



The Indiana Family and Social Services Administration

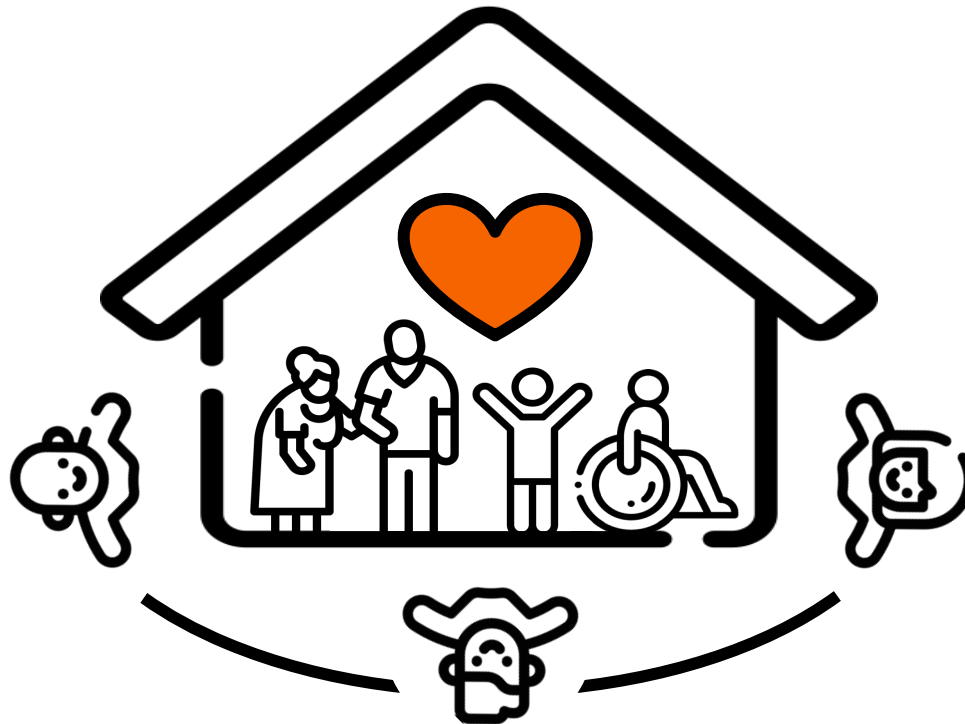
HCBS Stabilization Grants Informational Video

Agenda

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Purpose

The purpose of this presentation is to provide information to eligible providers about the HCBS Stabilization Grants as described in Indiana's HCBS enhanced funding Spend Plan.



