

**In the
Supreme Court of Indiana**

IN THE MATTER OF)
)
THE HONORABLE)
)
SCOTT A. NORRICK) Case No: 24S-JD-35
)
JUDGE OF)
)
MADISON CIRCUIT COURT)

**NOTICE OF THE INSTITUTION OF FORMAL PROCEEDINGS
AND
STATEMENT OF CHARGES**

The Indiana Commission on Judicial Qualifications (“Commission”), having found probable cause to warrant formal charges of judicial misconduct, now notifies Respondent, the Honorable Scott A. Norrick (“Respondent”) of the filing of these Charges. These Charges are brought under Admission and Discipline Rule 25 and before the Indiana Supreme Court, which, pursuant to Article 7, § 4 of the Constitution of Indiana, has original jurisdiction over the discipline, suspension, and removal of all judges and judicial officers of this State. The Commission charges that Respondent, while Judge of Madison Circuit Court, engaged in judicial misconduct as specifically charged below. Pursuant to Admission and Discipline Rule 25VIII (F), Respondent may file a written Answer to these Charges within twenty (20) days of service.

BACKGROUND

1. Respondent was admitted to the Indiana Bar in 2004.
2. On November 3, 2020, Respondent was elected as a Judge for Madison Circuit Court and began serving as Judge of Madison Circuit Court 5 on January 1, 2021.
3. Respondent previously served as Judge of Edgewood Town Court in Madison County for approximately fifteen years. As Judge of Edgewood Town Court, Respondent was responsible for overseeing three court staff.
4. At all times pertinent to these Charges, Respondent presided over a general jurisdiction docket that included criminal and civil cases.

SUMMARY OF CHARGES

5. These Charges allege misconduct by Respondent since he became Judge of Madison Circuit Court 5. Respondent's conduct throughout three separate Commission investigations demonstrates a recurring pattern of failing to perform his judicial and administrative duties diligently and promptly in addition to failing to adequately supervise court staff, which resulted in dismissal of various criminal cases and issuance of an ex parte order in a custody matter.
6. Count 1 of the Charges alleges that after Respondent became Judge of Madison Circuit Court 5, Respondent failed to adequately supervise court staff regarding processing case entries and orders for Landmark Accounts cases, which led to the perception that Respondent had not disqualified and was presiding over cases in which he had a conflict. Specifically, there were numerous court orders and case entries issued that contained Respondent's stamped signature stamp or public case dockets that still listed Respondent as the assigned judicial officer on cases in which his son appeared as counsel and cases in

which Respondent had participated as an attorney before becoming a judge.

7. Counts 2 and 3 of the Charges allege that Respondent failed to timely and properly take judicial action in approximately forty (40) criminal cases, including failing to issue orders and set matters for hearing, which ultimately required that various matters be dismissed for failure to adhere to speedy trial deadlines. Additionally, Respondent failed to supervise court staff to ensure that proper case entries and/or orders were entered into the case management system for these criminal cases and others identified during the Commission's investigation.
8. Count 4 alleges that Respondent failed to supervise court staff in Madison Circuit Court 5 to ensure proper orders were issued in a case with an ongoing custody dispute. As a result, an ex parte change in custody order was issued.

FACTS GIVING RISE TO MISCONDUCT CHARGES

Landmark Accounts Cases

9. During his entire career as an attorney, Respondent represented Landmark Accounts, Inc.¹ ("Landmark Accounts") in small claims matters.
10. Many Landmark Accounts cases were assigned to Madison Circuit Court 5 and remained pending there when Respondent took the Circuit Court bench.
11. Respondent's son was admitted to the Indiana bar in September 2020, and Respondent and his son practiced at the same law firm for several months prior to Respondent's election as Judge of Madison Circuit Court 5.
12. After Respondent became Judge of Madison Circuit Court 5, Respondent's son took over the representation of Landmark Accounts.

¹ According to Respondent, Landmark Accounts previously operated under other titles/business names/aliases prior to being renamed as Landmark Accounts, Inc.

