2024 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2024 (hereinafter “the Application”), submitted by AmWest Entertainment, LLC (hereinafter “the Applicant” or “AmWest”) on or about October 24, 2023. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Thursday, November 30, 2023, *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 11:00 a.m. in Horseshoe Indianapolis, Racetrack Clubhouse, 4300 N Michigan Rd., Shelbyville, IN 46176. **IN LIEU OF A HEARING, THE COMMISSION MAY ELECT TO CONDITIONALLY APPROVE APPLICANTS SUBJECT TO ANY ADDITIONAL INFORMATION BEING PROVIDED BY APPLICANT BY THE DATE SET IN THE CONDITIONAL FINAL ORDER.** The Hearing will relate to the following Application that was filed with the Commission on or about October 24, 2023:

2024 Application for Licensure as a Secondary Pari-Mutuel Organization of AmWest, LLC to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.*

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<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Bill Estes, George Pillow, Bill McCarty, and Gus Levensgood, Members. Clarence Leatherbury, Deputy Attorney General, will act as counsel to the Indiana Horse Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff, Staff Attorney, is and will act as counsel to the Commission Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider AmWest's 2024 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about October 24, 2023, seeking renewal of its license to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence at Horseshoe Indianapolis at 11:00 a.m. or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Monday, November 27, 2023. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Wednesday, November 29, 2023. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing,

however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Wednesday, November 29, 2023.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to AmWest's 2024 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2024, approval date. The licenses must be renewed annually and will be considered at the regularly scheduled November Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than November 1, 2023, to ensure renewal can be considered at the November meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-.21.5-1-15) with respect to this Application. Indiana Code section 4-21.-5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this

limitation as the Commission moves forward to consider the evidence and make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

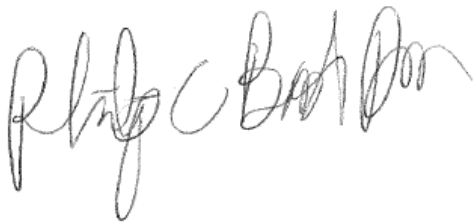
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 21<sup>st</sup> day of November 2023.



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Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission

STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2024 Application of NYRAbets, LLC )  
for Secondary Pari-Mutuel )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.* )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2024 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2024 (hereinafter “the Application”), submitted by NYRAbets, LLC (hereinafter “the Applicant” or “NYRA”) on or about October 31, 2023. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Thursday, November 30, 2023, *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 11:00 a.m. in Horseshoe Indianapolis, Racetrack Clubhouse, 4300 N Michigan Rd., Shelbyville, IN 46176. **IN LIEU OF A HEARING, THE COMMISSION MAY ELECT TO CONDITIONALLY APPROVE APPLICANTS SUBJECT TO ANY ADDITIONAL INFORMATION BEING PROVIDED BY APPLICANT BY THE DATE SET IN THE CONDITIONAL FINAL ORDER.** The Hearing will relate to the following Application that was filed with the Commission on or about October 31, 2023:

2024 Application for Licensure as a Secondary Pari-Mutuel Organization of NYRAbets, LLC to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.*

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<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Bill Estes, George Pillow, Bill McCarty, and Gus Levensgood, Members. Clarence Leatherbury, Deputy Attorney General, will act as counsel to the Indiana Horse Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff, Staff Attorney, is and will act as counsel to the Commission Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider NYRA's 2024 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about October 31, 2023, seeking renewal of its license to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence at Horseshoe Indianapolis at 11:00 a.m. or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Monday, November 27, 2023. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Wednesday, November 29, 2023. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing,

however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Wednesday, November 29, 2023.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to NYRA's 2024 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2024, approval date. The licenses must be renewed annually and will be considered at the regularly scheduled November Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than November 1, 2023, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-.21.5-1-15) with respect to this Application. Indiana Code section 4-21.-5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this



limitation as the Commission moves forward to consider the evidence and make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

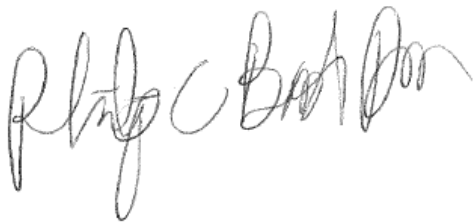
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 21<sup>st</sup> day of November 2023.



---

Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission

STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2024 Application of PENN ADW, LLC, d/b/a )  
Hollywood Races for Secondary Pari-Mutuel )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.* )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2024 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2024 (hereinafter “the Application”), submitted by PENN ADW, LLC, d/b/a Hollywood Races (hereinafter “the Applicant” or “PENN ADW”) on or about November 1, 2023. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Thursday, November 30, 2023, *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 11:00 a.m. in Horseshoe Indianapolis, Racetrack Clubhouse, 4300 N Michigan Rd., Shelbyville, IN 46176. **IN LIEU OF A HEARING, THE COMMISSION MAY ELECT TO CONDITIONALLY APPROVE APPLICANTS SUBJECT TO ANY ADDITIONAL INFORMATION BEING PROVIDED BY APPLICANT BY THE DATE SET IN THE CONDITIONAL FINAL ORDER.** The Hearing will relate to the following Application that was filed with the Commission on or about November 1, 2023:

2024 Application for Licensure as a Secondary Pari-Mutuel Organization of PENN ADW, LLC, d/b/a Hollywood Races to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

---

<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.* Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Bill Estes, George Pillow, Bill McCarty, and Gus Levensgood, Members. Clarence Leatherbury, Deputy Attorney General, will act as counsel to the Indiana Horse Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff, Staff Attorney, is and will act as counsel to the Commission Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider PENN ADW's 2024 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about November 1, 2023, seeking renewal of its license to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence at Horseshoe Indianapolis at 11:00 a.m. or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Monday, November 27, 2023. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Wednesday, November 29, 2023. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the

hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Wednesday, November 29, 2023.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to PENN ADW's 2024 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2024, approval date. The licenses must be renewed annually and will be considered at the regularly scheduled November Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than November 1, 2023, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this

limitation as the Commission moves forward to consider the evidence and make a decision on the Application.

