

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE IOSHA BOARD OF
SAFETY REVIEW
CASE DOCKET NO. 19-039

IN THE MATTER OF:)
)
COMMISSIONER OF LABOR,)
)
Complainant,)
)
v.)
)
S&H TRANSPORTATION INC.)
AND ITS SUCCESSORS,)
)
Respondent.)

AGREED ENTRY

The parties to the above-captioned proceeding, the Commissioner of the Indiana Department of Labor (hereinafter “Complainant”) and S&H Transportation Inc. (hereinafter “Respondent”), through their duly authorized representatives, desiring to enter into this Agreed Entry as final settlement of this matter, do hereby stipulate and agree as follows:

PART I.

1. From July 3, 2019, through August 8, 2019, authorized employees of the Indiana Department of Labor conducted an inspection at the Respondent’s worksite located at 486 E. Stop 18 Rd., Greenwood, Indiana 46143.

2. On August 21, 2019, Complainant issued a Safety Order and Notification of Penalty (hereinafter “Safety Order”) resulting from Indiana Department of Labor Inspection No. 318112943 and alleging that Respondent had violated the Indiana Occupational Safety and Health Act (IC 22-8-1.1 *et seq.*) or the standards or rules thereunder. The Safety Order is attached hereto as Exhibit A and is incorporated herein.

3. On or about September 18, 2019, Respondent duly and timely petitioned for review

of the Safety Order.

PART II.

4. The Safety Order consists of Safety Order 1, Item Nos. 1, 2, 3, and 4.

5. Safety Order 1, Item 1 alleges a “Serious” violation of I.C. § 22-8-1.1-2 for struck-by and crushing hazards due to a lack of proper training regarding the safe use of a dock leveler and assesses a penalty of Seven Thousand Dollars (\$7,000).

6. Safety Order 1, Item 2 alleges a “Serious” violation of 29 CFR 1910.136(a) and assesses a penalty of Three Thousand Five Hundred Dollars (\$3,500).

7. Safety Order 1, Item 3 alleges a “Serious” violation of 29 CFR 1910.212(a)(1) and assesses a penalty of Seven Thousand Dollars (\$7,000).

8. Safety Order 1, Item 4 alleges a “Serious” violation of 29 CFR 1910.147(c)(4)(i) and assesses a penalty of Seven Thousand Dollars (\$7,000).

9. The total assessed penalty for Safety Order 1 is Twenty-Four Thousand Five Hundred Dollars (\$24,500).

PART III.

10. The Safety Order is hereby amended as follows.

11. Safety Order 1, Item 1 is upheld in its entirety.

12. Safety Order 1, Item 2 is upheld, but the penalty is reduced to One Thousand Dollars (\$1,000).

13. Safety Order 1, Item 3 is upheld in its entirety.

14. Safety Order 1, Item 4 is deleted in its entirety.

15. The AGREED total penalty for all violations and all subparts thereunder, subject to this Agreed Entry, is Fifteen Thousand Dollars (\$15,000).

16. Since Respondent has no more employees due to the dissolution of the company, no abatement will be required, only the agreed penalty payment. Respondent must send Complainant a copy of the legal dissolution documents within thirty (30) days of final dissolution.

17. Item 4 was deleted due to inapplicability. The fatality that occurred was during normal operations and was a machine guarding issue, not a LOTO issue.

18. Complainant agrees to allow Respondent a total of twelve consecutive months to pay the agreed total penalty. Respondent shall make at least one payment of at least One Thousand Two Hundred Fifty Dollars (\$1,250) each consecutive month until the agreed total penalty of \$15,000 is paid in full; the first payment being due with Respondent's execution of this Agreement. Subsequent payments shall be due by the 20th day of each month until said penalty is paid in full.

19. If Respondent fails to make timely payments or fails to pay the full agreed total penalty as stated herein, the full amount of unpaid penalty that remains shall be immediately due to Complainant, Complainant may use every legal remedy available to collect such unpaid amount, interest shall begin to accrue on said unpaid amount at the rate of 12% apr compounded daily, and Complainant may collect legal fees from Respondent for any collection action that may be necessary.