13. On April 2, 2022, the Commission received a complaint alleging that Respondent was presiding over cases in which Respondent's son had appeared as counsel and Respondent had previously served as counsel for one of the parties.
14. Two months later, Respondent submitted a self-report regarding the Landmark Accounts' cases. Respondent acknowledged presiding over the Landmark Accounts' cases would be a conflict of interest and recounted previous steps he undertook to prevent that from happening. Respondent admitted those previous steps had resulted in errors by his court staff and described measures he was taking so he did not preside over Landmark Accounts' cases or cases in which his son represented a party.
 - a. According to Respondent, he instructed court staff to transfer any matter involving Landmark Accounts or his son to the master commissioner/magistrate.
 - b. Despite Respondent's communication to court staff about how to handle Landmark Accounts cases, court staff affixed Respondent's signature, by utilizing a signature stamp, to orders on Landmark Accounts cases and cases in which his son appeared for a party.
 - c. Despite his awareness of the conflicts of interest, Respondent did not undertake any efforts to review his staff's work to ensure that he was promptly and correctly disqualified from the cases or that the cases showed the matters were transferred to another judicial officer.
15. After an initial inquiry into the matter and attempts to assist the judge in correcting the situation, the Commission issued a Notice of Investigation to Respondent regarding his handling of Landmark Accounts cases.

16. During the course of the Commission's inquiry and investigation, it was discovered that from January 20, 2021 to April 13, 2022, there were approximately twenty-seven (27) cases with a total of sixty-six (66) orders issued with Respondent's stamped signature in which Respondent's son appeared as counsel and Landmark Accounts was a party. Some of these orders included Show Cause Orders and Orders granting the withdrawal of Respondent's appearance as Landmark Accounts' attorney. A list of these cases is attached to the Complaint as Exhibit A.
17. Additionally, on the public online case docket (MyCase), there were Landmark Accounts cases that listed Respondent as the judicial officer presiding over the matters. Although a magistrate actually heard these cases, the public online case docket gave no indication that a magistrate was hearing the cases. A list of some of these cases is attached to the Complaint as Exhibit B.
18. The incorrect entries on the public online case docket were the result of Madison Circuit Court 5 staff error and Respondent's failure to adequately supervise the staff regarding the processing of cases.
19. By failing to adequately supervise court staff's processing of case entries on Landmark Accounts cases, court staff's errors gave the appearance that Respondent was presiding over cases in which he had a conflict of interest under Rule 2.11(A) of the Indiana Code of Judicial Conduct.

Missing Orders and Entries in Criminal Cases

20. While the Commission's investigation regarding Respondent's handling of the Landmark Accounts cases was ongoing, the Commission received a report in February 2023 that there were criminal cases in Respondent's court with missing case entries and orders.
21. At the end of February 2023, Respondent received from the Madison County Prosecutor's

Office a compiled list of criminal cases in Madison Circuit Court 5 that had missing case entries, orders, and/or no future dates.

22. On April 18, 2023, the Commission issued an Amended Notice of Investigation concerning Respondent's handling of the identified criminal cases.

23. From January 1, 2021 to March 31, 2023, there were approximately forty (40) criminal cases with missing entries and orders. A list of these cases and the identified missing entries and orders is attached to the Complaint as Exhibit C.

24. The missing entries and orders included failure to update warrants accurately, failure to set jury trial dates, and failure to indicate what transpired at certain hearings and whether future hearing dates were needed.

a. In one case, the judge ordered the bond revoked for a defendant charged with Failure to Register as a Sex or Violent Offender who had failed to comply with the terms of pretrial release.

1) Although court staff initially inputted the order issuing a warrant into the case management system, that entry later was withdrawn, and the case was never placed back on the court's docket.

2) Ultimately, the case had to be dismissed because the defendant was not tried in a timely manner pursuant to Indiana Rule of Criminal Procedure 4(C).

b. In another case, the judge recalled a warrant of a defendant charged with Resisting Law Enforcement. Although staff inputted an entry recalling the warrant, no subsequent hearing was scheduled, and the case ultimately had to be dismissed for failure to try the defendant in a timely manner.

c. In another case, court staff failed to update the court docket regarding what

transpired during a scheduled bench trial that had not taken place and failed to set a future hearing date.

d. In another case, court staff mistakenly updated the court docket on October 9, 2022 to withdraw a warrant for a defendant charged with Residential Entry, but the mistake was not discovered and rectified by re-issuing the warrant until February 28, 2023.

e. In at least thirty-six (36) cases, no future dates were set, although the cases remained pending.

25. As a result of the missing entries and orders, approximately sixteen (16) cases were dismissed because the defendants were not tried in a timely manner under Indiana Criminal Rule 4(C)².

26. During the course of its investigation, the Commission also discovered a pattern of delays in the issuance of court orders in Madison Circuit Court 5.

27. Soon after Respondent became Judge in Madison Circuit Court 5, multiple individuals, including other Madison Circuit Court Judges and individuals from the Madison County Prosecutor's Office, informed Respondent about concerns of delays in inputting case entries and orders in criminal cases.

28. Specifically, Respondent was made aware that his lead criminal court reporter was four or five weeks behind on completing case entries.