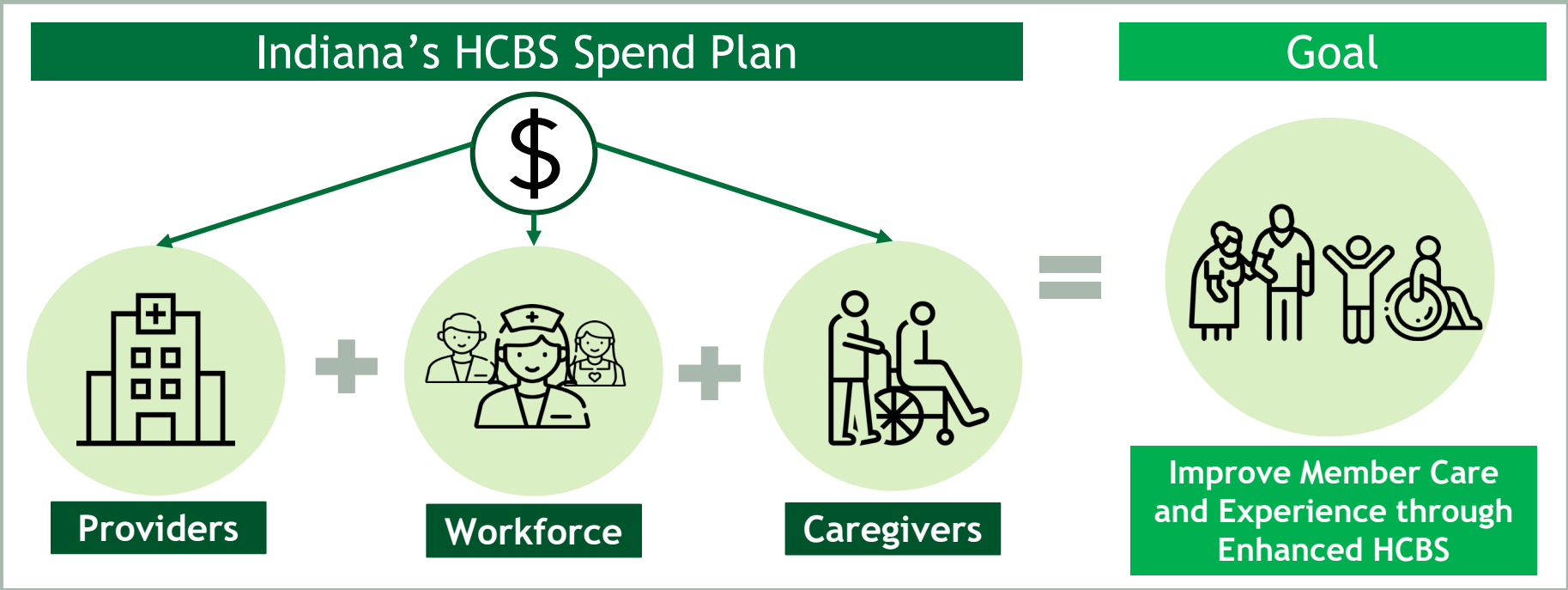
Background: Indiana's HCBS Enhanced Funding Spend Plan



HCBS Enhanced Funding

Section 9817 of the American Rescue Plan Act provides qualifying states with a temporary 10 percentage point increase to the federal medical assistance percentage (FMAP) for certain Medicaid expenditures for home and community-based services (HCBS).

In accordance with CMS guidance, Indiana's FSSA drafted and submitted our **HCBS Spend Plan**, which describes how we plan to use the enhanced FMAP funds to respond to the COVID-19 Public Health Emergency (PHE) and to enhance and expand access to, quality of, and capacity of HCBS under the Medicaid program.



HCBS Enhanced Funding Key Dates

The table below outlines key dates relevant to Indiana's HCBS Spend Plan and the HCBS Funding Period.

Activity	Date
CMS Released Guidance via SMD #21-003	May 13, 2021
FSSA Gathered Stakeholder Input	June 2021
FSSA Submitted Indiana's HCBS Spend Plan for CMS Review	July 9, 2021
CMS Granted Partial Approval	September 30, 2021
FSSA Responded to CMS Questions and Confirmed Approval to Move Forward on Approved Items	October 7, 2021
End of Enhanced HCBS Funding Period	March 31, 2024



Indiana's HCBS Spend Plan Stakeholder Engagement

Indiana intentionally engaged over 660 stakeholders in June 2021 to ensure that the services delivered are in line with community and individual needs.

Process & Tools

- Survey
- Individual Discussions
- Written Correspondence
- Research of landscape and other State Plans
- LTSS Learnings

660+ Survey Responses

Respondents Included:

- HCBS Industry Providers
- Family Caregivers
- Direct Service Professionals
- HCBS Association Representatives
- & Others

