



# INDIANA

## DEPARTMENT OF LABOR

ERIC HOLCOMB, GOVERNOR  
**Rick J. Ruble, Commissioner**  
402 West Washington Street, Room W195  
Indianapolis, Indiana 46204-2751  
Phone: (317) 232-2655  
Fax: (317) 233-3790

1 DOL  
FILE

July 30, 2018

*Sent via U.S. Mail, First Class*

Mr. Rick Hawley  
Cain Corporation  
7724 Loma Court  
Fishers, IN 46038

**Re: *Commissioner of Labor v. Cain Corporation***  
**IOSHA BSR Docket No. 17-022**

Dear Mr. Hawley:

Please find enclosed a file-stamped copy of the *Agreed Entry* that I recently filed in the above referenced matter. This now completes our litigation of this matter. You should receive a *Final Order* from the Board after the next time it meets, which should be within the next couple of months. Please contact me if you have any questions or would like to further discuss this matter.

Sincerely,

J. Anthony Hardman  
General Counsel  
Indiana Department of Labor

Enclosure

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE IOSHA BOARD OF  
SAFETY REVIEW  
CASE DOCKET NO. 17-022

IN THE MATTER OF: )  
 )  
COMMISSIONER OF LABOR, )  
 )  
Complainant, )  
 )  
v. )  
 )  
CAIN CORPORATION )  
AND ITS SUCCESSORS, )  
 )  
Respondent. )

FILED

JUL 30 2018

Indiana Board of  
Safety Review

**AGREED ENTRY**

The parties to the above-captioned proceeding, the Commissioner of the Indiana Department of Labor (hereinafter "Complainant") and Cain Corporation (hereinafter "Respondent"), through their duly authorized representatives, desiring to enter into this Agreed Entry as final settlement of this matter, do hereby stipulate and agree as follows:

**PART I.**

1. From May 1, 2017, through May 12, 2017, authorized employees of the Indiana Department of Labor conducted an inspection at the Respondent's worksite located at 17 N. Indiana St., Greencastle, Indiana 46135.
2. On August 9, 2017, Complainant issued a Safety Order and Notification of Penalty (hereinafter "Safety Order") resulting from Indiana Department of Labor Inspection No. 318086055 and alleging that Respondent had violated the Indiana Occupational Safety and Health Act (IC 22-8-1.1 *et seq.*) or the standards or rules thereunder. The Safety Order is attached hereto as Exhibit A and is incorporated herein.
3. On or about August 23, 2017, Respondent duly and timely petitioned for review of

the Safety Order.

PART II.

4. The Safety Order consists of Safety Order 1, Item Nos. 1, 2, 3, and 4; and Safety Order 2, Item Nos. 1 and 2.

5. Safety Order 1, Item 1 alleges a "Serious" violation of 29 CFR 1926.451(b)(5)(i) and assesses a penalty of Two Thousand Dollars (\$2,000).

6. Safety Order 1, Item 2 alleges a "Serious" violation of 29 CFR 1926.451(e)(1) and assesses a penalty of One Thousand Dollars (\$1,000).

7. Safety Order 1, Item 3 alleges a "Serious" violation of 29 CFR 1926.451(g)(1)(v) and assesses a penalty of Two Thousand Dollars (\$2,000).

8. Safety Order 1, Item 4 alleges a "Serious" violation of 29 CFR 1926.454(a) and assesses a penalty of Six Hundred Dollars (\$600).

9. The total assessed penalty for Safety Order 1 is Five Thousand Six Hundred Dollars (\$5,600).

10. Safety Order 2, Item 1 alleges a "Repeat" violation of 29 CFR 1926.451(f)(7) and assesses a penalty of Four Thousand Dollars (\$4,000).

11. Safety Order 2, Item 2 alleges a "Repeat" violation of 29 CFR 1926.451(g)(1)(vi) and assesses a penalty of Four Thousand Dollars (\$4,000).

12. The total assessed penalty for Safety Order 2 is Eight Thousand Dollars (\$8,000).

13. The total assessed penalty for Safety Order 1 and 2 combined is Thirteen Thousand Six Hundred Dollars (\$13,600).

PART III.

14. The Safety Order is hereby amended as follows.

15. Safety Order 1, Item 1 is upheld in its entirety, but the penalty is reduced to One Thousand Five Hundred Dollars (\$1,500).

16. Safety Order 1, Item 2 is re-classified as a "Non-Serious" violation and the penalty is reduced to Seven Hundred Fifty Dollars (\$750).