29. Despite being on notice of these concerns, for two years Respondent failed to undertake any efforts to review criminal cases, including whether an appropriate entry/order had

² Additionally, four (4) other cases were dismissed because the defendants were not tried in a timely manner under Indiana Criminal Rule 4(C). These cases were from the prior judge and had missing entries/orders and no future court dates prior to Respondent taking over Madison Circuit Court 5 on January 1, 2021, but Respondent had not taken any action on the cases after becoming Judge.

been made or whether the matter had been scheduled for a future court date.

30. From February 2021 to March 2023, there were at least seven (7) cases on Respondent's docket in which there had been a significant delay in the issuance of warrants. A list of these cases is attached to the Complaint as Exhibit D.

- a. Delays ranged from approximately thirty (30) days up to sixteen (16) months.
- b. The cases with delayed issuance of warrants involved defendants charged with various Level 6 felonies, including Battery Against a Public Safety Official, Domestic Battery, Strangulation, Auto Theft, Neglect of a Dependent, Possession of Methamphetamine, and Residential Entry.

31. In *State v. Reynolds*, 48C05-2010-F6-002359, Respondent composed a signed Order, but there was a six-month delay in issuing a ruling from a hearing on August 11, 2022.

- a. On May 27, 2022, the State filed a Motion to Reconsider Alternative Misdemeanor Sentencing, which was set for hearing on August 11, 2022.
- b. On August 11, 2022, Respondent took the matter under advisement pending submission of briefs. Final briefing was completed by August 28, 2022.
- c. Although Respondent provided his court reporter on November 9, 2022 an order to be issued and distributed by the court reporter, she failed to effect the order.
- d. In February 2023, individuals from the Madison County Prosecutor's Office brought the issue of the outstanding order to the court reporter's attention; the court reporter then informed Respondent that she had been unable to locate the order for distribution and requested another copy.
- e. Respondent provided the court reporter with another copy of the order, but she still failed to enter the order and distribute it to the parties until Respondent brought it

to her attention again on March 3, 2023.

*Erroneously Stamped Custody Orders in Matter of H.M.*³

32. While the Commission's investigation regarding Respondent's handling of the Landmark Accounts cases and the missing criminal case entries and orders was ongoing, on April 27, 2023, Respondent submitted another self-report regarding the erroneous issuance of an ex parte custody order.
33. According to Respondent's self-report, court staff had erroneously affixed Respondent's signature electronically to an order that modified custody in *Matter of H.M.*, 48C05-2204-MI-000112, without all parties first being heard.
34. In *Matter of H.M.*, two parties were involved in an ongoing custody dispute. On May 2, 2022, Respondent ordered temporary legal and physical custody between both parties pending an evidentiary hearing.
35. On April 12, 2023, one of the parties filed a Motion for Emergency Modification of Custody and Request for Expedited Hearing, requesting temporary sole physical and legal custody of H.M.
36. That same day, an Order on the Motion for Emergency Modification of Custody and Request for Expedited Hearing was issued with Respondent's electronic signature.
- a. The Order granted, without a hearing or a response from all parties, a change of custody of H.M. from the May 2, 2022 temporary custody arrangement.
 - b. The Order did not comply with the requirements of Indiana Trial Rule of Procedure 65(B), which sets forth the requirements that must be met before issuing an ex parte emergency order.

³ Due to the proceedings involving a minor child, the Commission is not identifying the name of the minor child, or the names of the parties involved in the court proceedings.

37. On April 13, 2023, the other party filed a Motion to Correct Error and Verified Response to the Emergency Modification of Custody and Request for Expedited Hearing.
38. On April 18, 2023, Respondent granted the Motion to Correct Error and vacated the April 12, 2023 ex parte order changing temporary custody.
39. Respondent indicated that he had not reviewed the April 12, 2023 Order before it was distributed to the parties. Respondent's court reporter had informed him that an emergency request for hearing had been filed, and he instructed his court reporter to schedule the matter for hearing.
40. This episode was the second time that an order had been erroneously issued regarding custody of H.M. In an order from May 2, 2022, Respondent indicated that an April 29, 2022 order setting temporary custody to one party was erroneously issued and was stricken.

APPLICABLE COURT RULES AND PRECEDENT

41. Indiana Administrative Rule 10(a) provides, in part:

Each judge is administratively responsible for the integrity of the judicial records of the court and must ensure that (a) the judicial records of the court are recorded and maintained pursuant to Supreme Court directives, and (b) measures and procedures are employed to protect such records from mutilation, false entry, theft, alienation, and any unauthorized alteration, addition, deletion, or replacement of items or data elements.