Top Priorities Identified

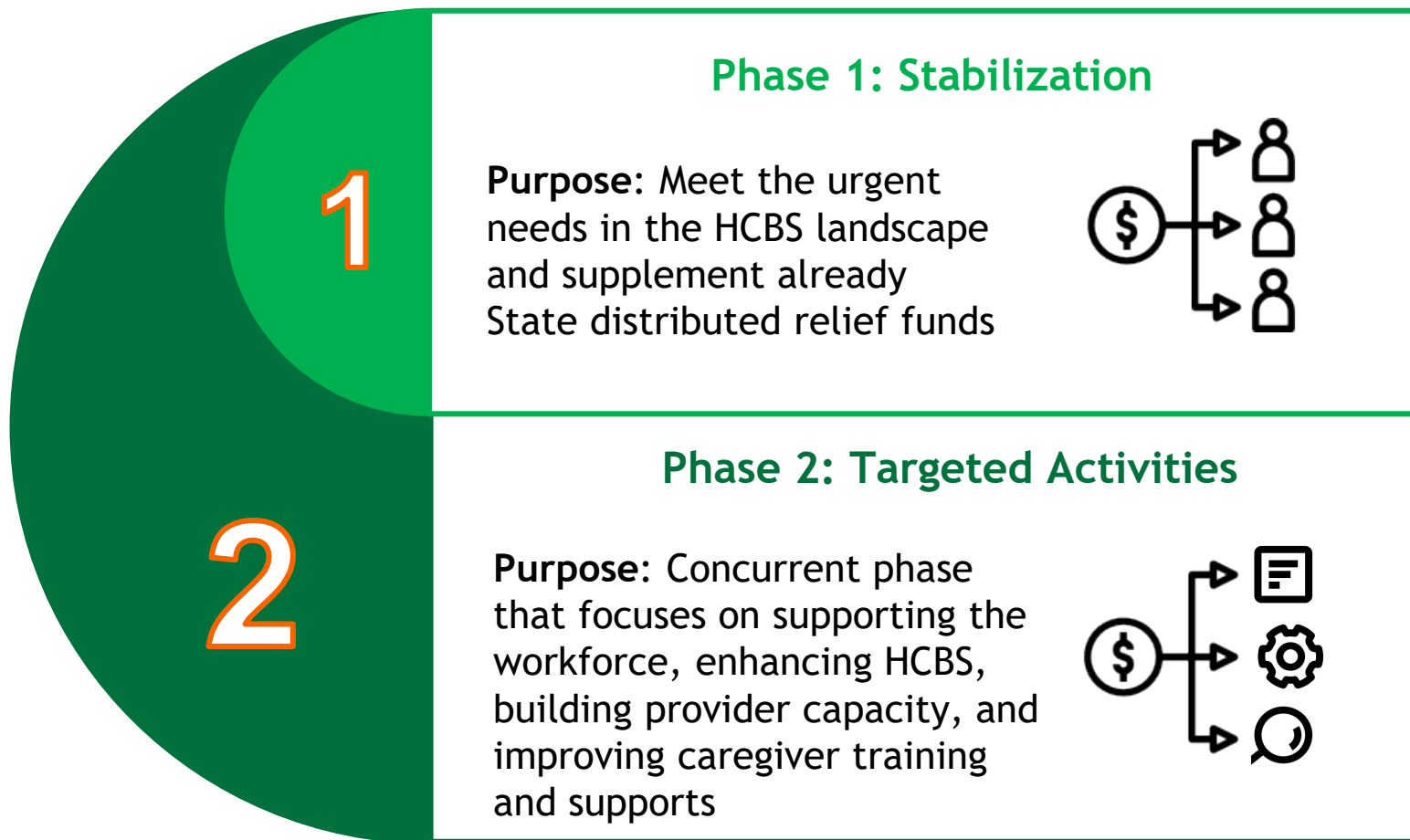
1. **Expand the workforce**
2. **Enhance HCBS**
3. **Build provider capacity**
4. **Caregiver training and support**

Feedback coalesced across the survey and written and verbal stakeholder engagement reinforced the State's strategic spending priorities as defined in our HCBS Spend Plan