20. It is understood and agreed by the Respondent and Complainant that this Agreed Entry and attachments will constitute a final, enforceable OSHA Safety Order(s) and penalties for the purposes of the Indiana Occupational Safety and Health Act ("Act").

21. Respondent confirms Complainant's right to re-inspect its workplaces, in accordance with the Act, and to verify abatement of the alleged violations.

22. Respondent hereby withdraws its petition for review previously filed in this matter.

PART IV.

23. Nothing contained in this Agreed Entry shall be construed to affect Complainant's interpretation of the Act or any standard or regulation enforced pursuant thereto or the applicable classification thereof.

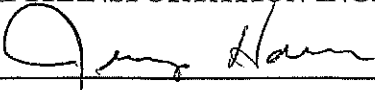
24. Except for this agreement, and matters arising out of this agreement and any other subsequent IOSHA proceedings between the parties, none of the foregoing agreements, statements, findings, and actions taken by the Respondent shall be deemed an admission by Respondent of the allegations contained within the Safety Order(s) and Notification(s) of Penalty. The agreements, statements, findings, and actions taken herein are made in order to compromise and settle this matter economically and amicably, and they shall not be used for any other purpose, except as herein stated.

25. The invalidity or unenforceability of any section, subsection, clause or provision of this Agreed Entry does not affect the remaining sections, subsections, clauses, or provisions of this Agreed Entry.

26. Respondent, upon full execution of this Agreed Entry, will post this Agreed Entry for three (3) working days or until abatement is completed, whichever period is longer, pursuant to Board of Safety Review Rules of Procedure, 615 IAC 1-2-18(b)(3)(C).

AGREED this _____ day of July, 2020.

S&H TRANSPORTATION INC.

By: 

Printed: JERRY HARRIS

Title: Pres

COMMISSIONER OF LABOR


By: 

Michelle L. Ellison,
Deputy Commissioner of Labor,
IOSHA

Approved as to Form:

By: 

Kevin J. Lorenz
Counsel for Respondent

By: 

J. Anthony Hardman,
Counsel for Complainant

Indiana Department of Labor

Indiana Occupational Safety and Health Administration
402 West Washington St - Room W195
Indianapolis, IN 46204
Phone: (317)232-2691 FAX: (317)233-3790



Safety Order and Notification of Penalty

To:
S and H Transportation Incorporated
and its successors
PO Box 8
O'fallon, MO 63366

Inspection Number: 318112943
CSHO ID: M0362
Optional Report No.: 2021-20
Inspection Date(s): 7/3/2019 - 8/8/2019
Issuance Date: 8/21/2019

Inspection Site:
486 East Stop 18 Road
Greenwood, IN 46143

The violation(s) described in this Safety Order and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

An inspection of your place of employment has revealed conditions which we believe do not comply with the provisions of the Indiana Occupational Safety and Health Act (Indiana Code Chapter 22-8-1.1) or the standards or rules adopted thereunder. Accordingly, enclosed please find safety order(s) and notification(s) of penalty describing such violation(s) with references to applicable standards, rules, or provisions of the statute and stating the amount of any penalty(ies).

Informal Conference - Please be advised that it may be possible to informally settle any potential dispute without initiating the more elaborate proceedings brought on by a petition for review. Prior to filing a petition for review, you may request an informal conference concerning any of the results of the inspection (safety orders, penalties, abatement dates, etc.) by contacting the Indiana Department of Labor/IOSHA, preferably by telephone, in a prompt manner. Please be advised that a request for an informal conference cannot extend the fifteen working day period for filing a petition for review. Informal conferences frequently resolve any possible disputes, and therefore you are urged to take advantage of this opportunity. Because of the limited time period and in order to facilitate scheduling, any requests for an informal conference should be made promptly upon your receipt of the safety order(s) and notification(s) of penalty.