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c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

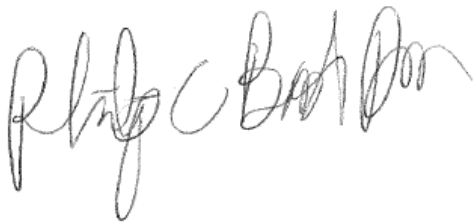
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 21<sup>st</sup> day of November 2023.



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Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission

# **Agenda Item #9**

**From:** [Sara.Tait@icemiller.com](mailto:Sara.Tait@icemiller.com)  
**To:** [Pitman, Deena](#)  
**Cc:** [P. Hendricks](#); [Joseph Morris](#); [Rothenberg, David](#)  
**Subject:** Request for Debt Financing Approval  
**Date:** Monday, November 13, 2023 9:17:09 AM  
**Attachments:** [image001.png](#)  
[image002.png](#)  
[CEI - 2025 Maturities Refinancing - 11.06.23 - Model-c.pdf](#)  
[11.13.23 CZR Financing Request to IHRC-c.pdf](#)

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**\*\*\*\* This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. \*\*\*\***

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Executive Director Pitman,

On behalf of Caesars Entertainment, please find attached a request for an Interim Approval of a Debt Financing. We appreciate the Commission’s time and attention to reviewing this transaction. As noted in the request, we are hopeful to receive approval in advance of December 1, 2023. We stand ready to work with staff and are available to answer any questions that may arise during the course of your review.

Thanks,  
Sara

*Please note: The attached correspondence and materials contain confidential financial information and we would request they be withheld from public disclosure.*

[Sara Tait](#) | Partner



P 317-236-2203 F 317-236-2219

One American Square Suite 2900 Indianapolis, IN 46282-0200

[Sara.Tait@icemiller.com](mailto:Sara.Tait@icemiller.com) | [icemiller.com](http://icemiller.com)



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CONFIDENTIALITY NOTICE: This E-mail and any attachments are confidential and may be protected by legal privilege. If you are not the intended recipient, be aware that any disclosure, copying, distribution, or use of this E-mail or any attachment is prohibited. If you have received this E-mail in error, please notify us immediately by returning it to the sender and delete this copy from your system.

Thank you.  
ICE MILLER LLP

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# **Agenda Item #10**





# INDIANA HORSE RACING COMMISSION

## Ruling Log

Rulings Issued From 1/1/2023 to 11/20/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2020-2091	8/14/20	KEITH E. ERNEST		8/13/20	Medication/Drug/Alcohol Violation (Human)	\$0	8/14/20	12/31/20	140
IG-2021-2410	8/11/21	JOEL BAHENA		7/26/21	Medication/Drug/Alcohol Violation (Human)	\$0	8/11/21	12/31/21	143
IG-2022-2779	8/17/22	RANDALL D. HAFFNER		7/24/22	License Violation	\$0			
IG-2022-2783	8/19/22	JOSE A. BELTRAN	QH	8/18/22	License Violation	\$0			
IG-2022-2869	10/31/22	EDGAR A. DIAZ		10/27/22	License Violation	\$0			
HP-2022-2889	11/17/22	MELANIE S. WRENN	SB	9/13/22	Trainer Responsibility	\$0	11/14/22	1/28/23	76
IG-2023-2903	12/30/22	CYNTHIA LOOMIS	TB	7/4/22	Ruling vacated by Commission pursuant to Final Order signed 7/21/23.				
IG-2023-2904	1/9/23	NICOLE WETTSTEIN		10/21/22	Vet Rule Violation	\$2,500	11/7/22	1/5/23	60
IG-2023-2905	2/8/23	GILBERTO GARCIA-VELAZQUEZ		2/7/23	Conduct/Behavior	\$0	2/9/23	4/21/23	72
IG-2023-2906	2/27/23	BRAD H. COX	TB	7/9/22	Ruling vacated by Commission pursuant to vote on 10/4/23.				
HP-2023-2907	3/17/23	EDWIN NOLASCO	SB	1/12/23	License Violation	\$0	3/17/23		
HP-2023-2908	3/25/23	BRETT A. MILLER	SB	3/24/23	Riding/Driving Infraction Violation	\$200			
IG-2023-2909	3/29/23	JOSE A. CANDIA	QH	11/17/22	Medication/Drug Violation (Equine)	\$5,000	3/30/23	3/28/24	365
IG-2023-2910	3/30/23	EVELYN V. URBINA		3/30/23	License Violation	\$0	3/30/23	4/21/23	23
HP-2023-2911	4/1/23	BRADLEY K. FERGUSON	SB	3/31/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2912	4/1/23	JEREMY R. SMITH	SB	4/1/23	Riding/Driving Infraction Violation	\$150			
HP-2023-2913	4/4/23	BRADLEY K. FERGUSON	SB	3/31/23	Conduct/Behavior	\$50			
HP-2023-2914	4/5/23	JORDAN M. ROSS	SB	4/1/23	Whip Violation	\$200			



