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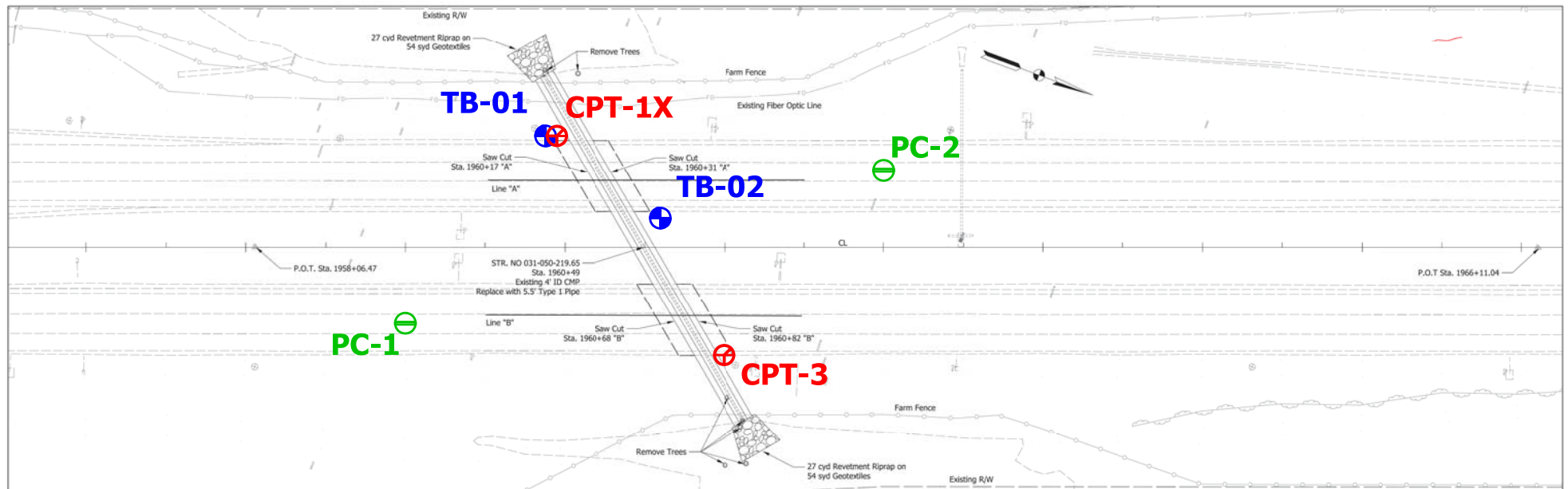
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## **APPENDICES**

**APPENDIX 1**  
**BORING LOCATION PLAN EXAMPLE**

# Boring Location Plan



Des# 1298559  
Contract# R-33953  
Location: US 31 1.95 mi N of SR-10  
County: Marshall

Scale: Not to Scale

**APPENDIX 2**  
**INDOT FIELD BORING LOG**



# Office of Geotechnical Services Field Boring Log

DES. NO.	ROAD NO.	COUNTY:	BORING NO:
STA:	OFFSET:	LINE:	ELEV.:
LOC:		LAT:	LONG:
BORING TYPE:	RIG TYPE(S):	HOLE DIA :	DRLR:
START:	COMP:		INSP:
WEATHER:	TEMP.:	WATER ADDED DURING DRILLING Y or N	
			DEPTH ADDED:

FIELD ID	SAMPLE	INTVL	BLOW COUNT	% REC & RQD	FIELD	OFFICE

GROUND WATER : DURING DRILLING : \_\_\_\_\_ AT COMPLETION: \_\_\_\_\_ 24HOURS: \_\_\_\_\_ CAVED: \_\_\_\_\_

BACKFILL MATERIALS: ( circle ) SOIL BENTONITE ASPHALT CONCRETE





**APPENDIX 3**  
**INDOT BORING LOG EXAMPLE**



# INDOT BORING LOG

BORING NO.: **TB-01**  
 SHEET 1 OF 1  
 LATITUDE : \_\_\_\_\_  
 LONGITUDE : \_\_\_\_\_  
 DATUM : \_\_\_\_\_  
 DATE STARTED : 03-15-18  
 DATE COMPLETED : 03-15-18