17. Safety Order 1, Item 3 is upheld in its entirety, but the penalty is reduced to One Thousand Five Hundred Dollars (\$1,500).

18. Safety Order 1, Item 4 is deleted in its entirety.

19. Safety Order 2, Item 1 is re-classified and amended as a "Non-Serious" violation of 29 CFR 1926.20(b)(2) and the penalty is reduced to One Thousand Dollars (\$1,000).

20. Safety Order 2, Item 2 is deleted in its entirety.

21. The AGREED total penalty for all violations and all subparts thereunder, subject to this Agreed Entry, is Four Thousand Seven Hundred Fifty Dollars (\$4,750).

22. It is understood and agreed by the Respondent and Complainant that this Agreed Entry and attachments will constitute a final, enforceable OSHA Safety Order(s) and penalties for the purposes of the Indiana Occupational Safety and Health Act ("Act").

23. Respondent confirms Complainant's right to re-inspect its workplaces, in accordance with the Act, and to verify abatement of the alleged violations.

24. Respondent hereby withdraws its petition for review previously filed in this matter.

#### PART IV.

25. Nothing contained in this Agreed Entry shall be construed to affect Complainant's interpretation of the Act or any standard or regulation enforced pursuant thereto or the applicable classification thereof.

26. Except for this agreement, and matters arising out of this agreement and any other

subsequent IOSHA proceedings between the parties, none of the foregoing agreements, statements, findings, and actions taken by the Respondent shall be deemed an admission by Respondent of the allegations contained within the Safety Order(s) and Notification(s) of Penalty. The agreements, statements, findings, and actions taken herein are made in order to compromise and settle this matter economically and amicably, and they shall not be used for any other purpose, except as herein stated.

27. The invalidity or unenforceability of any section, subsection, clause or provision of this Agreed Entry does not affect the remaining sections, subsections, clauses, or provisions of this Agreed Entry.

28. Respondent, upon full execution of this Agreed Entry, will post this Agreed Entry for three (3) working days or until abatement is completed, whichever period is longer, pursuant to Board of Safety Review Rules of Procedure, 615 IAC 1-2-18(b)(3)(C).

AGREED this 24<sup>th</sup> day of July, 2018.

CAIN CORPORATION

By: *Rick Hawley*

Printed: RICK HAWLEY

Title: GENERAL MANAGER

COMMISSIONER OF LABOR

By: *Jerry Lander*  
Jerry Lander,  
Director of Construction Safety,  
IOSHA

Approved as to Form:

By: *J. Anthony Hardman*  
J. Anthony Hardman,  
Counsel for Complainant



# Indiana Department of Labor

Indiana Occupational Safety and Health Administration  
402 West Washington St - Room W195  
Indianapolis, IN 46204  
Phone: 317-232-1979 FAX: (317)233-3790



*Certified mail # 7016 3010 0001 0290 3207 8-9-17 jh*

## Safety Order and Notification of Penalty

**To:**  
Cain Corporation  
7724 Loma Court  
Fishers, IN 46038

**Inspection Number:** 318086055  
**CSHO ID:** U7851  
**Optional Report No.:** 04117  
**Inspection Date(s):** 5/1/2017 - 5/12/2017  
**Issuance Date:** 8/9/2017

**Inspection Site:**  
17 N. Indiana St  
Greencastle, IN 46135

*The violation(s) described in this Safety Order and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.*

An inspection of your place of employment has revealed conditions which we believe do not comply with the provisions of the Indiana Occupational Safety and Health Act (Indiana Code Chapter 22-8-1.1) or the standards or rules adopted thereunder. Accordingly, enclosed please find safety order(s) and notification(s) of penalty describing such violation(s) with references to applicable standards, rules, or provisions of the statute and stating the amount of any penalty(ies).

**Informal Conference** - Please be advised that it may be possible to informally settle any potential dispute without initiating the more elaborate proceedings brought on by a petition for review. Prior to filing a petition for review, you may request an informal conference concerning any of the results of the inspection (safety orders, penalties, abatement dates, etc.) by contacting the Indiana Department of Labor/IOSHA, preferably by telephone, in a prompt manner. Please be advised that a request for an informal conference cannot extend the fifteen working day period for filing a petition for review. Informal conferences frequently resolve any possible disputes, and therefore you are urged to take advantage of this opportunity. Because of the limited time period and in order to facilitate scheduling, any requests for an informal conference should be made promptly upon your receipt of the safety order(s) and notification(s) of penalty.