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Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2023-2915	4/6/23	CRISTIAN M. PACHECO	SB	3/27/23	Conduct/Behavior	\$500			
HP-2023-2916	4/6/23	ROBERT S. TAYLOR	SB	4/5/23	Riding/Driving Infraction Violation	\$100			
HP-2023-2917	4/8/23	KYLE J. WILFONG	SB	4/7/23	Riding/Driving Infraction Violation	\$200			
IG-2023-2918	4/14/23	THOMAS M. AMOSS	TB	10/17/22	Medication/Drug Violation (Equine)	\$5,000	4/15/23	4/29/23	15
HP-2023-2919	4/15/23	JARED T. SEEKMAN	SB	4/13/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2920	4/20/23	CLAY A. CRAIB	SB	4/13/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2921	4/20/23	ROBERT S. TAYLOR	SB	4/15/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2922	4/21/23	KAYLIN RIVERS-SMITH	SB	4/21/23	License Violation	\$0			
HP-2023-2923	4/21/23	DALE P. HITEMAN	SB	4/20/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2924	4/21/23	BRANDON L. BATES	SB	4/20/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2925	4/22/23	RANDY D. CRISLER	SB	4/21/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2926	4/22/23	SAMUEL D. WIDGER	SB	4/21/23	Riding/Driving Infraction Violation	\$100			
HP-2023-2927	4/22/23	ROBERT S. TAYLOR	SB	4/19/23	Riding/Driving Infraction Violation	\$50			
IG-2023-2928	4/21/23	LEONEL S. OLGUIN		4/7/23	License Violation	\$0			
HP-2023-2929	4/25/23	JOHN J. DELONG	SB	4/22/23	Whip Violation	\$200			
HP-2023-2930	4/25/23	RICHARD C. PLANO	SB	4/7/23	Medication/Drug Violation (Equine)	\$1,000			
HP-2023-2931	4/27/23	REUBEN G. WAGLER	SB	4/15/23	Conduct/Behavior	\$500			
HP-2023-2932	4/28/23	CLAY A. CRAIB	SB	4/27/23	Riding/Driving Infraction Violation	\$200			



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HP-2023-2933	4/27/23	SCOTT A. SKINNER	SB	4/27/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2934	4/28/23	DANELDA S. ROBERTS	SB	4/27/23	Improper Business Manner	\$200			
IG-2023-2935	4/28/23	RONALD K. KINMON	TB	4/28/23	Improper Business Manner	\$500			
HP-2023-2936	5/4/23	SUSAN M. MILLER	SB	5/4/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2937	5/6/23	DONALD J. EASH	SB	5/4/23	Whip Violation	\$200			
HP-2023-2938	5/6/23	BRANDON L. BATES	SB	5/5/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2939	5/6/23	GREGORY M. KAIN	SB	4/22/23	Conduct/Behavior	\$0			
IG-2023-2940	5/8/23	GIOVANI VAZQUEZ-GOMEZ	QH	5/6/23	Whip Violation	\$250			
IG-2023-2941	5/8/23	LUZ D. MARTINEZ	QH	5/6/23	Whip Violation	\$250			
IG-2023-2942	5/9/23	JOHN BYRD III	QH	5/3/23	Riding/Driving Infraction Violation	\$0	5/15/23	5/24/23	10
IG-2023-2943	5/9/23	MARCIAL W. BENITEZ		5/9/23	License Violation	\$100			
HP-2023-2944	5/9/23	DONALD J. EASH	SB	5/6/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2945	5/11/23	JIMMY R. DANIEL	SB	4/29/23	Whip Violation	\$200			
IG-2023-2946	5/11/23	SAUL T. LOPEZ		5/10/23	License Violation	\$0			
HP-2023-2947	5/13/23	DONALD J. EASH	SB	5/10/23	Whip Violation	\$300			
HP-2023-2948	5/13/23	SIXTO RIVAS	SB	5/12/23	Trainer Responsibility	\$100			
IG-2023-2949	5/17/23	MCKENNA K. ANDERSON	TB	5/15/23	Riding/Driving Infraction Violation	\$0	5/23/23	5/23/23	1
IG-2023-2950	5/17/23	ROGELIO A. MIRANDA		5/16/23	Medication/Drug/Alcohol Violation (Human)	\$200			



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HP-2023-2951	5/18/23	PETER M. WRENN	SB	5/17/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2952	5/20/23	LAUREN B. NICKELLS	SB	5/18/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2953	5/20/23	TRAVIS J. SEEKMAN	SB	5/18/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2954	5/20/23	JARED D. FINN	SB	5/18/23	Whip Violation	\$200			
HP-2023-2955	5/19/23	JOHN J. DELONG	SB	5/12/23	Whip Violation	\$300			
HP-2023-2956	5/20/23	MELISSA S. ESSIG	SB	5/19/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2957	5/19/23	CLEMENT C. COOK	SB	5/16/23	License Violation	\$0	11/24/21	5/16/23	539
IG-2023-2958	5/22/23	REYLUIS A. GUTIERREZ	TB	5/17/23	Riding/Driving Infraction Violation	\$0	5/30/23	5/30/23	1
IG-2023-2959	5/22/23	SERGIO A. DONJUAN		5/22/23	Miscellaneous Ruling	\$0			
IG-2023-2960	5/25/23	DEXTAVIOUS I. MITCHELL	QH	5/24/23	Whip Violation	\$250			
HP-2023-2961	5/26/23	HENRY L. WENGERD	SB	3/24/23	License Violation	\$0			
HP-2023-2962	5/26/23	KEITH A. HURLESS	SB	2/3/23	License Violation	\$0			
HP-2023-2963	5/27/23	JORDAN M. ROSS	SB	5/26/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2964	5/27/23	RICHARD L. MACOMBER JR	SB	5/24/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2965	5/29/23	MICHAEL J. OOSTING	SB	5/26/23	Riding/Driving Infraction Violation	\$200			
IG-2023-2966	5/30/23	JESSICA A. VAZQUEZ	QH	5/18/23	Contraband/Drug Violation	\$1,000			
IG-2023-2967	5/30/23	GERMARIUS A. O'NEAL	QH	5/29/23	Whip Violation	\$250			
IG-2023-2968	5/30/23	GIOVANI VAZQUEZ-GOMEZ	QH	5/29/23	Whip Violation	\$500			



