

	INDIANA DEPARTMENT OF CHILD SERVICES CHILD WELFARE POLICY	
	Chapter 13: Background Checks	Effective Date: July 1, 2017
	Section 9: Conducting Background Checks for Foster Family Home Licensing	Version: 5

STATEMENTS OF PURPOSE

The Indiana Department of Child Services (DCS) requires background checks to be conducted for the purpose of foster family home licensing on all persons who:

1. Live in the home; or
2. Work or volunteer in the home and have or will have direct contact, on a regular and continuing basis, with children who are or will be under the direct supervision of the foster parent.

After a child is placed in a foster family home, DCS requires background checks on:

1. New household members who have an intent to reside in the home for at least 21 days (the days do not have to be consecutive); checks must be completed prior to moving into the foster family home;
2. Current household members who turn 14 or 18 years old; fingerprints and LEA are required within 30 days of his/her 18th birthday; and National Sex Offender Registry checks are required within 30 days of his/her 14th birthday; or
3. New employees and/or volunteers; checks must be completed prior to beginning work or volunteering in that licensed resource home.

The following background checks will be conducted on foster family homes:

1. Fingerprint-Based National Criminal History Check (Fingerprint-Based Check);
2. Child Protection Services (CPS) History Check;
3. Sex Offender Registry Check; and
4. Local Law Enforcement Agency (LEA) Records Check.

The type of background check conducted will vary based on the age of the subject of the check.

DCS will not conduct background checks on children under DCS care and supervision; this includes Youth in Collaborative Care.

Note: Youth in Collaborative Care are still in foster care; therefore, background checks are not required unless the child has been out of DCS's care for an extended amount of time. In those cases, the DCS Collaborative Care Case Manager will determine whether or not background checks are necessary.

Fingerprint-Based Checks conducted for foster family home licensing cannot be used for adoption or any other purpose. A new fingerprint check is required.

For Initial and Relicensure of Foster Family Homes

Background checks will consist of the following:

1. For all persons age 18 and older:
 - a. Fingerprint-Based Check,

- b. CPS History Check in every state where the subject of the check has lived during the last five (5) years,
 - c. Sex Offender Registry Check in every state where the subject of the check has lived during the last five (5) years, and
 - d. Local LEA Records Check in every local police/sheriff jurisdiction where the subject of the check has lived during the last five (5) years.
2. For all persons age 14-17 years:
 - a. CPS History Check in every state where the subject of the check has lived during the last five (5) years, and
 - b. Sex Offender Registry Check in every state where the subject of the check has lived during the last five (5) years.
 3. For all persons age 6-13 years, a CPS History Check in every state where the subject of the check has lived during the last five (5) years.

Note: The CPS History check should be completed within 30 days of the child's 6th birthday.

Exceptions to Fingerprinting

The only exception to fingerprinting a subject of the check is if he or she has a physical disability that makes it impossible to obtain the subject's fingerprint. The exception does not apply to subjects who can be printed but the quality of the fingerprints is poor. The exception can only be granted by the DCS Central Office Background Check Unit (COBCU) and is for limited and case-specific situations, such as the following:

1. When the subject does not have fingers;
2. When a person trained to take fingerprints has documented that the subject's disabling condition prevents fingerprinting; or
3. When a qualified medical practitioner has documented the subject's disabling condition prevents fingerprinting.

To receive an exception, the following must be sent to the COBCU:

1. A letter requesting the exception and explaining the disabling condition; and
2. The required documentation from the person trained to take fingerprints or qualified medical practitioner or evidence that the individual does not have fingers.

If the exception is granted, COBCU will complete the Indiana Limited Criminal History Check (LCH) on the subject of the check. If the subject lived in any other state in the last five (5) years, contact COBCU for guidance on what type of check is needed in that state.

Annual Reviews

DCS requires those who live, work, or volunteer in the foster family home to complete the following background checks at the annual review:

1. LCH check (age 18 and older);
2. CPS History Check (all ages);
3. Sex Offender Registry (age 14 and older); and
4. LEA Records Checks (age 18 and older).

Note: Background checks for the annual review process do not require fingerprints.

Extracurricular Activities

DCS does not have the statutory authority to fingerprint individuals involved in the child's participation in extracurricular activities. These include, but are not limited to: Scouting, Youth Groups, School Parties, Sleepovers, Roller Skating parties, and Birthday parties (see separate policy, [8.23 Extracurricular Activities](#)). Discretion should be used when deciding whether CPS History Checks, Sex Offender Registry Checks, and/or LCH Checks are needed.

Childcare

Background checks have already been completed by the Indiana Division of Family Resources (DFR) for licensed childcare providers. No additional background check is needed.

DCS does not have the statutory authority to fingerprint in-home or out-of-home unlicensed babysitters that supervise the child(ren) irregularly. Examples of irregular childcare include, but are not limited to: visiting or spending time with (including overnight visits) with friends and/or relatives, going to the movies or grocery store, or other similar activities. Discretion should be used when deciding whether CPS History Checks, Sex Offender Registry Checks, and/or local LCH Checks are needed.

