

Agenda Item #12



State of Indiana Indiana Horse Racing Commission

Eric Holcomb, Governor

www.in.gov/hrc

September 6, 2023

Antoine Allevato
President
NYRAbets, LLC
110-00 Rockaway Boulevard
S. Ozone Park, NY 11417

Secondary Pari-Mutuel Organization Probationary License Approval

Dear Mr. Allevato,

This letter is to inform you that the Indiana Horse Racing Commission (“IHRC”) has received notice of the acquisition of NYRA Bets, LLC’s (“NYRA Bets”) transition from Amtote to United Tote as its provider for totalizator equipment and services as of September 6, 2023.

Pursuant to IHRC rules, “[a] licensed SPMO shall not make subsequent material changes in the plan of operations or advance deposit wagering terms and agreement, or both, unless ordered by the commission or until approved by the commission after receiving a written request.” 71 Ind. Admin. Code 9-2.2-4(d), (emphasis added). Since prior Commission approval has not been granted, the IHRC Executive Director has authorized (under 71 IAC 2-2-1(b)) **probationary** approval of NYRA Bets transition from Amtote to United Tote as its provider for totalizator equipment and services as of September 6, 2023, to NYRA Bets’ secondary pari-mutuel organization (“SPMO”) license application.

Pursuant to 71 IAC 9-2.2-2, the IHRC may issue an SPMO license if the commission: (1) finds that the applicant satisfies the requirements of this article; and (2) approves the contract submitted under section 6 of the rule. The IHRC has determined that it is in the best interest of the horse racing industry and the betting public to expedite the approval process and ensure that advance deposit wagering (“ADW”) is being offered as a wagering option legally at both Indiana racetracks.

The issuance of this probationary approval confirms that IHRC has found that NYRA Bets transition from Amtote to United Tote as its provider for totalizator equipment and services as of September 6, 2023, is substantially compliant with the application requirements set forth in 71 IAC 9-2.2-3 and 71 IAC 9-2.2-4. This probationary approval does not, however, waive any right of the IHRC to request additional application information or conduct additional investigation of the acquisition information, pursuant to the authority set forth in 71 IAC 9-2.2-4. NYRA Bets’ probationary approval is contingent upon its ongoing commitment to supplement or amend its SPMO application as required by the IHRC.

The NYRA Bets SPMO license amendment will be presented to the commission for approval/denial at the next publicly scheduled meeting of the Commission. NYRA Bets must continue to comply with IHRC requests for additional information and/or IHRC investigations of the information offered. Any failure to cooperate with IHRC in its continuing evaluation process may result in immediate revocation of the probationary license.



State of Indiana Indiana Horse Racing Commission

Eric Holcomb, Governor

www.in.gov/hrc

If you have any additional questions or concerns, please do not hesitate to contact IHRC Staff Attorney Dale Lee Pennycuff via email at DPennycuff@hrc.IN.gov or General Counsel David Rothenberg via email at DRothenberg@hrc.IN.gov or Deputy General Counsel Matt Eggiman via email at MEggiman1@hrc.IN.gov.

Sincerely,

Deena Pitman
Executive Director
Indiana Horse Racing Commission

Cc: David Rothenberg, General Counsel
Matt Eggiman, Deputy General Counsel

Agenda Item #13

From: [Tim Lambert](#)
To: [Pennycuff, Dale L](#)
Subject: Notice of Tenth Subsequent Term of the Initial Distribution Agreement
Date: Monday, September 11, 2023 5:20:47 PM

**** This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. ****

Good afternoon, Dale. As you know, Section 2 of the Initial Distribution Agreement (“IDA”) entered into by and among Harrah’s Hoosier Park, Horseshoe Indianapolis, the IHBPA, the ISA, and the QHRAI provided for an initial term ending on December 31, 2014 (“Initial Term”), which automatically extends for additional one-year terms provided that neither the Negotiating Committee of the licensees or the associations provides written notice of non-renewal prior to September 1st of any then-current term. The IDA has been extended annually following the Initial Term.