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IG-2023-2969	5/31/23	GIOVANI VAZQUEZ-GOMEZ	QH	5/29/23	Whip Violation	\$0			
HP-2023-2970	5/31/23	DONNA A. LONEY	SB	5/29/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2971	5/31/23	DANNY J. JOHNSON	SB	5/31/23	Race Office/Track Rule Violation	\$100			
IG-2023-2972	5/31/23	ROGER L. LEWIS		5/31/23	Miscellaneous Ruling	\$0			
HP-2023-2973	5/31/23	PETER M. WRENN	SB	5/27/23	Whip Violation	\$200			
HP-2023-2974	6/1/23	RICHARD L. MACOMBER JR	SB	5/29/23	Whip Violation	\$200			
HP-2023-2975	6/1/23	ATLEE E. BENDER	SB	5/31/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2976	6/1/23	BRANDON L. BATES	SB	5/29/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2977	6/2/23	MARK P. HERLIHY	SB	5/18/23	Conduct/Behavior	\$250			
HP-2023-2978	6/2/23	CASE A. BATESON	SB	6/1/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2979	6/2/23	TRAVIS J. SEEKMAN	SB	6/1/23	Riding/Driving Infraction Violation	\$50			
IG-2023-2980	6/3/23	MARTIN D. MUNOZ	QH	5/24/23	Riding/Driving Infraction Violation	\$0	6/10/23	6/16/23	7
IG-2023-2981	6/6/23	RENE CAZARES	QH	5/30/23	Conduct/Behavior	\$250			
IG-2023-2982	6/7/23	MARCELINO PEDROZA	TB	6/1/23	Riding/Driving Infraction Violation	\$0	6/13/23	6/13/23	1
IG-2023-2983	6/7/23	CESAR G. GARCIA-ESQUEDA	QH	6/6/23	Whip Violation	\$250			
IG-2023-2984	6/7/23	CHAD E. HASSEBROCK		6/7/23	Miscellaneous Ruling	\$0			
HP-2023-2985	6/7/23	DESHAWN T. HORTON	SB	6/7/23	Conduct/Behavior	\$0	6/7/23	7/12/23	36
HP-2023-2986	6/8/23	KYLE A. HUSTED	SB	6/3/23	Race Office/Track Rule Violation	\$100			



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HP-2023-2987	6/8/23	BRETT H. WILFONG	SB	5/11/23	Medication/Drug Violation (Equine)	\$500			
HP-2023-2988	6/8/23	JORDAN M. ROSS	SB	6/3/23	Whip Violation	\$300			
HP-2023-2989	6/9/23	BRADLEY K. FERGUSON	SB	6/7/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2990	6/9/23	JORDAN M. ROSS	SB	6/7/23	Whip Violation	\$300			
HP-2023-2991	6/10/23	LOGAN A. LONEY	SB	6/7/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2992	6/13/23	ROBERT S. TAYLOR	SB	6/8/23	Riding/Driving Infraction Violation	\$50			
IG-2023-2993	5/19/23	JULIE C. SEBASTIAN		5/19/23	Miscellaneous Ruling	\$0			
IG-2023-2994	6/16/23	OSCAR MACIAS	QH	6/15/23	Whip Violation	\$250			
IG-2023-2995	6/16/23	ROMAN A. CRUZ-JAIME	QH	6/15/23	Whip Violation	\$250			
IG-2023-2996	6/16/23	ORIEL A. CHAVEZ		6/15/23	Conduct/Behavior	\$100			
HP-2023-2997	6/17/23	JORDAN M. ROSS	SB	6/14/23	Whip Violation	\$0			
HP-2023-2998	6/17/23	MICHAEL G. PETERSON	SB	6/16/23	Whip Violation	\$200			
HP-2023-2999	6/17/23	RICHARD L. MACOMBER JR	SB	6/15/23	Riding/Driving Infraction Violation	\$300			
HP-2023-3000	6/14/23	BOBBY A. BROWER	SB	3/14/23	License Violation	\$0			
IG-2023-3001	6/20/23	ROLANDO DEL RIO PINA	QH	6/15/23	Whip Violation	\$250			
IG-2023-3002	6/21/23	JEFF L. ENGLER		6/17/23	Conduct/Behavior	\$250			
HP-2023-3003	6/20/23	ATLEE E. BENDER	SB	6/17/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3004	6/23/23	CASE A. BATESON	SB	6/20/23	Conduct/Behavior	\$100			