ROUTE #: US-31 COUNTY : Marshall  
 PROJECT TYPE: Small Structure Replacement  
 LOCATION : Us 31, 1.95 Mi N Of Sr-10  
 DES NO. : 1298559 PROJECT NO.: \_\_\_\_\_

ELEVATION : <u>817.0</u>	BORING METHOD : <u>HSA</u>	HAMMER : <u>Auto</u>
STATION : <u>1959+88</u>	RIG TYPE : <u>Truck</u>	DRILLER/INSP : <u>DT/LB</u>
OFFSET : <u>70.0 ft Left</u>	CASING DIA. : <u>8 in</u>	TEMPERATURE : <u>39 °F</u>
LINE : <u>'CL'</u>	CORE SIZE : _____	WEATHER : <u>Clear</u>
DEPTH : <u>25.0 ft</u>		

GROUNDWATER:  Encountered at 19.0 ft  At completion DRY  NA After NA hours  Caved in at 12.0 ft

STRATUM ELEVATION	SAMPLE DEPTH	SOIL/MATERIAL DESCRIPTION	SAMPLE NUMBER	SPT per 6"	% RECOVERY	MOISTURE CONTENT	UNCONF. COMP., ksf	ATTERBERG LIMITS			REMARKS
								LL	PL	PI	
816.0	1.0	Soil.									
	2.5		SS 1	8 10 13	100	7.7					
	5.0		SS 2	8 8 11	100						
	7.5	Sandy Loam, A-4, Brown, Moist, Medium Dense To Dense, (Test TB-2 SS-4).	SS 3	3 8 15	100						
	10.0		SS 4	5 14 15	100						
	12.5		SS 5	6 14 19	0						
804.0	13.0										
	20.0	Sandy Loam, A-2-4 (0), Brown, Wet, Very Loose, (Test TB-1 SS-6).	SS 6	2 2 2	100			NP	NP	NP	
	25.0		SS 7	2 1 2	100						
792.0	25.0	Bottom of Boring at 25.0 ft									