The purpose of this email is to notify the Indiana Horse Racing Commission (“Commission”) that as of September 1, 2023, no notice of non-renewal has been given or received by either the licensees’ or the associations’ Negotiating Committee and, therefore, a Tenth Subsequent Term will commence on January 1, 2024 and end on December 31, 2024, without further action required by the Commission or parties to the IDA.

Please let me know if you have any questions or require additional information.

Thank you,

Tim Lambert



Tim Lambert | *Senior Vice President and Assistant General Counsel, Operations, Regulatory and Compliance*
O +1(815)740-2320 | M +1(815)341-4239 | F +1(815)740-2335
151 N. Joliet Street | Joliet, Illinois 60432
Caesars | Harrah's | Horseshoe
www.caesars.com

IMPORTANT NOTICE: CONFIDENTIALITY AND LEGAL PRIVILEGE This email is intended only for the use of the addressee and may contain legally privileged and confidential information. If you are not the intended addressee, you are notified that any use, transmission, distribution, copying, or actions in reliance on this e-mail is strictly prohibited. The legal privilege and confidentiality attached to this e-mail is not waived, lost or destroyed by reason of a mistaken delivery to you. If you have received this email in error, please notify us immediately by email or telephone and delete the original from any computer or device.

Agenda Item #14

Pitman, Deena

From: Pitman, Deena
Sent: Sunday, August 6, 2023 1:35 PM
To: Scott Peine
Cc: Rick Moore; Gumm, Kevin
Subject: RE: Mackenzie Ainsworth approval

Scott, I have reviewed your request below and have also consulted with Kevin as well. Collectively, we see no problem with allowing Mackenzie to fill in as a backup Identifier as needed. That said, when such an occurrence arises, please let the Judges know as far in advance as possible. ~Deena

Sincerely,

Deena Pitman

Executive Director
dpitman@hrc.in.gov
317-233-3119



From: Scott Peine <SPeine@caesars.com>
Sent: Thursday, August 3, 2023 12:29 PM
To: Pitman, Deena <dpitman@hrc.IN.gov>
Cc: Rick Moore <RMoore9@caesars.com>; Gumm, Kevin <KGumm@hrc.IN.gov>
Subject: Mackenzie Ainsworth approval

**** This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. ****

Deena:

This email is in regards to an employee of mine, Mackenzie Ainsworth, who currently works in the racing office. I would like to seek approval for her as an backup identifier in the event something happens and I need her to fill in. She has been training with Kelly Haynes since April and knows the job well. I wanted to reach out to you in advance so we are not scrambling in a panic if something suddenly goes wrong. Thank you for your consideration and have a great day.

Scott Peine



Scott Peine | Director of Racing and Racing Secretary
O 765-609-4870
4500 Dan Patch Circle | Anderson, Indiana 46013

Pitman, Deena

From: Gumm, Kevin
Sent: Sunday, August 6, 2023 11:58 AM
To: Pitman, Deena
Subject: Re: Mackenzie Ainsworth approval

Hi Deena,

Apologies. You are correct in that I could not find any cause for concern. Her position isn't listed in the conflict of interest section of the rules and it has been standard practice to license a race office employee as an identifier.

Kevin

From: Pitman, Deena <dpitman@hrc.IN.gov>
Sent: Sunday, August 6, 2023 11:52:18 AM
To: Gumm, Kevin <KGumm@hrc.IN.gov>
Subject: RE: Mackenzie Ainsworth approval

Kevin, since I didn't hear anything more from you on this, I was planning to shoot something to Scott but wanted to circle back with you before doing so. If further research on your end has not resulted in any other concerns, let me know and I'll let Scott know we are good with his request.

Thanks. ~Deena

Deena Pitman
Executive Director
dpitman@hrc.in.gov
317-233-3119



From: Gumm, Kevin <KGumm@hrc.IN.gov>
Sent: Thursday, August 3, 2023 2:38 PM
To: Pitman, Deena <dpitman@hrc.IN.gov>
Subject: Re: Mackenzie Ainsworth approval

Not at all, I'm very comfortable with her ability to do the job if needed. I can't think of any rules off hand, but I'll check more closely when I get in.