Right to Contest - You are hereby also notified that you are entitled to seek administrative review of the safety order(s), penalty(ies), or both by filing a written petition for review at the above address postmarked within fifteen working days of your receipt of the safety order(s) and notification(s) of penalty. ("Working days" means Mondays through Fridays, but does not include Saturdays, Sundays, legal holidays under a state statute or days on which the Indiana Department of Labor's offices are closed during regular business hours). If you do not file such a petition for review (contest), the safety order(s) and penalty(ies) shall be deemed final orders of the Board of Safety Review and not subject to review by any court or agency. The issuance of a safety order does not constitute a finding that a violation has

occurred unless no petition for review is filed, or if a petition for review (contest) is filed, it must contain a statement of its basis and should reference the above inspection number. Upon receipt of your petition for review, we will affirm, amend or dismiss the safety order(s) and notification(s) of penalty. If we affirm, your petition for review will be granted (unless it was not timely) and the dispute will be certified by the Board of Safety Review for further proceedings. The Board of Safety Review is an independent agency appointed by the governor with authority to conduct hearings and to issue decisions concerning disputed safety order(s) and notification(s) of penalty. If we amend the safety order(s) or notification(s) of penalty, your petition for review shall be deemed moot. However, you will then be given an opportunity to file a petition for review concerning the amended safety order(s) and notification(s) of penalty.

Please be advised that an employee or representative of employees may file a petition for review to contest the reasonableness of the time stated in the safety order(s) for the abatement of any violation.

Posting - Upon receipt of any safety order(s) you are required to post such safety order(s), or a copy thereof, unedited, at or near each place an alleged violation referred to in the safety order(s) occurred. However, if your operations are such that it is not practicable to post the safety order(s) at or near each place of alleged violation, such safety order(s) shall be posted, unedited, in a prominent place where it will be readily observable by all affected employees. For example, if you are engaged in activities which are physically dispersed, the safety order(s) may be posted at the location from which the employees operate to carry out their activities. You must take steps to ensure that the safety order is not altered, defaced, or covered by other material. Posting shall be until the violation is abated, or for three working days, whichever is longer.

Penalties - Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Abatement does not constitute payment of penalties.

Abatement - The conditions cited in the safety order(s) must be corrected (abated) on or before the date shown for each item on the safety order(s) and notification(s) of penalty and proof of abatement must be sent to the email address: AbatementGI@dol.in.gov unless:

(1) You file a petition for review concerning the violation, in which case the full abatement period shall commence from the issuance of a final decision by the Board of Safety Review or the courts which requires compliance with the safety order; or

(2) The abatement period is extended by the granting of a petition for modification of abatement date.

PMAs - The petition for modification of abatement date is a manner in which you may seek additional time to correct (abate) a violation without having to file a petition for review concerning the safety order, or after the expiration of the time period to file such a petition for review when it becomes apparent that you need extra time to abate the violation. A petition for modification of abatement date shall be in writing and shall be sent to the email address: AbatementGI@dol.in.gov shall include the following information:

(1) All steps you have taken, and the dates of such actions, in an effort to achieve compliance during the prescribed abatement period.

(2) The specific additional abatement time necessary in order to achieve compliance.

(3) The reasons such additional time is necessary, including the unavailability of professional or technical personnel or of materials and equipment, or because necessary construction or alteration of facilities cannot be completed by the original abatement date.

(4) All available interim steps being taken to safeguard employees against the cited hazard during the abatement period.

(5) A certification that a copy of the petition has been posted, and if appropriate, served on the authorized representative of affected employees, and a certification of the date upon which such posting and service was made.

A petition for modification of abatement date shall be filed with the Indiana Department of Labor/IOSHA no later than the close of the next working day following the date on which abatement was originally required sending the Petition to AbatementGI@dol.in.gov. A later-filed petition shall be accompanied by the employer's statement of exceptional circumstances explaining the delay. A copy of such petition shall be posted in a conspicuous place where all affected employees will have notice thereof or near such location where the violation occurred. The petition shall remain posted until the time period for the filing of a petition for review of the Commissioner's granting or denying the petition expires. Where affected employees are represented by an authorized representative, said representative shall be served a copy of such petition.