**APPENDIX 4**  
**INDOT CONE PENTRATION TEST EXAMPLE**



Date : 3/15/2018

Route : US-31

County : Marshall

Project Type : Small Structure Replacement

Location : Us 31, 1.95 Mi N Of Sr-10

# INDOT Cone Penetration Test

Des Number : 1298559

Project Number : \_\_\_\_\_

Operator : DT

Station : 1959+95

Line : CL

Offset : 70.0 ft Left

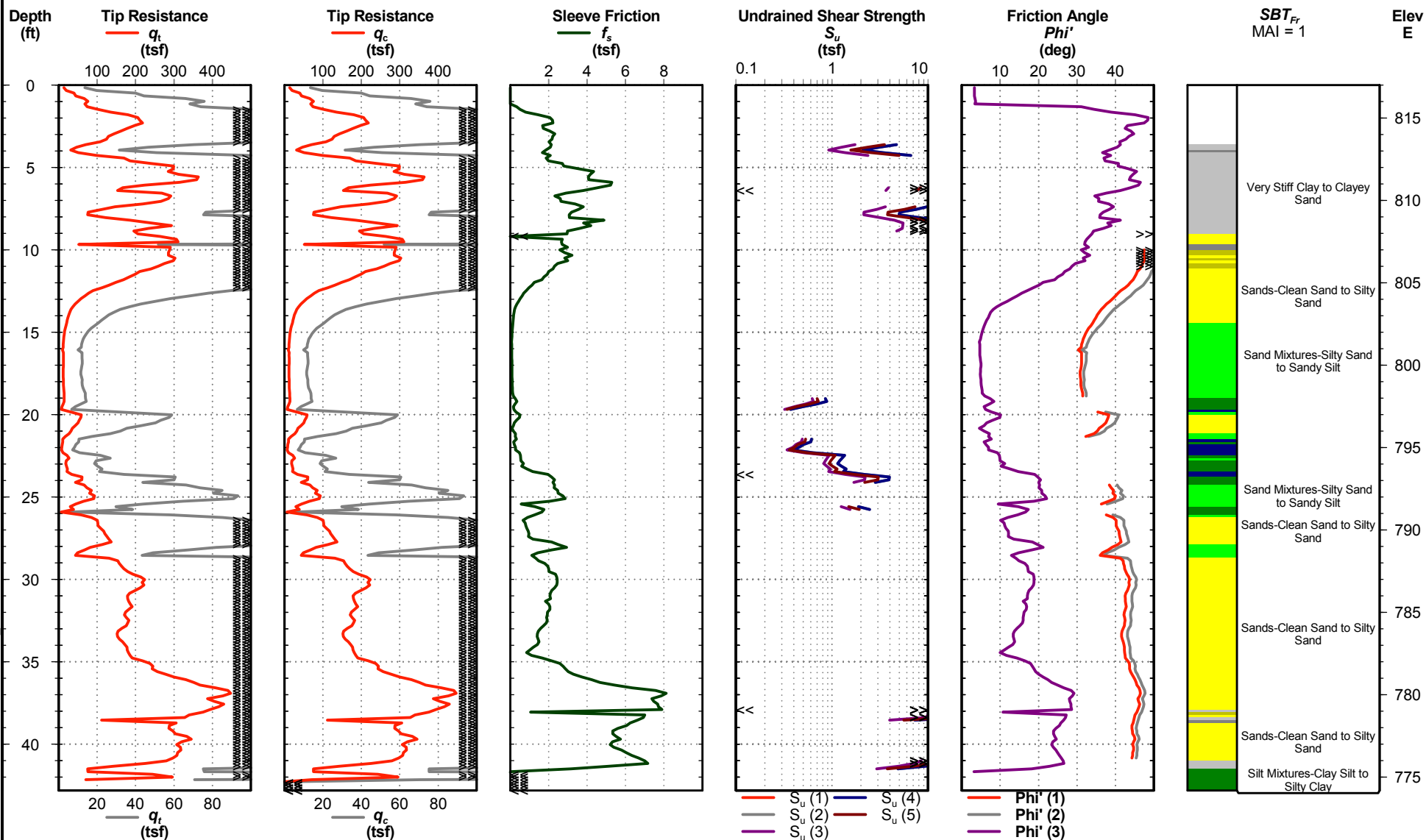
CPT Number : CPT-1X

Page : 1 of 1

Elevation : 817.0

Depth : 42.8 ft

Water : 0.0 ft



CPT REPORT - DYNAMIC 1298559.GPJ INDOT 4.GDT 7/25/18

**APPENDIX 5**  
**INDOT PAVEMENT CORE REPORT EXAMPLE**

# Example Pavement Core Report

## PAVEMENT CORE REPORT

Des No.:

Location:

Road	Core No.	Date Cored	Core Dia.	Station	Offset	Line

Photo of Core next to  
measurement tape

Photo of Core Location  
looking down the roadway

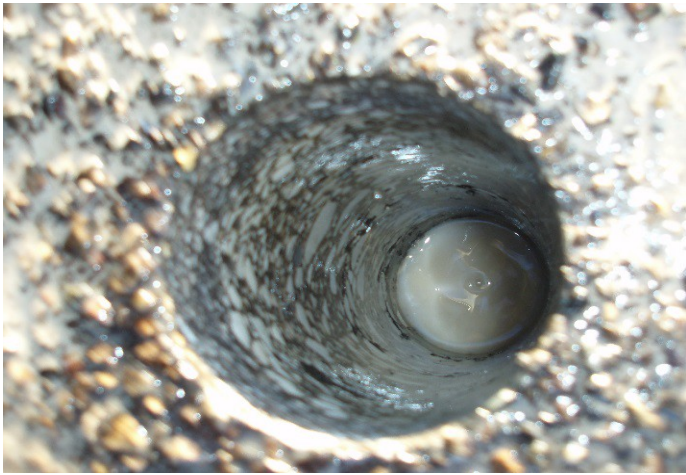
Photo inside the hole  
where core was extracted

Photo of the base stones at the  
base of the pavement core

Depth (inches)	Pavement Type	Notes

Recovered Core Length (inches)	In-hole Depth	Recovery (%)
	(inches)	

Location	Core No.	Date Cored	Core Dia.	Station	Offset	Line
SR 29	PC-2	10/17/2018	4	34+75	6 ft Lt	CL