From: Pitman, Deena <dpitman@hrc.IN.gov>
Sent: Thursday, August 3, 2023 1:47:22 PM
To: Gumm, Kevin <KGumm@hrc.IN.gov>
Subject: FW: Mackenzie Ainsworth approval

Kevin, does this give you any heartburn...? Is there any rule that would need to be waived to allow for this? ~Deena

From: Scott Peine <SPeine@caesars.com>
Sent: Thursday, August 3, 2023 12:29 PM
To: Pitman, Deena <dpitman@hrc.IN.gov>
Cc: Rick Moore <RMoore9@caesars.com>; Gumm, Kevin <KGumm@hrc.IN.gov>
Subject: Mackenzie Ainsworth approval

**** This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. ****

Deena:

This email is in regards to an employee of mine, Mackenzie Ainsworth, who currently works in the racing office. I would like to seek approval for her as a backup identifier in the event something happens and I need her to fill in. She has been training with Kelly Haynes since April and knows the job well. I wanted to reach out to you in advance so we are not scrambling in a panic if something suddenly goes wrong. Thank you for your consideration and have a great day.

Scott Peine



Scott Peine | *Director of Racing and Racing Secretary*
O 765-609-4870
4500 Dan Patch Circle | Anderson, Indiana 46013

Agenda Item #15



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 6/10/2023 to 9/22/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2021-2410	8/11/21	JOEL BAHENA		7/26/21	Medication/Drug/Alcohol Violation (Human)	\$0	8/11/21	12/31/21	143
IG-2023-2903	12/30/22	CYNTHIA LOOMIS	TB	7/4/22	Vet Rule Violation				
IG-2023-2946	5/11/23	SAUL T. LOPEZ		5/10/23	License Violation	\$0			
HP-2023-2985	6/7/23	DESHAWN T. HORTON	SB	6/7/23	Conduct/Behavior	\$0	6/7/23	7/12/23	36
HP-2023-2989	6/9/23	BRADLEY K. FERGUSON	SB	6/7/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2990	6/9/23	JORDAN M. ROSS	SB	6/7/23	Whip Violation	\$300			
HP-2023-2991	6/10/23	LOGAN A. LONEY	SB	6/7/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2992	6/13/23	ROBERT S. TAYLOR	SB	6/8/23	Riding/Driving Infraction Violation	\$50			
IG-2023-2993	5/19/23	JULIE C. SEBASTIAN		5/19/23	Miscellaneous Ruling	\$0			
IG-2023-2994	6/16/23	OSCAR MACIAS	QH	6/15/23	Whip Violation	\$250			
IG-2023-2995	6/16/23	ROMAN A. CRUZ-JAIME	QH	6/15/23	Whip Violation	\$250			
IG-2023-2996	6/16/23	ORIEL A. CHAVEZ		6/15/23	Conduct/Behavior	\$100			
HP-2023-2997	6/17/23	JORDAN M. ROSS	SB	6/14/23	Whip Violation	\$0			
HP-2023-2998	6/17/23	MICHAEL G. PETERSON	SB	6/16/23	Whip Violation	\$200			
HP-2023-2999	6/17/23	RICHARD L. MACOMBER JR	SB	6/15/23	Riding/Driving Infraction Violation	\$300			
HP-2023-3000	6/14/23	BOBBY A. BROWER	SB	3/14/23	License Violation	\$0			
IG-2023-3001	6/20/23	ROLANDO DEL RIO PINA	QH	6/15/23	Whip Violation	\$250			
IG-2023-3002	6/21/23	JEFF L. ENGLER		6/17/23	Conduct/Behavior	\$250			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 6/10/2023 to 9/22/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2023-3003	6/20/23	ATLEE E. BENDER	SB	6/17/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3004	6/23/23	CASE A. BATESON	SB	6/20/23	Conduct/Behavior	\$100			
HP-2023-3005	6/23/23	ANTHONY D. SWARTZENTRUBER	SB	6/22/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3006	6/23/23	LEWAYNE L. MILLER	SB	6/22/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3007	6/23/23	HENRY GRABER JR	SB	6/22/23	Trainer Responsibility	\$200			
HP-2023-3008	6/24/23	JULIE N. KAIN	SB	6/24/23	License Violation	\$0	6/24/23	7/13/23	20
HP-2023-3009	6/24/23	GREGORY M. KAIN	SB	6/24/23	License Violation	\$0	6/24/23	7/13/23	20
HP-2023-3010	6/28/23	RICHARD C. PLANO	SB	6/27/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3011	6/30/23	OSCAR MACIAS	QH	6/27/23	Riding/Driving Infraction Violation	\$0	7/6/23	7/6/23	1