Notification of Corrective Action - Correction of the alleged violations which have an abatement period of thirty (30) days or less should be reported in writing to us promptly upon correction and sent to the email address AbatementGI@dol.in.gov. A "Letter of Abatement" form and an "Abatement Photographs" worksheet are enclosed for your assistance in providing adequate documentation of abatement. Reports of corrections should show specific corrective action on each alleged violation and the date of such action. On alleged violations with abatement periods of more than thirty (30) days, a written progress report should be submitted via email at AbatementGI@dol.in.gov, detailing what has been done, what remains to be done, and the time needed to fully abate each such violation. When the alleged violation is fully abated, we should be so advised. Timely correction of an alleged violation does not affect the initial proposed penalty..

Followup Inspections - Please be advised that a followup inspection may be made for the purpose of ascertaining that you have posted the safety order(s) and corrected the alleged violations. Failure to correct an alleged violation may result in additional penalties for each day that the violation has not been corrected.

Employer Discrimination Unlawful - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the Indiana Department of Labor/IOSHA at the address shown above.

Notice to Employees - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the Indiana Department of Labor/IOSHA at the address shown above within fifteen (15) working days (excluding weekends and State holidays) or receipt by the employer of this safety order and

penalty.

If you wish additional information, you may direct such requests to us at the address or telephone number stated above.

Indiana Department of Labor

NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with IOSHA to discuss the safety order(s) issued on 8/21/2019. The conference will be held at the IOSHA office located at 402 West Washington Street, Room W195, Indianapolis, IN 46204 on _____ at _____. Employees and/or representatives of employees have a right to attend an informal conference.

Indiana Department of Labor
Occupational Safety and Health Administration

Inspection Number: 318112943
Inspection Date(s): 7/3/2019 - 8/8/2019
Issuance Date: 8/21/2019
CSHO ID: M0362
Optional Report No.: 2021-20

Safety Order and Notification of Penalty

Company Name: S and H Transportation Incorporated
Inspection Site: 486 East Stop 18 Road, Greenwood, IN 46143

Safety Order 01 Item 001

Type of Violation: **Serious**

IC 22-8-1.1 Section 2: The employer did not establish and maintain conditions of work which were reasonably safe and healthful for employees, and free from recognized hazards that were causing or likely to cause death or serious physical harm to employees in that employees were exposed to struck-by and crushing hazards:

Aldi Cooler Dock – Employees working at Cooler Dock #80 were not properly trained in the functions of the Blue Giant Vertical Storing Dock Leveler that created struck-by and crushing hazards for employees performing activities such as, but not limited to; unloading of cartons of eggs from refrigerated trailers.

AMONG OTHER METHODS, FEASIBLE AND ACCEPTABLE MEANS OF ABATEMENT MAY INCLUDE: Providing training in the operation of the dock levelers for employees loading and unloading trucks that is consistent with the instructions per the manufacturer. The Blue Giant Owner’s Manual, issued October 17, 2016, page 13 states, “Do not operate this leveler unless you have been trained and authorized to do so, and have read and understood all of the safety information and instructions contained herein.”

Date By Which Violation Must Be Abated: **9/24/2019**
Proposed Penalty: **\$7,000.00**

Indiana Department of Labor
Occupational Safety and Health Administration

Inspection Number: 318112943
Inspection Date(s): 7/3/2019 - 8/8/2019
Issuance Date: 8/21/2019
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Optional Report No.: 2021-20

Safety Order and Notification of Penalty

Company Name: S and H Transportation Incorporated
Inspection Site: 486 East Stop 18 Road, Greenwood, IN 46143

Safety Order 01 Item 002 Type of Violation: **Serious**

29 CFR 1910.136(a): The employer did not ensure that each affected employee uses protective footwear when working in areas where there is a danger of foot injuries due to falling or rolling objects, or objects piercing the sole, and where such employee's feet are exposed to electrical hazards:

Facility - Protective footwear was not being used by employees who conducted operations to include, but not limited to; material handling and the use of powered industrial trucks, which created a potential struck-by hazard.