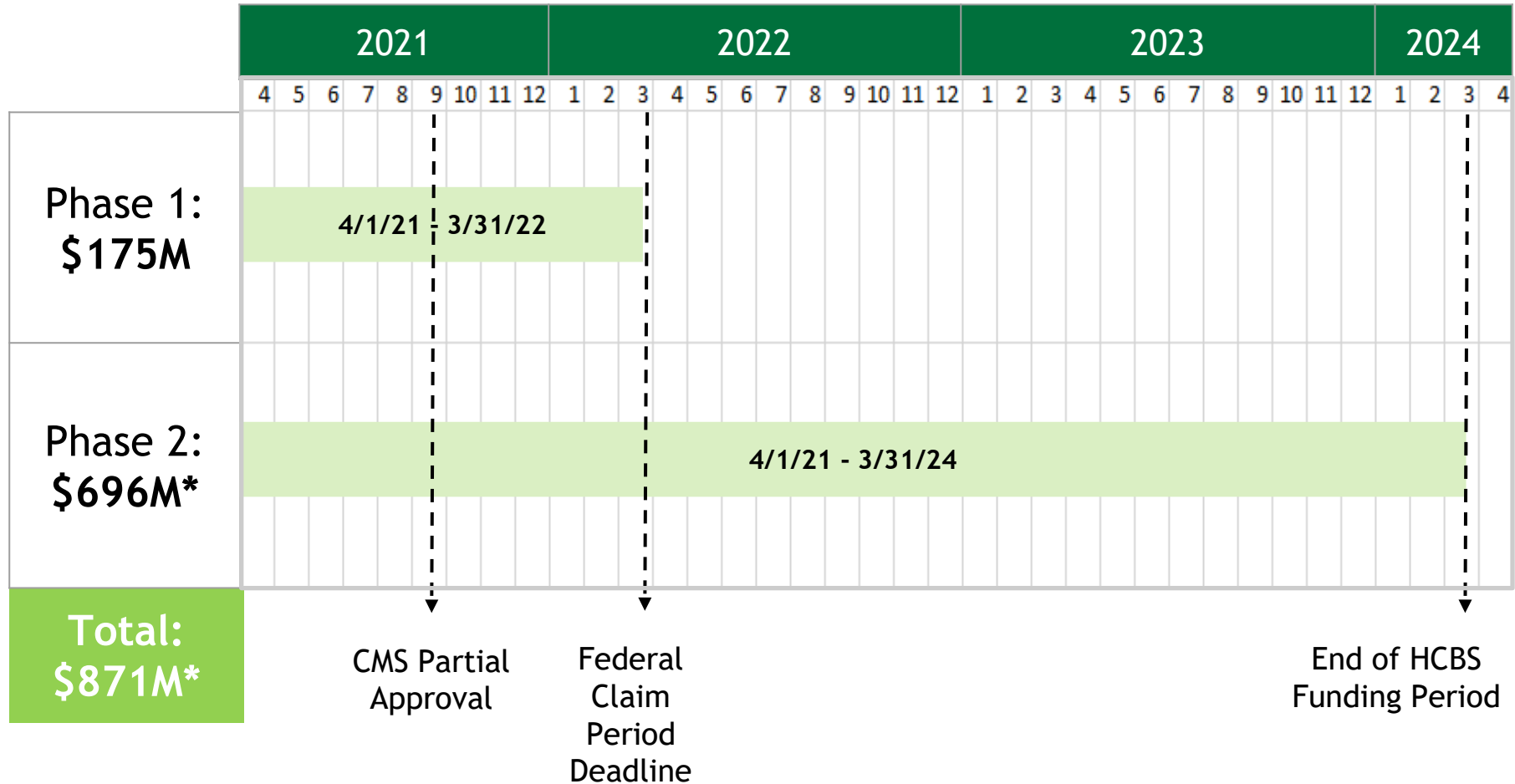
Indiana's HCBS Spend Plan Summary

Indiana's HCBS Spend Plan is divided into two concurrent phases. Both phases will support the needs of the HCBS landscape, as identified through stakeholder engagement.