HP-2023-3012	7/1/23	HOMER J. HOCHSTETLER	SB	6/21/23	Conduct/Behavior	\$500			
HP-2023-3013	7/1/23	TREVOR G. SMITH	SB	6/27/23	Riding/Driving Infraction Violation	\$250			
HP-2023-3014	7/1/23	CASE A. BATESON	SB	6/29/23	Whip Violation	\$200			
HP-2023-3015	7/4/23	JORDAN M. ROSS		6/30/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3016	7/5/23	GERMAN RODRIGUEZ	QH	7/1/23	License Violation	\$0	7/5/23	8/7/23	34
IG-2023-3017	7/5/23	RANDY M. SMITH		7/1/23	License Violation	\$0	7/5/23	8/24/23	51
IG-2023-3018	7/5/23	JUAN D. MARQUEZ		7/1/23	License Violation	\$0	7/5/23	8/7/23	34
IG-2023-3019	7/5/23	ALBERTO BURGOS	TB	6/29/23	Riding/Driving Infraction Violation	\$0	7/12/23	7/12/23	1
IG-2023-3020	7/3/23	FRANCISCO J. QUINTERO	QH	6/29/23	Whip Violation	\$250			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 6/10/2023 to 9/22/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2023-3021	7/3/23	SHANLEY L. JACKSON	QH	6/29/23	Whip Violation	\$250			
IG-2023-3022	7/6/23	CALEB L. LONGWORTH		7/3/23	Medication/Drug/Alcohol Violation (Human)	\$100			
IG-2023-3023	7/6/23	LUZ D. MARTINEZ	QH	7/3/23	Whip Violation	\$500			
IG-2023-3024	7/7/23	GIOVANI VAZQUEZ-GOMEZ	QH	7/6/23	Whip Violation	\$500	7/13/23	7/18/23	3*
IG-2023-3025	7/8/23	CESAR G. GARCIA-ESQUEDA	QH	7/7/23	Whip Violation	\$500			
HP-2023-3026	7/8/23	DALE P. HITEMAN	SB	6/1/23	Conduct/Behavior	\$1,500	7/12/23	8/10/23	30
HP-2023-3027	7/7/23	DONALD C. COY	SB	7/4/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3028	7/7/23	WILBUR D. SCHWARTZ	SB	7/6/23	Whip Violation	\$200			
HP-2023-3029	7/8/23	KYLE J. WILFONG	SB	7/6/23	Whip Violation	\$200			
HP-2023-3030	7/8/23	MELISSA S. ESSIG	SB	7/7/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3031	7/11/23	PHILIP L. EASH	SB	7/6/23	Conduct/Behavior	\$500			
IG-2023-3032	7/13/23	OSCAR MACIAS	QH	7/11/23	Whip Violation	\$500			
IG-2023-3033	7/13/23	EDGAR A. DIAZ	QH	7/12/23	Whip Violation	\$250			
HP-2023-3034	7/13/23	JULIE N. KAIN	SB	6/24/23	Conduct/Behavior	\$500			
IG-2023-3035	7/13/23	CARLOS CONDOR JR		7/8/23	Medication/Drug/Alcohol Violation (Human)	\$100			
HP-2023-3036	7/14/23	JEFFREY EDWARDS	SB	7/12/23	Riding/Driving Infraction Violation	\$150			
HP-2023-3037	7/14/23	LEWAYNE MILLER	SB	7/12/23	Whip Violation	\$200			
HP-2023-3038	7/15/23	DONALD J. EASH	SB	7/13/23	Whip Violation	\$500			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 6/10/2023 to 9/22/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2023-3039	7/21/23	TRENT P. STOHLER	SB	6/17/23	Medication/Drug Violation (Equine)	\$1,000			
IG-2023-3040	7/21/23	FRANCISCO GARCIA	TB	7/19/23	Riding/Driving Infraction Violation	\$0			
HP-2023-3041	7/20/23	JORDAN M. ROSS	SB	7/14/23	Whip Violation	\$0	7/27/23	7/29/23	3
HP-2023-3042	7/20/23	LOUIS P. GRABER	SB	4/15/23	Conduct/Behavior	\$500			
HP-2023-3043	7/20/23	TYLER A. MILLER	SB	7/18/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3044	7/20/23	MICHAEL D. KNICLEY	SB	7/18/23	Riding/Driving Infraction Violation	\$300			
HP-2023-3045	7/20/23	ALVIN R. MILLER	SB	7/19/23	Trainer Responsibility	\$200			
HP-2023-3046	7/21/23	LESBIA L. PEREZDELUNA	SB	7/20/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3047	7/22/23	JARED T. SEEKMAN	SB	7/20/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3048	7/22/23	JARED T. SEEKMAN	SB	7/21/23	Whip Violation	\$200			
IG-2023-3049	7/24/23	GERMARIUS A. O'NEAL	QH	7/22/23	Whip Violation	\$500			
IG-2023-3050	7/24/23	CESAR G. GARCIA-ESQUEDA	QH	7/22/23	Whip Violation	\$0	8/1/23	8/3/23	3
IG-2023-3051	7/24/23	LUZ D. MARTINEZ	QH	7/22/23	Whip Violation		8/1/23	8/3/23	3