Unlicensed out-of-home childcare providers that supervise the child(ren) on a regular and continuing basis shall have the following background checks conducted for all household members of the childcare provider's home:

1. CPS History Checks in every state where the subject of the check has lived during the last five (5) years (all persons regardless of age);
2. Sex Offender Registry Checks in every state where the subject of the check has lived during the last five (5) years (age 14 years and older); and
3. LCH Checks (age 18 years and older).

Fingerprint-Based Checks should not be conducted for unlicensed out-of-home childcare providers.

Unlicensed in-home childcare providers that supervise the child on a regular and continuing basis would be considered an employee or volunteer of the foster family home and the background checks required for an employee or volunteer of the home (Fingerprint-Based Check, CPS History Check, Sex Offender Registry Check and a local LEA Records Check) should be completed. Examples of regular and continuous childcare include, but are not limited to, childcare provided daily or on a consistent reoccurring schedule while the relative caregiver works or participates in other reoccurring scheduled obligations.

DCS will maintain the confidentiality of all information gained during the background check process, following all applicable state and federal laws (see separate policy, [2.6 Sharing Confidential Information](#)).

Code References

1. [IC 10-13-3-31: Release of data to subject person; fee; challenge of data authorized](#)
2. [IC 31-9-2-22.5: "Conduct a criminal history check"](#)
3. [IC 31-26-5: Family Preservation Services](#)
4. [IC 31-34-20-1.5: Placement in household with certain individuals prohibited; criminal history checks; exceptions; considerations](#)
5. [IC 31-34-18-6.1: Predispositional report; contents](#)
6. [240 IAC Article 6: Criminal History Record Information](#)

PROCEDURE

For the persons that live, work, or volunteer in the foster family home, the Regional Foster Care Specialist (RFCS) or Licensed Child Placing Agency (LCPA) licensing worker will:

1. Verify the identity of each subject of the check, regardless of age (see separate policy, [2.9 Verifying Identity](#)), by reviewing one (1) available and valid government-issued identification document such as, but not limited to, a:
 - a. Driver's license,
 - b. Social Security card,
 - c. Photo identification card,
 - d. Passport, or
 - e. Birth certificate.
2. Have each subject of the check complete the [Application for Criminal History Background Check \(SF 53259\)](#):
 - a. The subject of the check must sign and date the form, and
 - b. The licensing worker must place the original in the licensing file after completion of the background check process.
3. Register persons age 18 years and older for the Fingerprint-Based Check, unless requesting an Exception to Fingerprinting:
 - a. Complete the registration process for the subject of the check for electronic fingerprinting through the DCS approved fingerprint vendor and provide the subject with a copy of the registration confirmation number given at the end of the registration process,
 - b. Provide the subject of the check with the customized step-by-step instructions for registering for fingerprinting- the licensing worker is unable to register the subject of the check (see Registering for Fingerprints below),
 - c. Inform the applicants to use the same government issued identification when registering and completing the fingerprint check,
 - d. Ensure the subject of the check is successfully fingerprinted, and
 - e. Obtain the results of the fingerprint-based check. The COBCU will provide a letter via e-mail to the DCS local office or LCPA contact person handling all background check material and inform them of the Fingerprint-Based Check status:
 - i. If fingerprints are rejected, follow the instructions on the Reprint Notice. A 'reprint' appointment must be scheduled. Do not start a new registration or there will be a duplicate charge for the cost of printing. Provide the subject of the check a copy of the reprint notice if they will be scheduling their 'reprint' appointment themselves; and
 - ii. For all other results, see separate policy, [13.10 Evaluation of Background Checks for Foster Family Home Licensing](#).
4. Conduct a Sex Offender Registry Check for all persons age 14 years and older and print the results via the Dru Sjodin National Sex Offender Public website at <http://www.nsopw.gov/Core/Portal.aspx>. If a match is found, please refer to separate policy, [13.10 Evaluation of Background Checks for Foster Family Home Licensing](#);

Note: If you are searching a common name and results show multiple matches, narrow the search by state. If this occurs, search every state the subject has lived in for the past five (5) years.

5. Conduct a CPS History Check for all persons:

a. For Indiana:

- i. As the requesting agency, the licensing worker will complete Section A of the Indiana [Request for Child Protection Service \(CPS\) History Check \(SF 52802\)](#);
- ii. Have the subject of the check, or representative if a minor, complete Section B; and
- iii. Complete a search of the Management Gateway for Indiana's Kids (MaGIK) System, and hardcopy files if available, and reflect the results in Section C;

Note: LCPAs are unable to access this information and will need to send a copy of the Indiana [Request for Child Protection Service \(CPS\) History Check \(SF 52802\)](#) to DCS COBCU or the DCS local office.

- b. For all other states, conduct a CPS History check search for every other state the individual has lived during the past five (5) years. Process your search request at <http://www.cclid.ca.gov/AdamWalsh/2609.htm>. Click on "[List of Contacts For Other State's Child Abuse and Neglect Registries](#)",
- c. If the person has CPS history in any state, refer to separate policy, [13.10 Evaluation of Background Checks for Foster Family Home Licensing](#), for further action required.