**Right to Contest** - You are hereby also notified that you are entitled to seek administrative review of the safety order(s), penalty(ies), or both by filing a written petition for review at the above address postmarked within fifteen working days of your receipt of the safety order(s) and notification(s) of penalty. ("Working days" means Mondays through Fridays, but does not include Saturdays, Sundays, legal holidays under a state statute or days on which the Indiana Department of Labor's offices are closed during regular business hours). If you do not file such a petition for review (contest), the safety order(s)



and penalty(ies) shall be deemed final orders of the Board of Safety Review and not subject to review by any court or agency. The issuance of a safety order does not constitute a finding that a violation has occurred unless no petition for review is filed, or if a petition for review (contest) is filed, it must contain a statement of its basis and should reference the above inspection number. Upon receipt of your petition for review, we will affirm, amend or dismiss the safety order(s) and notification(s) of penalty. If we affirm, your petition for review will be granted (unless it was not timely) and the dispute will be certified by the Board of Safety Review for further proceedings. The Board of Safety Review is an independent agency appointed by the governor with authority to conduct hearings and to issue decisions concerning disputed safety order(s) and notification(s) of penalty. If we amend the safety order(s) or notification(s) of penalty, your petition for review shall be deemed moot. However, you will then be given an opportunity to file a petition for review concerning the amended safety order(s) and notification(s) of penalty.

Please be advised that an employee or representative of employees may file a petition for review to contest the reasonableness of the time stated in the safety order(s) for the abatement of any violation.

**Posting** - Upon receipt of any safety order(s) you are required to post such safety order(s), or a copy thereof, unedited, at or near each place an alleged violation referred to in the safety order(s) occurred. However, if your operations are such that it is not practicable to post the safety order(s) at or near each place of alleged violation, such safety order(s) shall be posted, unedited, in a prominent place where it will be readily observable by all affected employees. For example, if you are engaged in activities which are physically dispersed, the safety order(s) may be posted at the location from which the employees operate to carry out their activities. You must take steps to ensure that the safety order is not altered, defaced, or covered by other material. Posting shall be until the violation is abated, or for three working days, whichever is longer.

**Penalties** - Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Abatement does not constitute payment of penalties.

**Abatement** - The conditions cited in the safety order(s) must be corrected (abated) on or before the date shown for each item on the safety order(s) and notification(s) of penalty unless:

(1) You file a petition for review concerning the violation, in which case the full abatement period shall commence from the issuance of a final decision by the Board of Safety Review or the courts which requires compliance with the safety order; or

(2) The abatement period is extended by the granting of a petition for modification of abatement date.

**PMAs** - The petition for modification of abatement date is a manner in which you may seek additional time to correct (abate) a violation without having to file a petition for review concerning the safety order, or after the expiration of the time period to file such a petition for review when it becomes apparent that you need extra time to abate the violation. A petition for modification of abatement date shall be in writing and shall include the following information:

(1) All steps you have taken, and the dates of such actions, in an effort to achieve compliance



during the prescribed abatement period.

(2) The specific additional abatement time necessary in order to achieve compliance.

(3) The reasons such additional time is necessary, including the unavailability of professional or technical personnel or of materials and equipment, or because necessary construction or alteration of facilities cannot be completed by the original abatement date.

(4) All available interim steps being taken to safeguard employees against the cited hazard during the abatement period.

(5) A certification that a copy of the petition has been posted, and if appropriate, served on the authorized representative of affected employees, and a certification of the date upon which such posting and service was made.

A petition for modification of abatement date shall be filed with the Indiana Department of Labor/IOSHA no later than the close of the next working day following the date on which abatement was originally required. A later-filed petition shall be accompanied by the employer's statement of exceptional circumstances explaining the delay. A copy of such petition shall be posted in a conspicuous place where all affected employees will have notice thereof or near such location where the violation occurred. The petition shall remain posted until the time period for the filing of a petition for review of the Commissioner's granting or denying the petition expires. Where affected employees are represented by an authorized representative, said representative shall be served a copy of such petition.

**Notification of Corrective Action** - Correction of the alleged violations which have an abatement period of thirty (30) days or less should be reported in writing to us promptly upon correction. A "Letter of Abatement" form and an "Abatement Photographs" worksheet are enclosed for your assistance in providing adequate documentation of abatement. Reports of corrections should show specific corrective action on each alleged violation and the date of such action. On alleged violations with abatement periods of more than thirty (30) days, a written progress report should be submitted, detailing what has been done, what remains to be done, and the time needed to fully abate each such violation. When the alleged violation is fully abated, we should be so advised. Timely correction of an alleged violation does not affect the initial proposed penalty..