IG-2023-3052	7/24/23	DEXTAVIOUS I. MITCHELL	QH	7/22/23	Whip Violation	\$500			
IG-2023-3053	7/24/23	CESAR G. GARCIA-ESQUEDA	QH	7/22/23	Whip Violation	\$500	8/5/23	8/9/23	3*
IG-2023-3054	7/24/23	CESAR G. GARCIA-ESQUEDA	QH	7/22/23	Whip Violation	\$500	8/10/23	8/15/23	3*
HP-2023-3055	7/27/23	MARVIN LUNA	SB	7/25/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3056	7/27/23	KYLE J. WILFONG	SB	7/25/23	Riding/Driving Infraction Violation	\$100			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 6/10/2023 to 9/22/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2023-3057	7/27/23	JORDAN M. ROSS	SB	7/26/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3058	7/28/23	MELISSA S. ESSIG	SB	7/27/23	Trainer Responsibility	\$200			
HP-2023-3059	7/28/23	JOHN J. DELONG	SB	7/21/23	Whip Violation	\$300			
IG-2023-3060	7/31/23	SHELBY M. WEINTRAUT		7/22/23	Conduct/Behavior	\$250			
IG-2023-3061	8/1/23	FRED L. JACKSON	TB	8/1/23	Miscellaneous Ruling	\$0			
HP-2023-3062	8/3/23	JOHN J. DELONG	SB	8/1/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3063	8/3/23	JARED D. FINN	SB	8/1/23	Whip Violation	\$200			
HP-2023-3064	8/4/23	BRANDON L. BATES	SB	8/1/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3065	8/8/23	GIOVANI VAZQUEZ-GOMEZ	QH	8/2/23	Whip Violation	\$500	8/15/23	8/17/23	3
IG-2023-3066	8/8/23	ERIK N. ESQUEDA	QH	8/3/23	Whip Violation	\$250			
HP-2023-3067	8/8/23	BRADLEY K. FERGUSON	SB	8/4/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3068	8/12/23	CASE A. BATESON	SB	8/10/23	Whip Violation	\$300			
HP-2023-3069	8/11/23	KENNETH M. RUCKER	SB	8/11/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3070	8/12/23	ATLEE E. BENDER	SB	8/11/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3071	8/12/23	ERIK N. ESQUEDA	QH	8/10/23	Whip Violation	\$500			
IG-2023-3072	8/12/23	JAVIER TAVARES	QH	8/11/23	Whip Violation	\$250			
IG-2023-3073	8/12/23	ANTONIO RODRIGUEZ	QH	8/11/23	Whip Violation	\$250			
HP-2023-3074	8/15/23	BRETT A. MILLER	SB	8/11/23	Riding/Driving Infraction Violation	\$50			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 6/10/2023 to 9/22/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2023-3075	8/16/23	DEXTAVIOUS I. MITCHELL	QH	8/15/23	Whip Violation	\$500	8/23/23	8/26/23	3*
HP-2023-3076	8/16/23	ATLEE E. BENDER	SB	8/15/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3077	8/16/23	JOEY D. PUTNAM	SB	8/15/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3078	8/18/23	ROBERT S. TAYLOR	SB	8/16/23	Riding/Driving Infraction Violation	\$150			
HP-2023-3079	8/18/23	ROBERT S. TAYLOR	SB	8/17/23	Whip Violation	\$200			
HP-2023-3080	8/19/23	JAY D. CROSS	SB	8/18/23	Riding/Driving Infraction Violation	\$50			
IG-2023-3081	8/18/23	MARTIN D. MUNOZ	QH	8/16/23	Whip Violation	\$250			
IG-2023-3082	8/18/23	AGUSTIN F. GOMEZ SR	QH	8/16/23	Whip Violation	\$250			
IG-2023-3083	8/22/23	FRANCISCO J. QUINTERO	QH	8/19/23	Whip Violation	\$500			
IG-2023-3084	8/24/23	BILLY E. BIRKETT	TB	8/2/23	Conduct/Behavior	\$500			
IG-2023-3085	8/25/23	CADEN ARTHUR		8/25/23	Miscellaneous Ruling	\$0			
HP-2023-3086	8/23/23	JARED D. FINN	SB	8/18/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3087	8/23/23	WALTER E. HAYNES JR	SB	8/19/23	Whip Violation	\$200			
HP-2023-3088	8/23/23	BRADLEY K. FERGUSON	SB	8/22/23	Whip Violation	\$200			
IG-2023-3089	8/25/23	DAVID HUEBNER		7/28/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3090	8/25/23	JOSEPH DALLAO		7/28/23	License Violation	\$0			
IG-2023-3091	8/25/23	CRISTIAN A. TORRES		7/17/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3092	8/25/23	PAUL CHENG		7/28/23	License Violation	\$0	8/25/23	11/22/23	90