6. Conduct LEA Records Checks:

- a. Request a records check from the local or county LEA with jurisdiction of the subject's home address utilizing the [Application for Criminal History Background Check \(SF 53259\)](#), Section titled "For Law Enforcement Use Only",
- b. Request searches from the appropriate local LEA for ALL other residential addresses the subject of the check has resided during the past five (5) years, and
- c. Upon receiving the results of each check, see separate policy, [13.10 Evaluation of Background Checks for Foster Family Home Licensing](#).

Note: It is the responsibility of the applicant to cover any fees for out-of-state CPS history.

PRACTICE GUIDANCE

Undocumented Individuals

If an individual is undocumented, the licensing worker should contact the COBCU for instructions and approval as background checks are still required. The fingerprint registration cannot be completed without a social security number and the assistance of the COBCU.

Notifying the Licensing Worker of Arrest, Convictions or Substantiation of Abuse or Neglect

The licensing worker must be notified within 24 hours of the arrest, conviction or substantiation of abuse or neglect of the licensee, a household member, employee, and/or volunteer. The licensing worker and supervisor will evaluate the severity and seriousness of the offense on a case-by-case basis and contact COBCU if additional guidance is needed.

Registering for Fingerprints

If the licensing worker is unable to complete the registration process for the subject of the check, the subject of the check is to be provided a copy of the step-by-step instructions for registration. The name of the DCS local office or LCPA, the licensing worker's name and phone number, and the reason for printing should be given to the subject of the check for registration purposes. The DCS billing code should be given to those being checked through a DCS local office.

Exception to Fingerprinting

If an Exception to Fingerprinting request is granted, COBCU will run an Indiana LCH. The licensing worker will complete the non-fingerprint based checks for all other states where the subject of the check has resided during the past five (5) years to the COBCU. The COBCU will provide a letter via e-mail to the local office or LCPA contact person handling all background check material and inform them of the clearance status resulting from the search.

For purposes of the exception for a physical disability, a "qualified medical practitioner" means the following:

1. A physician licensed under [IC 25-22.5](#);
2. A physician assistant licensed under [IC 25-27.5](#);
3. A physical therapist licensed under [IC 25-27](#);
4. An advanced practice nurse licensed under [IC 25-23](#);
5. A chiropractor licensed under [IC 25-10](#); and
6. A psychologist licensed under [IC 25-33](#).

Searching CPS History

Search all available hardcopy records and complete a search in MaGIK.

FORMS AND TOOLS

1. [Application for Criminal History Background Check \(SF 53259\)](#)
2. [Request for a Child Protection Services \(CPS\) History Check \(SF 52802\)](#)
3. [Background Check Matrix for Unlicensed Placements and Foster Care Desk Guide](#)

RELATED INFORMATION

Court Ordered Exception and/or Completion of Fingerprint-Based Checks

Neither the court nor any other person or organization has the authority to exclude the subject of the check from completing all required background checks. The only exception to this is if the COBCU grants an Exception to Fingerprinting outlined in this policy.

The court nor any other person or organization cannot require DCS to complete Fingerprint-Based Checks on those subjects over which DCS has no statutory authority to fingerprint nor can DCS be required to pay for the cost of such printing.

If the FCM believes at any time that DCS is being required to completed background checks outside the statutory authority, please contact the Deputy Director of Placement Support and Compliance over COBCU for assistance.

Special Fingerprinting Issues

Homebound

If a subject of the check cannot leave his or her home for fingerprinting, the licensing worker should contact the COBCU for appropriate instruction and approval.

Unreadable Prints and Reprint Notice

Fingerprints may be rejected by the Indiana State Police (ISP) or the Federal Bureau of Investigations (FBI) for a number of reasons. Each rejection is evaluated individually. For each reprint notice issued, the subject of the check must schedule a reprint appointment. Once the necessary number of rejections within the appropriate timeframe has been obtained, COBCU will request that a nonemergency Name-Based Check be processed. Once the name-based check has been requested, the processing timeframe is longer than a fingerprint check.

Checking the Status of a Fingerprint-Based Check Report

The Local Office Director (LOD), or designee, and all RFCS are provided access to the administrative website to check the status of fingerprints. A username and password may be obtained by e-mailing the COBCU at background.checkunit@dcs.in.gov.

Limited Criminal History (LCH)

A LCH is a name based search of the ISP database that contains only felonies and Class A misdemeanor arrests within the State of Indiana and can only be conducted on individuals 18 years of age and older. This search should not be used if fingerprinting is being completed. Completeness of this information is based upon local law enforcement participation. This search is available online at <https://secure.in.gov/apps/isp/lch/>. This site can be accessed by the general public and results are immediate. For assistance with username or password issues, please e-mail background.checkunit@dcs.in.gov.

DCS may provide a copy of the LCH Check to the subject of the check.

If any of the checks conducted by DCS reveal an inaccurate record, the record may be formally challenged. A Review Challenge of inaccurate information must be made to the arresting agency. For Indiana convictions, this would be made to the ISP.