42. Indiana Criminal Rule 4(C) provides, in part:

No person shall be held on recognizance or otherwise to answer a criminal charge for a period in aggregate embracing more than one year from the date the criminal charge against such defendant is filed, or from the date of his arrest on such charge, whichever is later; except where a continuance was had on his motion, or the delay was caused by his act, or where there was not sufficient time to try him during such period because of congestion of the court calendar....

43. Indiana Trial Rule of Procedure 65(B) provides, in relevant part:

A temporary restraining order may be granted without written or oral notice to the adverse party or his attorney only if:

(1) it clearly appears from specific facts shown by affidavit or by the verified complaint that immediate and irreparable injury, loss, or damage will result to the applicant before the adverse party or his attorney can be heard in opposition; and

(2) the applicant's attorney certifies to the court in writing the efforts, if any, which have been made to give notice and the reasons supporting his claim that notice should not be required.

Every temporary restraining order granted without notice shall be indorsed with the date and hour of issuance; shall be filed forthwith in the clerk's office and entered of record; shall define the injury and state why it is irreparable and why the order was granted without notice; and shall expire by its terms within such time after entry, not to exceed ten [10] days

44. Rule 1.2 of the Indiana Code of Judicial Conduct provides that "A judge shall act at all times in a manner that promotes public confidence in the independence, integrity, and impartiality of the judiciary, and shall avoid impropriety and the appearance of impropriety."

45. Rule 2.5(A) of the Indiana Code of Judicial Conduct provides that "A judge shall perform judicial and administrative duties competently, diligently, and promptly."

46. Rule 2.11(A) of the Indiana Code of Judicial Conduct provides, in part, that:

A judge shall disqualify himself or herself in any proceeding in which the judge's impartiality might reasonably be questioned, including but not limited to the following circumstances:

(2) The judge knows that . . . a person with the third degree of relationship [to the judge or the judge's spouse or domestic partner] is:

(b) acting as a lawyer in the proceeding

(6) The judge:

(a) Served as a lawyer in the matter in controversy

47. Rule 2.12(A) of the Indiana Code of Judicial Conduct provides that “A judge shall require court staff, court officials, and others subject to the judge’s direction and control to act in a manner consistent with the judge’s obligations under this Code.”

48. Although a judge may delegate certain functions to nonjudicial staff, the judge must adequately supervise the staff member’s actions to ensure that the staff member is acting consistent with the judge’s directives and authority. *See Matter of Funke*, 757 N.E.2d 1013 (Ind. 2001)(judge disciplined for improper delegation of duties by permitting a practice in his court and in the clerk’s office whereby those employees affixed the judge’s stamped signature to protective orders when the petitions were filed and before the judge reviewed the petitions; the practice led to the appearance that the judge had granted his own father a protective order).

CHARGES

The Commission incorporates the facts set out in ¶¶ 1-40 into the Charges below.

Count 1

The Commission charges that from January 1, 2021 to April 13, 2022, Respondent failed to adequately supervise court staff regarding processing of case entries and orders for Landmark Accounts cases in Madison Circuit Court 5. This failure led to the perception that Respondent was presiding over cases in which he had participated as an attorney before becoming a judge or cases in which Respondent’s son continued to represent Landmark Accounts, as Respondent’s stamped signature was placed on orders and his name remained on the electronic docket as the judicial officer presiding over certain Landmark Accounts matters. By engaging in this conduct, Respondent violated Rule 1.2, 2.5(A), and 2.12(A) of

the Code of Judicial Conduct.

Count 2

The Commission charges that from January 1, 2021 to March 31, 2023, Respondent engaged in a pattern of failing to timely and properly take judicial action in criminal cases, which led to delays in the progression of cases and ultimately required that various matters be dismissed for failure to adhere to speedy trial deadlines. By engaging in this conduct, Respondent violated Rules 1.2 and 2.5(A) of the Code of Judicial Conduct and engaged in conduct prejudicial to the administration of justice.

Count 3

The Commission charges that from January 1, 2021 to March 31, 2023, Respondent failed to adequately supervise court staff to ensure that proper case entries and/or orders were entered into the case management system as to the criminal cases identified by the Madison County Prosecutor's Office and others discovered during the Commission's investigation. By engaging in this conduct, Respondent violated Rules 1.2, 2.5(A), and 2.12(A) of the Code of Judicial Conduct.

Count 4

The Commission charges that Respondent failed to adequately supervise court staff to ensure proper orders were issued in the *Matter of H.M.*, which resulted in an ex parte custody order being issued that did not comply with the requirements of Trial Rule 65(B). By engaging in this conduct, Respondent violated Rules 1.2, 2.5(A), and 2.12(A) of the Code of Judicial Conduct.