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 6/10/2023 to 9/22/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2023-3093	8/25/23	TOMMY PIWONSKI		7/17/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3094	8/25/23	SEAN W. DENNY		7/28/23	License Violation	\$0	8/25/23	9/5/23	12
IG-2023-3096	8/25/23	VICTOR M. LEBRON		8/9/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3097	8/25/23	BEN L. DELONG		5/17/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3098	8/25/23	GUSTAVO J. DELGADO JR		7/14/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3099	8/25/23	GUSTAVO DELGADO SR		7/14/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3101	8/25/23	ROBERTO BEVILACQUA		5/28/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3102	8/25/23	SAEED AL QASSIMI		7/10/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3103	8/25/23	CARSON N. MCCORD		5/30/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3104	8/25/23	RONALD MCKAY		7/10/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3105	8/25/23	JOHN P. WADE		5/31/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3106	8/25/23	DAVID MOULTON		7/7/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3107	8/25/23	MAX J. FRANKLIN		8/25/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3108	8/25/23	DANIEL CRONIN		7/7/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3110	8/25/23	IRAD ORTIZ JR		6/6/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3111	8/25/23	LUIS A. ALVAREZ		7/4/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3113	8/25/23	KLINT KEESLING		7/3/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3114	8/25/23	CHRISTOPHER M. LANDEROS		6/19/23	License Violation	\$0	8/25/23	11/22/23	90