**Followup Inspections** - Please be advised that a followup inspection may be made for the purpose of ascertaining that you have posted the safety order(s) and corrected the alleged violations. Failure to correct an alleged violation may result in additional penalties for each day that the violation has not been corrected.

**Employer Discrimination Unlawful** - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the Indiana Department of Labor/IOSHA at the address shown above.

**Notice to Employees** - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must



be mailed to the Indiana Department of Labor/IOSHA at the address shown above within fifteen (15) working days (excluding weekends and State holidays) or receipt by the employer of this safety order and penalty.

If you wish additional information, you may direct such requests to us at the address or telephone number stated above.

**Indiana Department of Labor**

**NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE**

An informal conference has been scheduled with IOSHA to discuss the safety order(s) issued on 8/9/2017. The conference will be held at the IOSHA office located at 402 West Washington Street, Room W195, Indianapolis, IN 46204 on \_\_\_\_\_ at \_\_\_\_\_. Employees and/or representatives of employees have a right to attend an informal conference.

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318086055  
**Inspection Date(s):** 5/1/2017 - 5/12/2017  
**Issuance Date:** 8/9/2017  
**CSHO ID:** U7851  
**Optional Report No.:** 04117

**Safety Order and Notification of Penalty**

**Company Name:** Cain Corporation  
**Inspection Site:** 17 N. Indiana St, Greencastle, IN 46135

---

**Safety Order 01 Item 001**                      Type of Violation: **Serious**

29 CFR 1926.451(b)(5)(i): Each end of a platform 10 feet or less in length was extending over its supports by more than 12 inches and did not have guardrails blocking access to the cantilevered end:

Inside stairwell shaft scaffolding- at 17 N. Indiana St., Greencastle, Indiana- On or about April 26, 2017, the 2 feet 8 inches high platform of the mason walk-thru scaffold type, overlapped its supports by 36 inches and the platform did not have guardrails blocking access to the cantilevered end.

<b>Date By Which Violation Must Be Abated:</b>	<b>Corrected During Inspection</b>
<b>Proposed Penalty:</b>	<b>\$2,000.00</b>



**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318086055  
**Inspection Date(s):** 5/1/2017 - 5/12/2017  
**Issuance Date:** 8/9/2017  
**CSHO ID:** U7851  
**Optional Report No.:** 04117

**Safety Order and Notification of Penalty**

**Company Name:** Cain Corporation  
**Inspection Site:** 17 N. Indiana St, Greencastle, IN 46135

---

**Safety Order 01 Item 002**                      Type of Violation: **Serious**

29 CFR 1926.451(e)(1): Access was not provided to scaffold platforms more than 2 feet above or below point of access by ladders, stairways, stair towers, ladder stands, runways, walkways, integral prefabricated scaffold access or direct access from another scaffold:

Inside stairwell shaft scaffolding- at 17 N. Indiana St., Greencastle, Indiana- On or about April 26, 2017, employees were climbing the end frames and no ladder or other acceptable means of access was provided for employees to reach the 2 feet 8 inches high scaffold platforms of the mason walk-thru scaffold that was 3 feet 1 inch wide, 22 feet long and 46 feet 8 inches high.

**Date By Which Violation Must Be Abated:**  
**Proposed Penalty:**

**Corrected During Inspection**  
**\$1,000.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318086055  
**Inspection Date(s):** 5/1/2017 - 5/12/2017  
**Issuance Date:** 8/9/2017  
**CSHO ID:** U7851  
**Optional Report No.:** 04117

**Safety Order and Notification of Penalty**

**Company Name:** Cain Corporation  
**Inspection Site:** 17 N. Indiana St, Greencastle, IN 46135

---

**Safety Order 01 Item 003**                      Type of Violation: **Serious**

29 CFR 1926.451(g)(1)(v): Each employee on a walkway located within a scaffold was not protected by a guardrail system (with minimum 200 pound toprail capacity) installed within 9 1/2 inches of and along at least one side of the walkway:

Inside stairwell shaft scaffolding- at 17 N. Indiana St., Greencastle, Indiana- On or about April 26, 2017, employees on a walkway, located within the scaffold, that was 39 feet 9 inches above the lower level, was not protected from falls.