Depth (inches)	Pavement Type	Notes
13	Asphalt	

Recovered Core Length (inches)	In-hole Depth (inches)	Recovery (%)
	13	



**APPENDIX 6**  
**GRAIN SIZE EXAMPLE**



**APPENDIX 7**  
**CONSOLIDATION TEST (SPECIMEN DATA)**

## Consolidation Test (Specimen Data)

Date: \_\_\_\_\_

Project: \_\_\_\_\_

Boring No: \_\_\_\_\_

Classification: \_\_\_\_\_

Tare No.		Before Test				After Test	
		Specimen		Trimmings		Specimen	
		Ring and Plates					
Weight in grams	Tare plus wet soil						
	Tare plus dry soil						
	Water	$W_w$	$W_w$		$W_{WF}$		
	Tare						
	Dry Soil	$W_s$					
Water Content		$w$	$w_o$	%	%	$w_f$	
Consolidometer No.				Area of specimen A, sq. in.			
Weight of ring, g				Height of specimen, H, in.			
Weight of plates, g				Specific gravity of solids, $G_s$			

$$H_s = \frac{W_s}{AG \gamma_w}$$

Degree of saturation after test,  $S_r = \frac{H_{wf}}{H_f - H_s} = \underline{\hspace{2cm}} \%$

Net change of height of specimen at end of test,  $\Delta H = \underline{\hspace{2cm}}$  in.

Height of specimen at end of test,  $H_r = H - \Delta H = \underline{\hspace{2cm}}$  in.

Remarks: \_\_\_\_\_

Void ratio after tests  $= \frac{H_r - H_s}{H_s} = \underline{\hspace{2cm}} =$

Degree of saturation before test,  $S_o = \frac{H_w}{H - H_s} = \underline{\hspace{2cm}} = \underline{\hspace{2cm}} \%$

Dry Density  $= \frac{W_s}{H_s \times A} = \underline{\hspace{2cm}}$  lb/cu ft.

Technician: \_\_\_\_\_ Computed by: \_\_\_\_\_ Checked by: \_\_\_\_\_

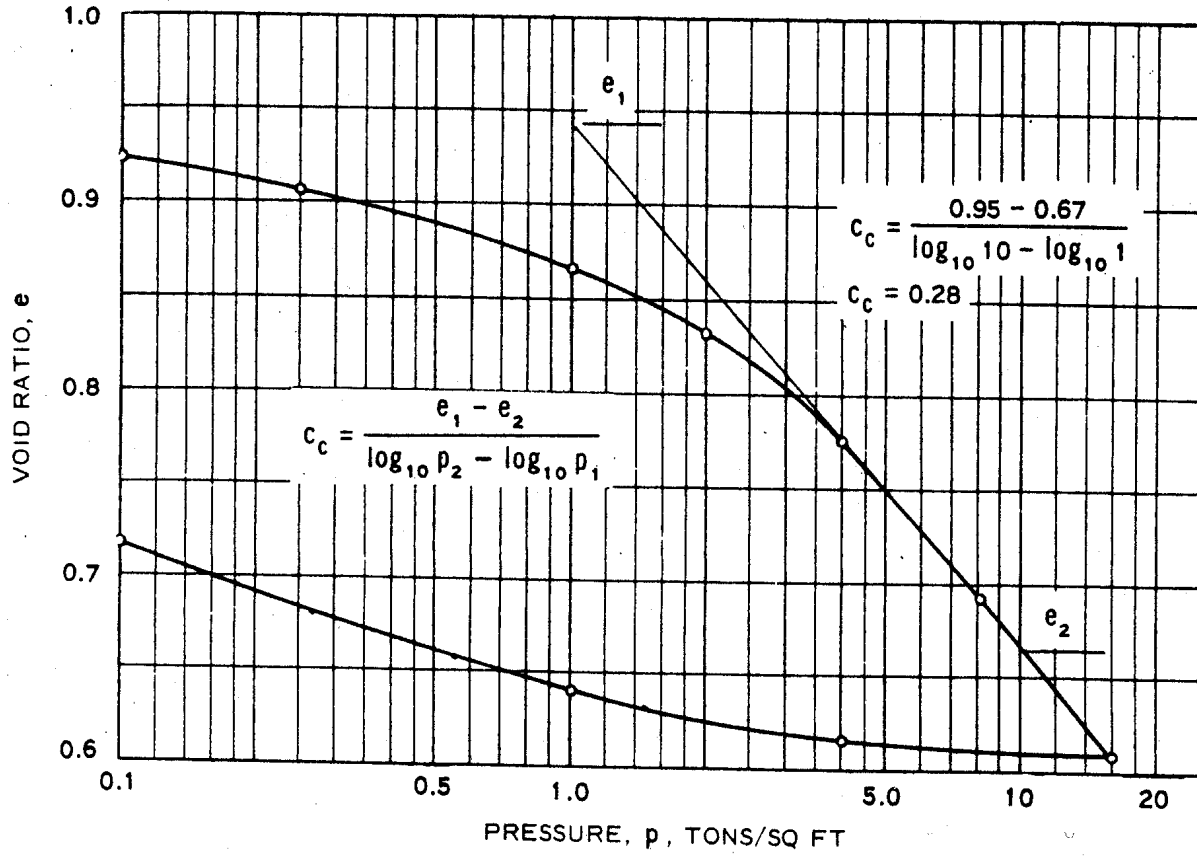
**APPENDIX 8**  
**CONSOLIDATION TEST (TIME-CONSOLIDATION**  
**DATA)**