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HP-2023-3005	6/23/23	ANTHONY D. SWARTZENTRUBER	SB	6/22/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3006	6/23/23	LEWAYNE L. MILLER	SB	6/22/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3007	6/23/23	HENRY GRABER JR	SB	6/22/23	Trainer Responsibility	\$200			
HP-2023-3008	6/24/23	JULIE N. KAIN	SB	6/24/23	License Violation	\$0	6/24/23	7/13/23	20
HP-2023-3009	6/24/23	GREGORY M. KAIN	SB	6/24/23	License Violation	\$0	6/24/23	7/13/23	20
HP-2023-3010	6/28/23	RICHARD C. PLANO	SB	6/27/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3011	6/30/23	OSCAR MACIAS	QH	6/27/23	Riding/Driving Infraction Violation	\$0	7/6/23	7/6/23	1
HP-2023-3012	7/1/23	HOMER J. HOCHSTETLER	SB	6/21/23	Conduct/Behavior	\$500			
HP-2023-3013	7/1/23	TREVOR G. SMITH	SB	6/27/23	Riding/Driving Infraction Violation	\$250			
HP-2023-3014	7/1/23	CASE A. BATESON	SB	6/29/23	Whip Violation	\$200			
HP-2023-3015	7/4/23	JORDAN M. ROSS		6/30/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3016	7/5/23	GERMAN RODRIGUEZ	QH	7/1/23	License Violation	\$0	7/5/23	8/7/23	34
IG-2023-3018	7/5/23	JUAN D. MARQUEZ		7/1/23	License Violation	\$0	7/5/23	8/7/23	34
IG-2023-3019	7/5/23	ALBERTO BURGOS	TB	6/29/23	Riding/Driving Infraction Violation	\$0	7/12/23	7/12/23	1
IG-2023-3020	7/3/23	FRANCISCO J. QUINTERO	QH	6/29/23	Whip Violation	\$250			
IG-2023-3021	7/3/23	SHANLEY L. JACKSON	QH	6/29/23	Whip Violation	\$250			
IG-2023-3022	7/6/23	CALEB L. LONGWORTH		7/3/23	Medication/Drug/Alcohol Violation (Human)	\$100			



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IG-2023-3023	7/6/23	LUZ D. MARTINEZ	QH	7/3/23	Whip Violation	\$500			
IG-2023-3024	7/7/23	GIOVANI VAZQUEZ-GOMEZ	QH	7/6/23	Whip Violation	\$500	7/13/23	7/18/23	3*
IG-2023-3025	7/8/23	CESAR G. GARCIA-ESQUEDA	QH	7/7/23	Whip Violation	\$500			
HP-2023-3026	7/8/23	DALE P. HITEMAN	SB	6/1/23	Conduct/Behavior	\$1,500	7/12/23	8/10/23	30
HP-2023-3027	7/7/23	DONALD C. COY	SB	7/4/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3028	7/7/23	WILBUR D. SCHWARTZ	SB	7/6/23	Whip Violation	\$200			
HP-2023-3029	7/8/23	KYLE J. WILFONG	SB	7/6/23	Whip Violation	\$200			
HP-2023-3030	7/8/23	MELISSA S. ESSIG	SB	7/7/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3031	7/11/23	PHILIP L. EASH	SB	7/6/23	Conduct/Behavior	\$500			
IG-2023-3032	7/13/23	OSCAR MACIAS	QH	7/11/23	Whip Violation	\$500			
IG-2023-3033	7/13/23	EDGAR A. DIAZ	QH	7/12/23	Whip Violation	\$250			
HP-2023-3034	7/13/23	JULIE N. KAIN	SB	6/24/23	Conduct/Behavior	\$500			
IG-2023-3035	7/13/23	CARLOS CONDOR JR		7/8/23	Medication/Drug/Alcohol Violation (Human)	\$100			
HP-2023-3036	7/14/23	JEFFREY EDWARDS	SB	7/12/23	Riding/Driving Infraction Violation	\$150			
HP-2023-3037	7/14/23	LEWAYNE MILLER	SB	7/12/23	Whip Violation	\$200			
HP-2023-3038	7/15/23	DONALD J. EASH	SB	7/13/23	Whip Violation	\$500			
HP-2023-3039	7/21/23	TRENT P. STOHLER	SB	6/17/23	Medication/Drug Violation (Equine)	\$1,000			
IG-2023-3040	7/21/23	FRANCISCO GARCIA	TB	7/19/23	Riding/Driving Infraction Violation	\$0			





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HP-2023-3041	7/20/23	JORDAN M. ROSS	SB	7/14/23	Whip Violation	\$0	7/27/23	7/29/23	3
HP-2023-3042	7/20/23	LOUIS P. GRABER	SB	4/15/23	Conduct/Behavior	\$500			
HP-2023-3043	7/20/23	TYLER A. MILLER	SB	7/18/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3044	7/20/23	MICHAEL D. KNICLEY	SB	7/18/23	Riding/Driving Infraction Violation	\$300			
HP-2023-3045	7/20/23	ALVIN R. MILLER	SB	7/19/23	Trainer Responsibility	\$200			
HP-2023-3046	7/21/23	LESBIA L. PEREZDELUNA	SB	7/20/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3047	7/22/23	JARED T. SEEKMAN	SB	7/20/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3048	7/22/23	JARED T. SEEKMAN	SB	7/21/23	Whip Violation	\$200			
IG-2023-3049	7/24/23	GERMARIUS A. O'NEAL	QH	7/22/23	Whip Violation	\$500			
IG-2023-3050	7/24/23	CESAR G. GARCIA-ESQUEDA	QH	7/22/23	Whip Violation	\$0	8/1/23	8/3/23	3
IG-2023-3051	7/24/23	LUZ D. MARTINEZ	QH	7/22/23	Whip Violation		8/1/23	8/3/23	3
IG-2023-3052	7/24/23	DEXTAVIOUS I. MITCHELL	QH	7/22/23	Whip Violation	\$500			
IG-2023-3053	7/24/23	CESAR G. GARCIA-ESQUEDA	QH	7/22/23	Whip Violation	\$500	8/5/23	8/9/23	3*
IG-2023-3054	7/24/23	CESAR G. GARCIA-ESQUEDA	QH	7/22/23	Whip Violation	\$500	8/10/23	8/15/23	3*
HP-2023-3055	7/27/23	MARVIN LUNA	SB	7/25/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3056	7/27/23	KYLE J. WILFONG	SB	7/25/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3057	7/27/23	JORDAN M. ROSS	SB	7/26/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3058	7/28/23	MELISSA S. ESSIG	SB	7/27/23	Trainer Responsibility	\$200			