WHEREFORE, the Commission respectfully requests that, upon the filing of Respondent's Answer, the Indiana Supreme Court appoint three Masters to conduct a public hearing on the charges that Respondent committed judicial misconduct as alleged, and further

prays that the Supreme Court find that Respondent committed misconduct and that it impose upon him the appropriate sanction.

Respectfully submitted,

2/1/24
DATE

Adrienne L. Meiring
Adrienne L. Meiring
Counsel to the Commission
Atty. No. 18414-45

Jim E. Esenwein
Jim E. Esenwein
Staff Attorney
Atty. No. 34291-20

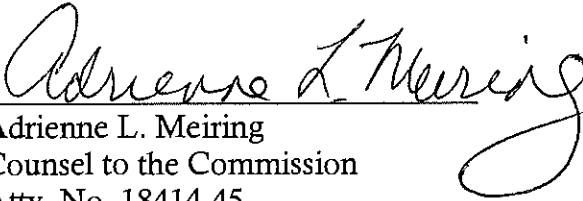
Indiana Commission on
Judicial Qualifications
251 N. Illinois Street, Suite 1600
Indianapolis, IN 46204
(317) 232-4706

CERTIFICATE OF SERVICE

I certify that a copy of this "Notice of the Institution of Formal Proceedings and Statement of Charges" was sent by certified mail, postage pre-paid to Respondent, through counsel, and via electronic mail at the following mailing and electronic address:

James J. Bell
Hoover Hull Turner LLP
111 Monument Circle, Suite 4400
PO Box 44989
Indianapolis, IN 46244
jbelle@hooverhullturner.com

2/1/24
DATE


Adrienne L. Meiring
Counsel to the Commission
Atty. No. 18414-45

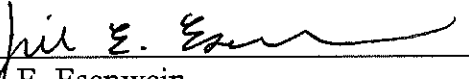

Jill E. Esenwein
Staff Attorney
Atty. No. 34291-20

Exhibit A- List of Landmark Accounts Cases

1. *Landmark Accounts, Inc. v. Compestine*, 48C05-1309-SC-004443 (48C04-1309-SC-004443), (January 20, 2021 Order on Rule to Show Cause, January 20, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 20, 2021 Order of Hearing Location)
2. *Landmark Accounts, Inc. v. Bair III*, 48C05-1307-SC-003471 (48C04-1307-SC-003471), (January 20, 2021 Order on Rule to Show Cause, January 20, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 20, 2021 Order of Hearing Location)
3. *Landmark Accounts, Inc. v. Joslin*, 48C05-1302-SC-000656 (48C04-1302-SC-000656), (January 20, 2021 Order on Rule to Show Cause, January 20, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 20, 2021 Order of Hearing Location)
4. *Landmark Accounts, Inc. v. Lindle*, 48E02-0511-CC-03725 (48C04-0511-CC-003725), (January 20, 2021 Order on Rule to Show Cause, January 20, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 20, 2021 Order of Hearing Location)
5. *Landmark Accounts, Inc. v. Burkhardt*, 48C05-1502-SC-000619 (48C04-1502-SC-000619), (January 20, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 20, 2021 Order of Hearing Location)
6. *Landmark Accounts, Inc. v. Hinson*, 48C05-1712-SC-003971 (48C04-1712-SC-003971), (January 20, 2021 Order on Rule to Show Cause, January 20, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 20, 2021 Order of Hearing Location)
7. *Landmark Accounts, Inc. v. McGhee*, 48C05-1902-SC-000653 (48C04-1902-SC-000653), (January 20, 2021 Order to Appear/Answer Interrogatories, January 20, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 20, 2021 Order of Hearing Location)
8. *Landmark Accounts, Inc. v. Gurley*, 48C05-2002-SC-000423 (48C04-2002-SC-000423), (January 21, 2021 Order on Rule to Show Cause, January 21, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 21, 2021 Order of Hearing Location)
9. *Landmark Accounts, Inc. v. Greider*, 48C05-1612-SC-004450 (48C04-1612-SC-004450), (January 21, 2021 Order on Rule to Show Cause, January 21, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 21, 2021 Order of Hearing Location)