**APPENDIX 9**  
**E-LOG P CURVE CONSOLIDATION TEST**

## E-Log P Curve Consolidation

Test



Boring No: \_\_\_\_\_ Sample No: \_\_\_\_\_ Depth: \_\_\_\_\_

Soil Description: \_\_\_\_\_

Liquid Limit: \_\_\_\_\_ Plastic Limit: \_\_\_\_\_ % Fines: \_\_\_\_\_

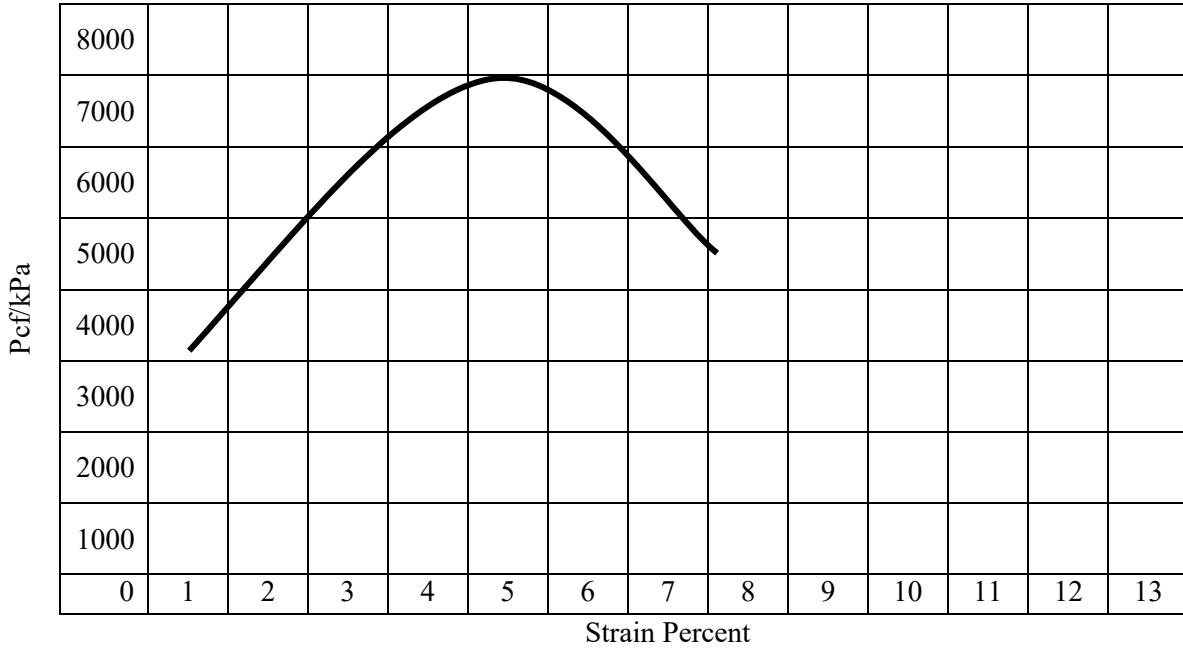
Wet Density,  $t$ : \_\_\_\_\_ Water Content,  $W\%$ : \_\_\_\_\_ Initial Void Ratio,  $e_0$ : \_\_\_\_\_

$C_c$ : \_\_\_\_\_  $C_r$ : \_\_\_\_\_  $P_c$ : \_\_\_\_\_  $C_v$ : \_\_\_\_\_



**APPENDIX 10**  
**STRAIN PERCENTAGE WORKSHEET UNCONFINED**  
**COMPRESSIVE STRENGTH TEST**

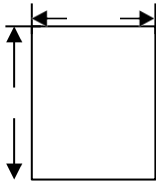
## Strain Percentage Worksheet Unconfined Compressive Strength Test