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HP-2023-3059	7/28/23	JOHN J. DELONG	SB	7/21/23	Whip Violation	\$300			
IG-2023-3060	7/31/23	SHELBY M. WEINTRAUT		7/22/23	Conduct/Behavior	\$250			
IG-2023-3061	8/1/23	FRED L. JACKSON	TB	8/1/23	Miscellaneous Ruling	\$0			
HP-2023-3062	8/3/23	JOHN J. DELONG	SB	8/1/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3063	8/3/23	JARED D. FINN	SB	8/1/23	Whip Violation	\$200			
HP-2023-3064	8/4/23	BRANDON L. BATES	SB	8/1/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3065	8/8/23	GIOVANI VAZQUEZ-GOMEZ	QH	8/2/23	Whip Violation	\$500	8/15/23	8/17/23	3
IG-2023-3066	8/8/23	ERIK N. ESQUEDA	QH	8/3/23	Whip Violation	\$250			
HP-2023-3067	8/8/23	BRADLEY K. FERGUSON	SB	8/4/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3068	8/12/23	CASE A. BATESON	SB	8/10/23	Whip Violation	\$300			
HP-2023-3069	8/11/23	KENNETH M. RUCKER	SB	8/11/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3070	8/12/23	ATLEE E. BENDER	SB	8/11/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3071	8/12/23	ERIK N. ESQUEDA	QH	8/10/23	Whip Violation	\$500			
IG-2023-3072	8/12/23	JAVIER TAVARES	QH	8/11/23	Whip Violation	\$250			
IG-2023-3073	8/12/23	ANTONIO RODRIGUEZ	QH	8/11/23	Whip Violation	\$250			
HP-2023-3074	8/15/23	BRETT A. MILLER	SB	8/11/23	Riding/Driving Infraction Violation	\$50			
IG-2023-3075	8/16/23	DEXTAVIOUS I. MITCHELL	QH	8/15/23	Whip Violation	\$500	8/23/23	8/26/23	3*
HP-2023-3076	8/16/23	ATLEE E. BENDER	SB	8/15/23	Riding/Driving Infraction Violation	\$50			



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HP-2023-3077	8/16/23	JOEY D. PUTNAM	SB	8/15/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3078	8/18/23	ROBERT S. TAYLOR	SB	8/16/23	Riding/Driving Infraction Violation	\$150			
HP-2023-3079	8/18/23	ROBERT S. TAYLOR	SB	8/17/23	Whip Violation	\$200			
HP-2023-3080	8/19/23	JAY D. CROSS	SB	8/18/23	Riding/Driving Infraction Violation	\$50			
IG-2023-3081	8/18/23	MARTIN D. MUNOZ	QH	8/16/23	Whip Violation	\$250			
IG-2023-3082	8/18/23	AGUSTIN F. GOMEZ SR	QH	8/16/23	Whip Violation	\$250			
IG-2023-3083	8/22/23	FRANCISCO J. QUINTERO	QH	8/19/23	Whip Violation	\$500			
IG-2023-3084	8/24/23	BILLY E. BIRKETT	TB	8/2/23	Conduct/Behavior	\$500			
IG-2023-3085	8/25/23	CADEN ARTHUR		8/25/23	Miscellaneous Ruling	\$0			
HP-2023-3086	8/23/23	JARED D. FINN	SB	8/18/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3087	8/23/23	WALTER E. HAYNES JR	SB	8/19/23	Whip Violation	\$200			
HP-2023-3088	8/23/23	BRADLEY K. FERGUSON	SB	8/22/23	Whip Violation	\$200			
IG-2023-3089	8/25/23	DAVID HUEBNER		7/28/23	License Violation	\$0			
IG-2023-3090	8/25/23	JOSEPH DALLAO		7/28/23	License Violation	\$0			
IG-2023-3091	8/25/23	CRISTIAN A. TORRES		7/17/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3092	8/25/23	PAUL CHENG		7/28/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3093	8/25/23	TOMMY PIWONSKI		7/17/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3094	8/25/23	SEAN W. DENNY		7/28/23	License Violation	\$0	8/25/23	9/5/23	12



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IG-2023-3096	8/25/23	VICTOR M. LEBRON		8/9/23	License Violation	\$0			
IG-2023-3097	8/25/23	BEN L. DELONG		5/17/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3098	8/25/23	GUSTAVO J. DELGADO JR		7/14/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3099	8/25/23	GUSTAVO DELGADO SR		7/14/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3101	8/25/23	ROBERTO BEVILACQUA		5/28/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3102	8/25/23	SAEED AL QASSIMI		7/10/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3103	8/25/23	CARSON N. MCCORD		5/30/23	License Violation	\$0			
IG-2023-3104	8/25/23	RONALD MCKAY		7/10/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3105	8/25/23	JOHN P. WADE		5/31/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3106	8/25/23	DAVID MOULTON		7/7/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3107	8/25/23	MAX J. FRANKLIN		8/25/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3108	8/25/23	DANIEL CRONIN		7/7/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3110	8/25/23	IRAD ORTIZ JR		6/6/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3111	8/25/23	LUIS A. ALVAREZ		7/4/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3113	8/25/23	KLINT KEESLING		7/3/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3114	8/25/23	CHRISTOPHER M. LANDEROS		6/19/23	License Violation	\$0			
IG-2023-3115	8/26/23	RAY A. GREEN		8/26/23	Miscellaneous Ruling	\$0			
HP-2023-3116	8/29/23	PAUL C. HAUSCHILD JR	SB	8/29/23	License Violation	\$0	8/29/23		