10. *Landmark Accounts, Inc. v. Inholt*, 48C05-1707-SC-002496 (48C04-1707-SC-002496), (January 21, 2021 Order on Rule to Show Cause, January 21, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 21, 2021 Order of Hearing Location)
11. *Landmark Accounts, Inc. v. Goodman*, 48C05-2002-SC-000416, (January 22, 2021 Order to Appear/ Answer Interrogatories, January 22, 2021 Order of Hearing Location)
12. *Landmark Accounts, Inc. v. Dotson*, 48C05-2002-SC-000414 (48C04-2002-SC-000414), (January 22, 2021 Order on Rule to Show Cause, January 22, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 22, 2021 Order of Hearing Location)
13. *Landmark Accounts, Inc. v. Davenport*, 48C05-2002-SC-000415 (48C04-2002-SC-000415), (January 22, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick), The Court's two additional orders from January 22, 2021 did not have any judicial officer's signatures whatsoever on them despite having the seal stamp of Madison County Circuit Courts.¹
14. *Landmark Accounts, Inc. v. Eldridge*, 48C05-2002-SC-000411, (January 22, 2021 Order on Rule to Show Cause, January 22, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 22, 2021 Order of Hearing Location)
15. *Landmark Accounts, Inc. v. Ashley*, 48C05-1703-SC-001234 (48C04-1703-SC-001234), (January 22, 2021 Order on Rule to Show Cause, January 22, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 22, 2021 Order of Hearing Location)
16. *Landmark Accounts, Inc. v. Carpenter*, 48C05-2003-SC-000592 (48C04-2003-SC-000592), (January 22, 2021 Order on Rule to Show Cause, January 22, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 22, 2021 Order of Hearing Location)
17. *Landmark Accounts, Inc. v. Benefiel*, 48C05-1303-SC-000973 (48C04-1303-SC-000973), (January 22, 2021 Order on Rule to Show Cause, January 22, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 22, 2021 Order of Hearing Location)

¹ There are other instances in Landmark Accounts cases where court orders were issued with no judicial signature on them where there was a seal stamp of Madison County Circuit Courts or there was no seal stamp on the order, but the case docket reflects an order being issued from Madison Circuit Court 5. Some of these cases included the following: February 8, 2021 Order granting Respondent's Motion to Withdraw Appearance of Scott Norrick, 48C05-1608-SC-002870 (48C04-1608-SC-002870); February 8, 2021 Order granting Respondent's Motion to Withdraw Appearance of Scott Norrick, 48C05-1312-SC-005618 (48C04-1312-SC-005618); February 8, 2021 Order granting Respondent's Motion to Withdraw Appearance of Scott Norrick and Order of Hearing Location, 48C05-1406-SC-002641 (48C04-1406-SC-002641).

18. *Landmark Accounts, Inc. v. Blair*, 48C05-1308-SC-003597 (48C04-1308-SC-003597), (January 22, 2021 Order on Rule to Show Cause, January 22, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 22, 2021 Order of Hearing Location)
19. *Landmark Accounts, Inc. v. Vansparrentak*, 48C05-1310-SC-004622 (48C04-1310-SC-004622), (January 22, 2021 Order on Rule to Show Cause, January 22, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 22, 2021 Order of Hearing Location)
20. *Landmark Accounts, Inc. v. Renfroe (Henderson)*, 48C05-1510-SC-003860 (48C04-1510-SC-003860), (January 25, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, January 25, 2021 Order of Hearing Location)
21. *Landmark Accounts, Inc. v. Chismar*, 48C05-1901-SC-000257 (48C04-1901-SC-000257), (January 28, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick)
22. *Landmark Accounts, Inc. v. Perkins*, 48C05-1306-SC-002712 (48C04-1306-SC-002712), (February 4, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick)
23. *Landmark Accounts, Inc. vs. Abbott*, 48C05-1305-SC-002089 (48C04-1305-SC-002089), (February 4, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick)
24. *Landmark Accounts, Inc. v. Woods*, 48C05-1403-SC-001308 (48C04-1403-SC-001308), (February 8, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, February 8, 2021 Order on Rule to Show Cause, February 8, 2021 Order of Hearing Location)
25. *Landmark Accounts, Inc. v. Vance*, 48C05-1309-SC-004402 (48C04-1309-SC-004402), (February 8, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick, February 8, 2021 Order on Rule to Show Cause, February 8, 2021 Order of Hearing Location)
26. *Landmark Accounts, Inc. v. Adams*, 48C05-1701-SC-000113 (48C04-1701-SC-000113), (February 9, 2021 Order granting Motion to Withdraw Appearance of Scott Norrick)
27. *Landmark Accounts, Inc. v. Hillenburg*, 48C05-1305-SC-002280 (48C04-1305-SC-002280), (April 13, 2022 Order to Appear/ Answer Interrogatories)