Sample Location: \_\_\_\_\_

Depth: \_\_\_\_\_ Moisture Content \_\_\_\_\_

Strain Rate: \_\_\_\_\_ Dry Unit Weight \_\_\_\_\_



Soil Description: \_\_\_\_\_

Soil Description: \_\_\_\_\_

Soil Description: \_\_\_\_\_

Project #: \_\_\_\_\_ Des. #: \_\_\_\_\_

Road: \_\_\_\_\_ County: \_\_\_\_\_

Location: \_\_\_\_\_

**APPENDIX 11**  
**TRIAXIAL COMPRESSION TEST (SPECIMEN DATA)**

## Triaxial Compression Test (Specimen Data)

Date: _____						
Project: _____						
Boring No: _____			Sample No: _____			
Type of Test: _____			Confining Pressure _____ tons/sq ft			
Test No. _____			Classification: _____			
Before test						
Tare No: _____		Specimen		Trimming		
Weight, q	Tare plus wet soil					
	Tare plus dry soil					
	Water	W <sub>w</sub>	W <sub>wo</sub>	W <sub>wf</sub>		
	Tare					
	Wet Soil	W <sub>s</sub>				
Dry Soil		W				
Water Content	w	%	w <sub>o</sub>	%	w <sub>f</sub>	%
Initial Condition of Specimen						
Diameter, inch (cm)	D <sub>o</sub>	Top	Center	Bottom	Average	
Height, cm	H <sub>o</sub>	Volume of solids, in. <sup>3</sup>		V <sub>s</sub>		
Area sq inch = 7.854 D <sup>2</sup>	A <sub>o</sub>	Void ratio = (V <sub>o</sub> - V <sub>s</sub> ) ÷ V <sub>s</sub>		e <sub>o</sub>		
Volume = in. <sup>2</sup>	V <sub>o</sub>	Saturation, %		S		
Specific gravity of solids	G	Dry Density, lb/cu ft		d		
Condition of Specimen After Consolidation (R and S Tests)						
Change in height during consolidation, in.	ΔH <sub>o</sub>	Volume, in. = A <sub>c</sub> H <sub>c</sub>		V <sub>c</sub>		
Height, = H <sub>o</sub> - ΔH <sub>o</sub> in.	H <sub>c</sub>	Void Ratio = (V <sub>c</sub> - V <sub>s</sub> ) ÷ V <sub>s</sub>		e <sub>c</sub>		
Area, sq. in.	A <sub>c</sub>	Saturation, %		S <sub>c</sub>		
Condition of Specimen After Test (R and S Tests)						
Diameter, cm	D <sub>r</sub>	Top	Center	Bottom	Average	
Change in height during Shear Tests, in.	ΔH	Volume, in. <sup>3</sup> = A <sub>f</sub> H <sub>f</sub>		V <sub>f</sub>		
Height, in. = H <sub>c</sub> - ΔH	H <sub>r</sub>	Void Ratio = (V <sub>r</sub> - V <sub>s</sub> ) ÷ V <sub>s</sub>		e <sub>r</sub>		
Area, sq inch	A <sub>f</sub>	Saturation, %		S <sub>r</sub>		
$W_s = \frac{W}{w}, v_s = \frac{W_s}{\gamma_w G_s}, S_o = \frac{w_o}{V_o - V_s} \times 100, s_c = \frac{w_c}{V_c - V_s} \times 100,$ $S_r = \frac{w_f}{V_f - V_s} \times 100, = \frac{w_s}{V_o} \times 62.4, A_c = A_o \frac{H_o - \Delta H}{H_o}$						
Remarks: _____						
Technician: _____		Computed by: _____		Checked by: _____		