**Date By Which Violation Must Be Abated:**  
**Proposed Penalty:**

**Corrected During Inspection**  
**\$2,000.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318086055  
**Inspection Date(s):** 5/1/2017 - 5/12/2017  
**Issuance Date:** 8/9/2017  
**CSHO ID:** U7851  
**Optional Report No.:** 04117

**Safety Order and Notification of Penalty**

**Company Name:** Cain Corporation  
**Inspection Site:** 17 N. Indiana St, Greencastle, IN 46135

---

**Safety Order 01 Item 004**                      Type of Violation: **Serious**

29 CFR 1926.454(a): The employer did not have each employee who performs work while on a scaffold trained by a qualified person to recognize any hazard associated with the type of scaffold being used and to understand the procedures to control or minimize those hazards:

Job site- at 17 N. Indiana St., Greencastle, Indiana- On or about April 26, 2017, the employer did not provide scaffold training to exposed employees.

**Date By Which Violation Must Be Abated:** 9/26/2017  
**Proposed Penalty:** \$600.00



**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318086055  
**Inspection Date(s):** 5/1/2017 - 5/12/2017  
**Issuance Date:** 8/9/2017  
**CSHO ID:** U7851  
**Optional Report No.:** 04117

**Safety Order and Notification of Penalty**

**Company Name:** Cain Corporation  
**Inspection Site:** 17 N. Indiana St, Greencastle, IN 46135

**Safety Order 02 Item 001**                      **Type of Violation: Repeat**

29 CFR 1926.451(f)(7): Scaffold(s) were not erected, moved, dismantled, or altered under the supervision and direction of a competent person qualified in such and were not erected, moved, dismantled, or altered by experienced and trained employees selected for such work by the competent person:

Job site- at 17 N. Indiana St., Greencastle, Indiana- On or about April 26, 2017, the employer's mason walk-thru scaffolds were not erected, moved, dismantled, or altered under the supervision and direction of a competent qualified person.

**Date By Which Violation Must Be Abated:**                      **9/26/2017**  
**Proposed Penalty:**    **\$4,000.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318086055  
**Inspection Date(s):** 5/1/2017 - 5/12/2017  
**Issuance Date:** 8/9/2017  
**CSHO ID:** U7851  
**Optional Report No.:** 04117

**Safety Order and Notification of Penalty**

**Company Name:** Cain Corporation  
**Inspection Site:** 17 N. Indiana St, Greencastle, IN 46135

---

**Safety Order 02 Item 002**                      Type of Violation: **Repeat**

29 CFR 1926.451(g)(1)(vi): Each employee performing overhand bricklaying operations from a supported scaffold more than 10 feet above a lower level was not protected from falling from all open sides and ends by the use of a fall arrest system or guardrail system:

Inside stairwell shaft scaffolding- at 17 N. Indiana St., Greencastle, Indiana- On or about April 26, 2017, each employee performing blocklaying operations from a mason walk-thru scaffold was not protected from falling from open ends by the use of a personal fall arrest system or guardrail system.

**Date By Which Violation Must Be Abated:**  
**Proposed Penalty:**

**Corrected During Inspection**  
**\$4,000.00**

*JG*  
*Jerry W Lander*  
Jerry W. Lander  
Director of Construction Safety

# Indiana Department of Labor

Indiana Occupational Safety and Health Administration  
402 West Washington St - Room W195  
Indianapolis, IN 46204  
Phone: (317)232-1979 FAX: (317)233-3790



## INVOICE/DEBT COLLECTION NOTICE

---

**Company Name:** Cain Corporation  
**Inspection Site:** 17 N. Indiana St, Greencastle, IN 46135  
**Issuance Date:** 8/9/2017

**Summary of Penalties for Inspection Number: 318086055**

Safety Order 1, Serious	= \$5,600.00
Safety Order 2, Repeat	= \$8,000.00
<b>TOTAL PENALTIES</b>	<b>= \$13,600.00</b>

---

Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Make your check or money order payable to: "Indiana DOL/IOSHA". Please indicate IOSHA's Inspection Number (indicated above) on the remittance.

IOSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Corrective action, taken by you for each alleged violation should be submitted to this office on or about the abatement dates indicated on the Safety Order and Notification of Penalty.

A work sheet has been provided to assist in providing the required abatement information. A completed copy of this work sheet should be posted at the worksite with the safety order(s).

Jerry W Lander 56  
Jerry W. Lander  
Director of Construction Safety

8/9/17  
Date