Date By Which Violation Must Be Abated: **9/24/2019**
Proposed Penalty: **\$3,500.00**

Indiana Department of Labor
Occupational Safety and Health Administration

Inspection Number: 318112943
Inspection Date(s): 7/3/2019 - 8/8/2019
Issuance Date: 8/21/2019
CSHO ID: M0362
Optional Report No.: 2021-20

Safety Order and Notification of Penalty

Company Name: S and H Transportation Incorporated
Inspection Site: 486 East Stop 18 Road, Greenwood, IN 46143

Safety Order 01 Item 003

Type of Violation: **Serious**

29 CFR 1910.212(a)(1): One or more methods of machine guarding was not provided to protect the operator and other employees in the machine area from hazards such as those created by point of operation, ingoing nip points, rotating parts, flying chips and sparks:

Aldi Cooler Dock – Access to the area behind the Blue Giant Vertical Leveler system was not prevented which created a potential struck-by hazard during dock deployment or dock failure.

Date By Which Violation Must Be Abated: 9/24/2019
Proposed Penalty: \$7,000.00

Indiana Department of Labor
Occupational Safety and Health Administration

Inspection Number: 318112943
Inspection Date(s): 7/3/2019 - 8/8/2019
Issuance Date: 8/21/2019
CSHO ID: M0362
Optional Report No.: 2021-20

Safety Order and Notification of Penalty

Company Name: S and H Transportation Incorporated
Inspection Site: 486 East Stop 18 Road, Greenwood, IN 46143

Safety Order 01 Item 004 Type of Violation: **Serious**

29 CFR 1910.147(c)(4)(i): Procedures were not developed, documented and utilized for the control of potentially hazardous energy when employees were engaged in activities covered by this section:

Aldi Cooler Dock – An written energy control procedure was not developed to address exposure to potential energy sources during activities, such as but not limited to, cleaning of debris in the access walk under and behind the Blue Giant Levelers.

The procedures shall clearly and specifically outline the scope, purpose, authorization, rules, and techniques to be utilized for the control of hazardous energy, and the means to enforce compliance including, but not limited to, the following:

- [A] A specific statement of the intended use of the procedure;
- [B] Specific procedural steps for shutting down, isolating, blocking and securing machines or equipment to control hazardous energy;
- [C] Specific procedural steps for the placement, removal and transfer of lockout devices or tagout devices and the responsibility for them; and
- [D] Specific requirements for testing a machine or equipment to determine and verify the effectiveness of lockout devices, tagout devices, and other energy control measures.

Date By Which Violation Must Be Abated: **9/24/2019**
Proposed Penalty: **\$7,000.00**

Julie C. Alexander, JD
Director of General Industry

Indiana Department of Labor

Indiana Occupational Safety and Health Administration
402 West Washington St - Room W195
Indianapolis, IN 46204
Phone: (317)232-2691 FAX: (317)233-3790



INVOICE/DEBT COLLECTION NOTICE

Company Name: S and H Transportation Incorporated
and its successors
Inspection Site: 486 East Stop 18 Road, Greenwood, IN 46143
Issuance Date: 8/21/2019

Summary of Penalties for Inspection Number: 318112943


Safety Order 1, Serious = \$24,500.00
TOTAL PENALTIES = \$24,500.00

Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Make your check or money order payable to: "Indiana DOL/IOSHA". Please indicate IOSHA's Inspection Number (indicated above) on the remittance. You may also make a payment online at <https://payingov.com/dol/>. Your account number is your inspection number.

IOSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Corrective action, taken by you for each alleged violation should be submitted to this office on or about the abatement dates indicated on the Safety Order and Notification of Penalty.

A work sheet has been provided to assist in providing the required abatement information. A completed copy of this work sheet should be posted at the worksite with the safety order(s).



Julie C. Alexander, J.D.
Director of General Industry



Date