**APPENDIX 12**  
**TRIAxIAL COMPRESSION (Q) AND TEST AXIAL**  
**LOADING DATA**



**APPENDIX 13**  
**TRIAxIAL COMPRESSION TEST (SPECIMEN DATA)**

## Triaxial Compression Test (Specimen Data)

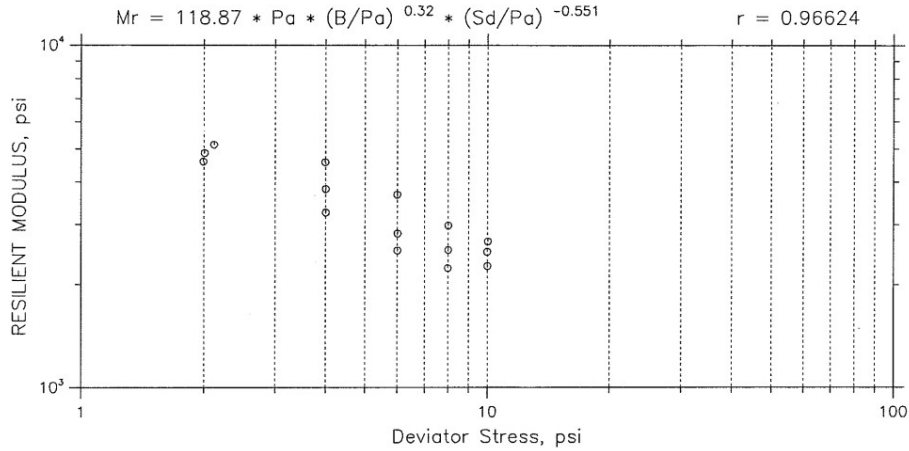
Date: _____						
Project: _____						
Boring No: _____			Sample No: _____			
Type of Test: _____			Confining Pressure _____ tons/sq ft			
Test No. _____			Classification: _____			
Before test						
Tare No: _____		Specimen		Trimming		
Weight, q	Tare plus wet soil					
	Tare plus dry soil					
	Water	W <sub>w</sub>	W <sub>wo</sub>	W <sub>wf</sub>		
	Tare					
	Wet Soil	W <sub>s</sub>				
Dry Soil		W				
Water Content	w	%	w <sub>o</sub>	%	w <sub>f</sub>	%
Initial Condition of Specimen						
Diameter, inch (cm)	D <sub>o</sub>	Top	Center	Bottom	Average	
Height, cm	H <sub>o</sub>	Volume of solids, in. <sup>3</sup>		V <sub>s</sub>		
Area sq inch = 7.854 D <sup>2</sup>	A <sub>o</sub>	Void ratio = (V <sub>o</sub> - V <sub>s</sub> ) ÷ V <sub>s</sub>		e <sub>o</sub>		
Volume = in. <sup>2</sup>	V <sub>o</sub>	Saturation, %		S		
Specific gravity of solids	G	Dry Density, lb/cu ft		d		
Condition of Specimen After Consolidation (R and S Tests)						
Change in height during consolidation, in.	ΔH <sub>o</sub>	Volume, in. = A <sub>c</sub> H <sub>c</sub>		V <sub>c</sub>		
Height, = H <sub>o</sub> - ΔH <sub>o</sub> in.	H <sub>c</sub>	Void Ratio = (V <sub>c</sub> - V <sub>s</sub> ) ÷ V <sub>s</sub>		e <sub>c</sub>		
Area, sq. in.	A <sub>c</sub>	Saturation, %		S <sub>c</sub>		
Condition of Specimen After Test (R and S Tests)						
Diameter, cm	D <sub>r</sub>	Top	Center	Bottom	Average	
Change in height during Shear Tests, in.	ΔH	Volume, in. <sup>3</sup> = A <sub>f</sub> H <sub>f</sub>		V <sub>f</sub>		
Height, in. = H <sub>c</sub> - ΔH	H <sub>r</sub>	Void Ratio = (V <sub>r</sub> - V <sub>s</sub> ) ÷ V <sub>s</sub>		e <sub>r</sub>		
Area, sq inch	A <sub>f</sub>	Saturation, %		S <sub>r</sub>		
$W_s = \frac{W}{w}, v_s = \frac{W_s}{\gamma_w G_s}, S_o = \frac{w_o}{V_o - V_s} \times 100, s_c = \frac{w_c}{V_c - V_s} \times 100,$ $S_r = \frac{w_f}{V_f - V_s} \times 100, = \frac{w_s}{V_o} \times 62.4, A_c = A_o \frac{H_o - \Delta H}{H_o}$						
Remarks: _____						
Technician: _____		Computed by: _____		Checked by: _____		