Exhibit B- Respondent listed as Judicial Officer on Landmark Cases

1. *Landmark Accounts, Inc. v. Miller*, 48E02-9703-CP-001133 (48C04-9703-CP-001133)
2. *Landmark Accounts, Inc. v. Cogan*, 48C05-2003-SC-000585 (48C04-2003-SC-000585)
3. *Landmark Accounts, Inc. v. Kline*, 48C05-1407-SC-003343 (48C04-1407-SC-003343)
4. *Landmark Accounts, Inc. v. Barnes*, 48C05-1309-SC-004520 (48C04-1309-SC-004520)
5. *Landmark Accounts, Inc. v. Smith*, 48C05-1308-SC-004040 (48C04-1308-SC-004040)
6. *Landmark Accounts, Inc. v. Fraley*, 48C05-1310-SC-004926 (48C04-1310-SC-004926)
7. *Landmark Accounts, Inc. v. Halsell*, 48C05-1402-SC-001202 (48C04-1402-SC-001202)
8. *Landmark Accounts, Inc. v. Beaver*, 48C05-1608-SC-002862 (48C04-1608-SC-002862)
9. *Landmark Accounts, Inc. v. Foreman*, 48C05-150-1SC-000221 (48C04-1501-SC-000221)

Exhibit C- List of Criminal Cases with Missing Entries or No Future Dates¹

1. *State v. Zeigler*, 48C05-1708-F6-002144, (No entry regarding jury trial scheduled for May 17, 2021 and no future court date)
2. *State v. Clark*, 48C05-1803-F6-000759, (No entry regarding jury trial scheduled for June 1, 2021 and no future court date)
3. *State v. Simmons*, 48C05-1808-F6-002127, (No entries from July 21, 2022 regarding the acceptance of a plea agreement. An amended plea and sentencing hearing was not completed until March 23, 2023.)
4. *State v. Hinkle*, 48C05-1809-F6-002277, (No entry from Dispositional Hearing on January 13, 2022 and no future court date)
5. *State v. Weatherspoon*, 48C05-1902-F6-000408, (No action taken on two of the State's Motions for a Status Hearing filed on April 6, 2021 and June 11, 2021)
6. *State v. Campbell*, 48C05-1904-F6-000930, (No entry regarding status conference on May 4, 2021, and no future court date)
7. *State v. Coleman*, 48C05-1905-F6-001059, (No entry regarding jury trial scheduled for August 9, 2022 and no future court date)
8. *State v. Clark*, 48C05-1907-F6-001734, (No entry regarding jury trial scheduled for September 20, 2022 and no future court date)
9. *State v. Gluck*, 48C05-1908-F6-001828, (No entry regarding hearing on April 25, 2022 and no future court date)
10. *State v. Wiggins*, 48C05-1910-F6-002575, (No entry regarding jury trial scheduled for March 8, 2022 and no future court date)
11. *State v. Jackson*, 48C05-1910-F6-002608, (No entry regarding jury trial scheduled for December 7, 2021 and no future court date)
12. *State v. Richards*, 48C05-1912-F6-003063, (No entry regarding hearing on May 20, 2021 and no future court date)
13. *State v. Moree*, 48C05-2001-F6-000093, (No entry regarding jury trial scheduled for March 2, 2021 and no future court date)

¹ Exhibit C reflects cases with missing entries, orders, and/or future hearing dates as they appeared at the end of February/beginning of March 2023. This was prior to Respondent taking subsequent action on some of the cases after learning about the missing entries and orders. This list reflects other criminal cases identified by the Commission during its investigation.