Indiana's HCBS Spend Plan Budget

The Spend Plan allocates an estimated 20% of funds for Phase 1: Stabilization and the remaining funds for Phase 2: Targeted Activities.



*Current estimate; subject to change



Phase 1: HCBS Stabilization Grant

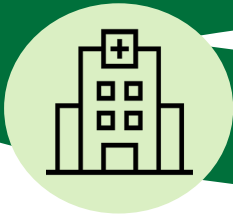


HCBS Stabilization Grant Funding

The 20% allocated to Phase 1: Stabilization totals about **\$175M**, which FSSA will dedicate towards immediate stabilization of Indiana's workforce and community-based provider network.

Indiana's HCBS Spend Plan describes three grant programs dedicated to Providers, the Workforce, and Caregivers

To operationalize, FSSA set aside **\$2M** for Caregivers



FSSA will channel the remaining **\$173M** as **HCBS Stabilization Grants** to providers with a requirement to pass through at least **75%** of the grant directly to their workforce



HCBS Stabilization Grant Methodology

HCBS Stabilization Grants will be distributed to eligible HCBS Medicaid providers as a one-time payment in Q1 of CY2022.

Provider Eligibility Criteria

Providers must meet ALL criteria listed below to be eligible:

- 1 HCBS Medicaid Provider** - As of the date of the attestation, providers must be an actively enrolled IHCP provider as one of the following types:
 - 05 (Home Health Agency)
 - 11 (Behavioral Health Provider)
 - Specialty 111 (Community Mental Health Center) - for Medicaid Rehabilitation Option (MRO) services
 - Specialty 115 (Adult Mental Health and Habilitation)
 - Specialty 611 (Children's Mental Health Wraparound)
 - Specialty 612 (Behavioral and Primary Healthcare Coordination)
 - 12 (School Corporation)
 - 32 (Waiver)
 - Program of All-Inclusive Care for the Elderly (PACE) programs
- 2 Active during the COVID-19 Public Health Emergency** - defined as having submitted claims for paid expenditures during CY 2019 through 2021
- 3 Currently Active** - defined as currently providing services to Medicaid beneficiaries; and providers must have at least one Medicaid claim paid for a calendar year 2021 date of service



HCBS Stabilization Grant Methodology (cont.)

HCBS Stabilization Grants will be distributed to eligible HCBS Medicaid providers as a one-time payment in Q1 of CY2022.

Grant Amount Calculation

FSSA has updated to the methodology for calculating grant amounts to ensure a more equitable approach in light of the continuing Public Health Emergency. FSSA will now calculate the grant amount as a flat percentage of each eligible provider's highest annual claims total across calendar years 2019, 2020, and 2021.

7-8%*

Flat percentage increase applied to **qualifying baseline claims expenditures**, calculated per each individual HCBS provider

**The final percentage amount will be based on attestation form responses*

To identify qualifying baseline claims expenditures, FSSA looked at CY2019 and CY2020, and CY2021 claims expenditures by provider and used the **highest of the three years** as the baseline

	CY 19	CY 20	CY 20
Provider A	\$23K	\$12K	\$15K
Provider B	\$65K	\$65K	\$88K
Provider C	\$82K	\$97K	\$90K



HCBS Stabilization Grant Distribution Process

Prior to the receipt of funds, each provider must sign and submit an Attestation Form.

Deadline Extension:

FSSA has extended the Attestation Form deadline from February 10th, 2022 to **February 18th, 2022**. Interested eligible HCBS Medicaid providers now have until February 18th to complete the required Attestation Form.

Attestation/Payment Process

Key Dates

1

FSSA will issue the Attestation Form via FSSA HCBS Webpage & Provider Bulletins

Week of
January 10,
2022

2

Submit your signed Attestation Form online via the Microsoft Forms link by February 18th, 2022. Payments will be issued in two rounds. Attestation forms completed before January 25, 2022 will receive priority payment.