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HP-2023-3117	8/29/23	DEREK HARMON	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3118	8/29/23	REY J. RODRIGUEZ	SB	8/29/23	License Violation	\$0	8/29/23	9/13/23	16
HP-2023-3119	8/29/23	JESSICA D. GRAY	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3120	8/29/23	RYAN MOREFIELD	SB	8/29/23	License Violation	\$0	8/29/23	9/13/23	16
HP-2023-3121	8/29/23	SCOTT R. PRICE	SB	8/29/23	License Violation	\$0	8/29/23	9/2/23	5
HP-2023-3122	8/29/23	ARLANDO PRICE	SB	8/29/23	License Violation	\$0	8/29/23	9/6/23	9
HP-2023-3123	8/29/23	STEVE T. FORTUNE	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3124	8/29/23	LARRY B. STEMEN	SB	8/29/23	License Violation	\$0	8/29/23	9/15/23	18
HP-2023-3125	8/29/23	LAQUINTON SHAVERS	SB	8/29/23	License Violation	\$0	8/29/23	9/6/23	9
HP-2023-3126	8/29/23	JAMES D. BROWN	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3127	8/29/23	ROSHUN D. TRIGG	SB	8/29/23	License Violation	\$0	8/29/23	9/6/23	9
HP-2023-3128	8/30/23	DONALD C. COY	SB	8/25/23	Whip Violation	\$200			
HP-2023-3129	9/1/23	BRANDON L. BATES	SB	8/31/23	Conduct/Behavior	\$0	9/1/23	9/30/23	30
HP-2023-3130	9/2/23	KYLE A. HUSTED	SB	8/29/23	Riding/Driving Infraction Violation	\$150			
HP-2023-3131	9/2/23	KYLE A. HUSTED	SB	9/1/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3132	9/2/23	PATRIZIO ANCORA	SB	8/30/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3133	9/2/23	MICHAEL J. OOSTING	SB	9/1/23	Whip Violation	\$200			
IG-2023-3134	9/7/23	CESAR G. GARCIA-ESQUEDA	QH	9/5/23	Whip Violation	\$500			



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IG-2023-3135	9/7/23	FRANCISCO J. QUINTERO	QH	9/5/23	Whip Violation	\$0			0*
HP-2023-3136	9/7/23	JORDAN M. ROSS	SB	9/5/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3137	9/8/23	CONCEPCION TORRES		9/8/23	Miscellaneous Ruling	\$0			
HP-2023-3138	9/9/23	JARED D. FINN	SB	8/30/23	Whip Violation	\$300			
HP-2023-3139	9/9/23	ATLEE E. BENDER	SB	9/6/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3140	9/12/23	MARVIN LUNA	SB	9/9/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3141	9/12/23	JOHN J. DELONG	SB	9/9/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3142	9/13/23	DON R. TIGER II	SB	9/13/23	License Violation	\$0	9/13/23	9/20/23	8
HP-2023-3143	9/13/23	JOHN E. PITTS	SB	9/13/23	License Violation	\$0	9/13/23	9/19/23	7
HP-2023-3144	9/13/23	PAULA C. WELLWOOD	SB	9/13/23	License Violation	\$0	9/13/23	9/29/23	17
IG-2023-3145	9/13/23	CESAR G. GARCIA-ESQUEDA	QH	9/12/23	Whip Violation	\$500	9/19/23	9/21/23	3
HP-2023-3146	9/15/23	MATTHEW W. KRUEGER	SB	9/14/23	Whip Violation	\$200			
HP-2023-3147	9/16/23	ANDREW J. MCCARTHY	SB	9/15/23	Whip Violation	\$300			
HP-2023-3148	9/16/23	JORDAN M. ROSS	SB	9/14/23	Riding/Driving Infraction Violation	\$100			
IG-2023-3149	9/15/23	MATILDA M. BURNHAM	TB	9/12/23	Riding/Driving Infraction Violation	\$0	9/21/23	9/21/23	1
IG-2023-3150	9/20/23	NICOLE R. PHELPS		9/20/23	Miscellaneous Ruling	\$0			
HP-2023-3151	9/20/23	CARSON M. CONRAD	SB	9/19/23	Whip Violation	\$300			
HP-2023-3152	9/20/23	PETER M. WRENN	SB	9/19/23	Riding/Driving Infraction Violation	\$50			