14. *State v. Nance*, 48C05-2003-F6-000688, (No future court date set following June 22, 2021 status conference)
15. *State v. Angelucci, Jr.*, 48C05-2004-F6-000834, (No entry regarding jury trial scheduled for November 1, 2022 and no future court date)
16. *State v. Pacheco*, 48C05-2007-F6-001712, (No entry regarding bench trial scheduled for June 3, 2022 and no future court date)
17. *State v. Waymire, Jr.*, 48C05-2008-F6-001939, (No entry following the warrant being recalled on October 3, 2021 and no future court date)
18. *State v. Gluck*, 48C05-2012-F6-003015, (No entry regarding hearing on April 25, 2022 and no future court date)
19. *State v. McCoy*, 48C05-2103-F6-000655, (No warrant being issued following the hearing on October 4, 2021 or in the alternative if the defendant had been located, no new hearing date scheduled)
20. *State v. Jacobs*, 48C05-2105-F6-001191, (No entry regarding hearing on September 20, 2022 and no future court date)
21. *State v. Berry*, 48C05-2106-F6-001541, (No warrant issued following July 29, 2021 hearing or in the alternative if defendant had timely submitted Covid test results, no future court date was scheduled)
22. *State v. Dodson*, 48C05-2106-F6-001710, (Initial hearing cancelled and not reset on June 9, 2022 with no explanation)
23. *State v. Roy*, 48C05-2107-F6-001856, (No entry or explanation as to why warrant was recalled on March 17, 2022 and no future court date set)
24. *State v. Hille, Jr.*, 48C05-2108-F6-002286, (No entry regarding hearing on March 11, 2022 and no future court date)
25. *State v. Hinkle*, 48C05-2109-CM-002508, (No entry regarding hearing on January 13, 2022 and no future court date)
26. *State v. Pearce*, 48C05-2110-F6-002889, (An entry on October 9, 2022 withdrawing a warrant was made in error but was not caught until February 28, 2023 when the warrant was reissued)
27. *State v. Wolfe*, 48C05-2111-F6-003215, (No entry regarding hearing on October 25, 2022 and no future court date)
28. *State v. Jones*, 48C05-2112-F6-003314, (No entry regarding hearing on September 20,

2022 and no future court date)

29. *State v. Farr*, 48C05-2112-F6-003483, (No entry regarding jury trial scheduled for September 20, 2022 and no future court date)
30. *State v. Martin*, 48C05-2205-F6-001404, (No future court date scheduled after September 19, 2022 hearing to recall warrant. The court did not take any action on Defendant's Motion to Remove Warrant Status on Mycase and Set Pretrial Conference filed on October 13, 2022.)
31. *State v. Cooper*, 48C05-2206-F6-001714, (No entry regarding hearing on October 31, 2022 and no future court date)
32. *State v. Reynolds*, 48C05-2208-F6-002367, (No entry regarding hearing on October 20, 2022 or the scheduling of a future court date until January 16, 2023. The entry from January 16, 2023 erroneously stated that the next court date was January 17, 2022 and no future court date was calendared. The Court did not notice that the matter had not been continued with defendant's other cases on January 17, 2023 until March 2, 2023.)
33. *State v. Graggs*, 48C05-2209-F6-002838, (On October 25, 2022, Madison Circuit Court 4 transferred the case to Madison Circuit Court 5 and the hearing date of November 2, 2022 was vacated. No action was taken on the case once it was transferred to Madison Circuit Court 5 until the State filed a Motion for Status hearing on March 3, 2023.)
34. *State v. Griffey*, 48C05-2210-F6-002967, (No entry made regarding bench trial set for January 27, 2023 and no future court date)
35. *State v. Bleckman*, 48C05-2203-F6-000799, (No entry regarding hearing on September 6, 2022 and no future court date)
36. *State v. Pithoud*, 48C05-2107-F6-002045, (No entries regarding hearings on September 6, 2022 and October 3, 2022 and no future court date)
37. *State v. Ryle*, 48C05-2102-F6-000294, (No entry regarding hearing on January 13, 2022 and no future court date)
38. *State v. Ryle*, 48C05-1906-F6-001443, (No entry regarding hearing on January 13, 2022 and no future court date)
39. *State v. Young*, 48C05-2002-F6-000442, (No entry regarding hearing on January 13, 2022 and no future court date)
40. *State v. Shannon*, 48C05-1803-F6-000603, (No entry regarding hearing on June 1, 2021 and no future court date)

Exhibit D- List of Criminal Cases with Delays in Issuance of Warrants

1. *State v. Edwards*, 48C05-1907-F6-001580, (no warrant issued from hearing on February 22, 2021 until March 23, 2021)
2. *State v. Carter*, 48C05-2105-F6-0001214, 48C05-2109-F6-002483, (no order issuing warrant from hearing on September 6, 2022 until February 13, 2023)
3. *State v. Dowler*, 48C05-2111-F6-003198, (no case entry or order issuing warrant from September 6, 2022 until February 13, 2023)
4. *State v. Purvis*, 48C05-02106-F6-001715, (no case entry or order issuing warrant from September 6, 2022 hearing until February 13, 2023)
5. *State v. McCoy*, 48C05-2103-F6-000655, (no warrant issued following hearing on October 4, 2021 until March 1, 2023)
6. *State v. Berry*, 48C05-2106-F6-001541, (no warrant issued following hearing on July 29, 2021; the court took no further action on the case until March 2, 2023 when it issued a summons instead)
7. *State v. Pearce*, 48C05-2110-F6-002889, (previously issued warrant on March 16, 2022 was withdrawn in error on October 9, 2022 with no explanation or entry and not re-issued until February 28, 2023)