February 18,
2022 (*Final
Deadline*)

3

Following submission of your signed Attestation Form, FSSA will confirm eligibility. If eligible, between February and March 2022, the State's fiscal agent will issue the payment in a process similar to Medicaid Claims



HCBS Stabilization Grant: Attestation Form

By signing the Attestation Form, each provider attests to the following. For providers with more than one eligible Medicaid Provider ID, one form is necessary for each active ID

Microsoft Form*

**Attestation Statement -
Indiana HCBS Stabilization Grant
Program for Medicaid Providers**

As identified in the IN FSSA HCBS Spend Plan, FSSA developed a stabilization grant program to support providers affected by the public health emergency. The purpose of the grant is both to retroactively address COVID-19 related expenses and challenges and to recognize the important work of frontline staff, including costs related to compensation and benefits, COVID-19 testing, personal protective equipment, and other COVID-19 related expenses, to allow providers to stabilize their operations. This form must be completed separately for each qualifying Medicaid Provider ID.

* Required

Qualifying Questions

1. Were you an active provider (one or more Medicaid claims expenditures) in CY2021? *

Yes

No

*Page 1/2 of the Attestation Form

Attestations:

1. The above described provider is a **current Medicaid provider** with claims submission to Indiana Health Coverage Programs during calendar year 2019, 2020 and/or 2021.
2. Upon receipt of the grant payment, the provider will **pass through at least seventy-five percent (75%)** of the amount received towards HCBS related **workforce stabilization activities** (e.g., bonuses for frontline staff, recruitment activities).
3. The above described provider agrees that by accepting the grant payment, the provider organization is **subject to audit** by the State of Indiana. The provider should maintain documentation and any records to support the amount received so that it can be available upon audit.
4. By typing my name and submitting this form, I attest that I am an agent of the provider whose name and information is set forth in this form and agree to the aforementioned terms.



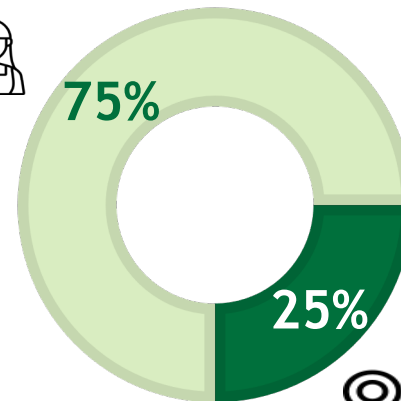
HCBS Stabilization Grant: Allowable Uses

To recognize the extraordinary efforts of the direct support workforce, FSSA is requiring each provider to direct a significant portion of the grant funds to workforce stabilization.

Workforce Stabilization Activities

The provider will **pass through at least 75%** of the amount received towards HCBS related workforce stabilization activities. Some recommended activities include:

- Bonuses for frontline staff active during the public health emergency
- Recruitment and retention activities
- Hazard Pay



Other Allowable Expenses

The provider will then have the flexibility to use the rest of the **25%** on allowable expenses to meet their needs. Please refer to the CMS Guidance via SMD #21-003 for a list of allowable expenses under Appendices B-D. Some recommended activities include:

- Covid-related HCBS Support (e.g., PPE, Leave Benefits, Vaccine Support)
- HCBS Capacity Building (e.g., Transition Activities, Expand Telehealth Access)

Looking Ahead

Next Steps Checklist

- ✓ Check the FSSA HCBS webpage for the Attestation Form the week of January 10, 2022
- ✓ Submit Attestation Form online on Microsoft Forms using the link provided
- ✓ Pass through at least seventy-five percent (75%) of the amount received towards HCBS related workforce stabilization activities
- ✓ Check the FSSA HCBS webpage for communications about funding opportunities for Phase 2

Questions?

Check the FAQ at <https://www.in.gov/fssa/ompp/hcbs-enhanced-fmap-spending-plan/>

Direct all inquiries to hcbs.spendplan@fssa.IN.gov