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HP-2023-3153	9/20/23	JOEY D. PUTNAM	SB	9/19/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3154	9/22/23	DEVON J. THARPS	SB	9/21/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3155	9/23/23	MICHAEL J. OOSTING	SB	9/22/23	Whip Violation	\$300			
HP-2023-3156	9/27/23	MARVIN LUNA	SB	9/26/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3157	9/29/23	TRAVIS T. ROBINSON	SB	9/27/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3158	9/29/23	DALE P. HITEMAN	SB	9/28/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3159	9/30/23	MARK E. P'POOL	SB	9/29/23	Riding/Driving Infraction Violation	\$50			
IG-2023-3160	9/29/23	GIOVANI VAZQUEZ-GOMEZ	QH	9/28/23	Whip Violation	\$500	10/5/23	10/10/23	3*
IG-2023-3161	9/28/23	JOSE L. DAVILA	QH	9/26/23	Whip Violation	\$250			
IG-2023-3162	9/28/23	JOHN A. O'MEARA		9/28/23	Miscellaneous Ruling	\$0			
HP-2023-3163	10/3/23	TRACE A. TETRICK	SB	9/29/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3164	10/3/23	JOHN J. DELONG	SB	9/30/23	Riding/Driving Infraction Violation	\$100			
IG-2023-3165	10/4/23	BOB BASS		10/4/23	Miscellaneous Ruling	\$0			
IG-2023-3166	10/4/23	JOSE L. DAVILA	QH	10/3/23	Whip Violation	\$500			
HP-2023-3167	10/4/23	JOHN J. DELONG	SB	9/29/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3168	10/5/23	JOSE L. DAVILA	QH	10/4/23	Whip Violation	\$0	10/11/23	10/16/23	3*
IG-2023-3169	10/5/23	ARON H. HUNT	QH	10/4/23	Whip Violation	\$250			
HP-2023-3170	10/5/23	DALE P. HITEMAN	SB	10/4/23	Conduct/Behavior	\$0			



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HP-2023-3171	10/6/23	LOGAN A. LONEY	SB	10/4/23	Whip Violation	\$200			
HP-2023-3172	10/6/23	TREVOR G. SMITH	SB	10/4/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3173	10/7/23	MICHAEL J. OOSTING	SB	10/6/23	Whip Violation	\$200			
IG-2023-3174	10/9/23	FERNANDO MORIN	QH	10/7/23	Whip Violation	\$250			
IG-2023-3175	10/9/23	HUGO MACIAS	QH	10/7/23	Whip Violation	\$250			
IG-2023-3176	10/11/23	ARON H. HUNT	QH	10/10/23	Whip Violation	\$500			
HP-2023-3177	10/11/23	JOEY D. PUTNAM	SB	10/10/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3178	10/13/23	ATLEE E. BENDER	SB	10/11/23	Whip Violation	\$200			
HP-2023-3179	10/13/23	DOUGLAS J. RIDEOUT	SB	10/12/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3180	10/14/23	LUKE R. PLANO	SB	10/10/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3181	10/14/23	RONNIE H. WRENN JR	SB	10/13/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3182	10/14/23	TABATHA M. DELONG	SB	10/13/23	Miscellaneous Ruling	\$100			
HP-2023-3183	10/16/23	NEIL E. COLEMAN	SB	10/14/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3184	10/18/23	TRACE A. TETRICK	SB	10/13/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3185	10/20/23	RONNIE H. WRENN JR	SB	10/13/23	Whip Violation	\$200			
HP-2023-3186	10/21/23	JORDAN M. ROSS	SB	10/19/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3187	10/23/23	DEVON J. THARPS	SB	10/12/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3188	10/23/23	JORDAN M. ROSS	SB	10/20/23	Riding/Driving Infraction Violation	\$100			





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HP-2023-3189	10/23/23	DAVID S. MILLER	SB	10/20/23	Whip Violation	\$200			
HP-2023-3190	10/23/23	ANDREW J. MCCARTHY	SB	10/20/23	Whip Violation	\$300			
HP-2023-3191	10/23/23	AKE SVANSTEDT	SB	10/21/23	Riding/Driving Infraction Violation	\$50			
IG-2023-3192	10/25/23	BRANDON P. WELLS		10/25/23	License Violation	\$0	10/25/23	1/1/24	69
HP-2023-3193	10/26/23	JOEY D. PUTNAM	SB	10/21/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3194	10/26/23	DOUGLAS R. MCNAIR	SB	10/21/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3195	10/26/23	ARON H. HUNT	QH	10/25/23	Whip Violation	\$0	11/1/23	11/7/23	3*
HP-2023-3196	10/31/23	SCOTT NANCE	SB	10/20/23	Trainer Responsibility	\$100			
HP-2023-3197	10/31/23	JORDAN M. ROSS	SB	10/25/23	Whip Violation	\$300			
HP-2023-3198	10/31/23	ROBERT S. TAYLOR	SB	10/27/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3199	10/31/23	JASON E. ALLEN	SB	10/31/23	License Violation	\$0	10/31/23		
HP-2023-3200	11/2/23	JORDAN M. ROSS	SB	11/27/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3201	11/2/23	MARVIN LUNA	SB	11/1/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3202	11/4/23	YANNICK GINGRAS	SB	10/28/23	Whip Violation	\$500			
IG-2023-3203	11/8/23	GIOVANI VAZQUEZ-GOMEZ	QH	11/2/23	Riding/Driving Infraction Violation	\$0	11/15/23	11/17/23	3
IG-2023-3204	11/10/23	JOSE L. DAVILA	QH	11/7/23	Whip Violation	\$500	11/16/23	4/8/24	3*
IG-2023-3205	11/10/23	ISIDRO I. BANUELOS	QH	11/2/23	Whip Violation	\$250			
IG-2023-3206	10/30/23	JOHN BYRD III	QH	10/28/23	Whip Violation	\$250			



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IG-2023-3207	10/28/23	GERALD A. CRAWFORD		10/28/23	Miscellaneous Ruling	\$0			
HP-2023-3208	11/11/23	MICHAEL G. PETERSON	SB	11/8/23	Whip Violation	\$200			
HP-2023-3209	11/11/23	MARVIN LUNA	SB	11/10/23	Whip Violation	\$200			
IG-2023-3210	11/16/23	JOSE M. RUIZ	QH	11/14/23	Riding/Driving Infraction Violation	\$0	4/8/24	4/17/24	3*
HP-2023-3211	11/18/23	MATTHEW W. KRUEGER	SB	11/16/23	Riding/Driving Infraction Violation	\$100			