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 6/10/2023 to 9/22/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2023-3115	8/26/23	RAY A. GREEN		8/26/23	Miscellaneous Ruling	\$0			
HP-2023-3116	8/29/23	PAUL C. HAUSCHILD JR	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3117	8/29/23	DEREK HARMON	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3118	8/29/23	REY J. RODRIGUEZ	SB	8/29/23	License Violation	\$0	8/29/23	9/13/23	16
HP-2023-3119	8/29/23	JESSICA D. GRAY	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3120	8/29/23	RYAN MOREFIELD	SB	8/29/23	License Violation	\$0	8/29/23	9/13/23	16
HP-2023-3121	8/29/23	SCOTT R. PRICE	SB	8/29/23	License Violation	\$0	8/29/23	9/2/23	5
HP-2023-3122	8/29/23	ARLANDO PRICE	SB	8/29/23	License Violation	\$0	8/29/23	9/6/23	9
HP-2023-3123	8/29/23	STEVE T. FORTUNE	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3124	8/29/23	LARRY B. STEMEN	SB	8/29/23	License Violation	\$0	8/29/23	9/15/23	18
HP-2023-3125	8/29/23	LAQUINTON SHAVERS	SB	8/29/23	License Violation	\$0	8/29/23	9/6/23	9
HP-2023-3126	8/29/23	JAMES D. BROWN	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3127	8/29/23	ROSHUN D. TRIGG	SB	8/29/23	License Violation	\$0	8/29/23	9/6/23	9
HP-2023-3128	8/30/23	DONALD C. COY	SB	8/25/23	Whip Violation	\$200			
HP-2023-3129	9/1/23	BRANDON L. BATES	SB	8/31/23	Conduct/Behavior	\$0	9/1/23	9/30/23	30
HP-2023-3130	9/2/23	KYLE A. HUSTED	SB	8/29/23	Riding/Driving Infraction Violation	\$150			
HP-2023-3131	9/2/23	KYLE A. HUSTED	SB	9/1/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3132	9/2/23	PATRIZIO ANCORA	SB	8/30/23	Riding/Driving Infraction Violation	\$100			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 6/10/2023 to 9/22/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2023-3133	9/2/23	MICHAEL J. OOSTING	SB	9/1/23	Whip Violation	\$200			
IG-2023-3134	9/7/23	CESAR G. GARCIA-ESQUEDA	QH	9/5/23	Whip Violation	\$500			
IG-2023-3135	9/7/23	FRANCISCO J. QUINTERO	QH	9/5/23	Whip Violation	\$0			0*
HP-2023-3136	9/7/23	JORDAN M. ROSS	SB	9/5/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3137	9/8/23	CONCEPCION TORRES		9/8/23	Miscellaneous Ruling	\$0			
HP-2023-3138	9/9/23	JARED D. FINN	SB	8/30/23	Whip Violation	\$300			
HP-2023-3139	9/9/23	ATLEE E. BENDER	SB	9/6/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3140	9/12/23	MARVIN LUNA	SB	9/9/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3141	9/12/23	JOHN J. DELONG	SB	9/9/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3142	9/13/23	DON R. TIGER II	SB	9/13/23	License Violation	\$0	9/13/23	9/20/23	8
HP-2023-3143	9/13/23	JOHN E. PITTS	SB	9/13/23	License Violation	\$0	9/13/23	9/19/23	7
HP-2023-3144	9/13/23	PAULA C. WELLWOOD	SB	9/13/23	License Violation	\$0	9/13/23		
IG-2023-3145	9/13/23	CESAR G. GARCIA-ESQUEDA	QH	9/12/23	Whip Violation	\$500	9/19/23	9/21/23	3
HP-2023-3146	9/15/23	MATTHEW W. KRUEGER	SB	9/14/23	Whip Violation	\$200			
HP-2023-3147	9/16/23	ANDREW J. MCCARTHY	SB	9/15/23	Whip Violation	\$300			
HP-2023-3148	9/16/23	JORDAN M. ROSS	SB	9/14/23	Riding/Driving Infraction Violation	\$100			
IG-2023-3149	9/15/23	MATILDA M. BURNHAM	TB	9/12/23	Riding/Driving Infraction Violation	\$0	9/21/23	9/21/23	1
IG-2023-3150	9/20/23	NICOLE R. PHELPS		9/20/23	Miscellaneous Ruling	\$0			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 6/10/2023 to 9/22/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2023-3151	9/20/23	CARSON M. CONRAD	SB	9/19/23	Whip Violation	\$300			
HP-2023-3152	9/20/23	PETER M. WRENN	SB	9/19/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3153	9/20/23	JOEY D. PUTNAM	SB	9/19/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3154	9/22/23	DEVON J. THARPS	SB	9/21/23	Riding/Driving Infraction Violation	\$50			

Agenda Item #16

(No Materials)

Agenda Item #17

(No Materials)