**APPENDIX 14**  
**RESILIENT MODULUS TEST DATA SHEET OMC**

## Resilient Modulus Test Data Sheet OMC

### RESILIENT MODULUS TEST DATA SUMMARY REPORT



Confining Stress S3 (psi)	Nom. Max. Deviator Stress (psi)	Mean Deviator Stress (psi)	Std. Dev. Deviator Stress (psi)	Mean Bulk Stress (psi)	Mean Resilient Strain (%)	Std. Dev. Resilient Strain (%)	Mean Resilient Modulus (psi)	Std. Dev. Resilient Modulus (psi)
5.944	2	2.009	0.0220	19.84	0.04	0.00	4860.1	41.039
5.957	4	3.991	0.0303	21.86	0.09	0.00	4568.2	9.5005
5.87	6	5.994	0.0070	23.6	0.16	0.00	3667.4	7.162
5.911	8	8.012	0.0232	25.74	0.25	0.00	2976	1.644
5.882	10	10.02	0.0083	27.67	0.35	0.00	2671.8	6.3841
3.888	2	2.118	0.3716	13.78	0.04	0.01	5141.3	143.77
3.925	4	4.003	0.0362	15.78	0.10	0.00	3810	7.4317
3.905	6	6.011	0.0211	17.72	0.20	0.00	2820.6	7.0813
3.965	8	8.007	0.0225	19.9	0.30	0.00	2523.2	1.1863
3.926	10	9.988	0.0524	21.77	0.37	0.00	2492.2	3.1053
1.987	2	1.994	0.0078	7.956	0.04	0.00	4586.8	26.805
1.956	4	4.005	0.0237	9.873	0.12	0.00	3253.1	8.3585
1.969	6	6.003	0.0194	11.91	0.22	0.00	2515	2.5653
1.974	8	7.992	0.0287	13.92	0.33	0.00	2232.9	1.6959
1.977	10	10	0.0026	15.93	0.41	0.00	2262.5	0.55944

Project: Covington	Location: Allen Co.	Project No.: DES 0710928
Boring No.: RB - 2A	Tested By: LS	Checked By:
Sample No.: 10-RM001~2	Test Date: 01/15/2010	Depth: 1' - 3'
Test No.: #2	Sample Type: remolded	Elevation: n/a
Description: CLAY A- 6 (9) .....Maximum Dry Density 110.7 pcf and 17.1% Optimum Moisture		
Remarks: Tested at 2% above Optimum Moisture Content. (Permanent Strain = 0.34328% after Conditioning Sequence)		
File: C:\Documents and Settings\MRSPITSY\Desktop\RM file\2010\RM001\10-RM001~2.dat		

**APPENDIX 15**  
**SUBGRADE EVALUATION**  
**EXAMPLE**

**Subgrade Evaluation (example)**

Boring No.	Sta	Offset	Line	Sample No	Depth (ft.)	Soil Type	AASHTO Class.	SPT (N)	In-situ Dry Density (pcf)	Max. Dry Density (pcf)	In-situ % Comp action	Nat. Moisture (%)	Opt iMoisture (%)	% Moi Diff
RB-06	276+00	20' Lt	"A"	SS-1	2.0-3.5	Loam	A-6	5	110.9	110.0	100.8	14.5	17.8	-3.3
RB-09	290+00	20' Rt	"A"	SS-2	3.5-5.0	Silty Clay Loam	A-6	13	111.5	110.0	101.4	17.6	17.8	-0.2
RB-11	303+00	30' Rt	"A"	SS-1	1.5-3.0	Silty Clay Loam	A-6	7	109.1	110.0	99.2	17.8	17.8	0.0
RB-16	322+50	35' Lt	"A"	SS-1	2.0-3.5	Silty Clay Loam	A-6	9	108.3	110.0	98.4	16.0	17.8	-1.8
RB-22	343+00	20' Lt	"A"	SS-1	2.0-3.0	Loam	A-6	9	119.5			110.6		
RB-27	385+00	35' Lt	"A"	SS-1	2.0-3.0	Silty Clay Loam	A-6	10	109.8	110.0	99.8	12.7	17.8	-5.1
RB-36	440+00	15' Lt	"PR-A"	SS-2	1.5-3.5	Silty Clay Loam	A-6	12	108.2	110.0	98.3	18.7	17.8	0.9

**APPENDIX 16**  
**PEAT UNIT WEIGHT EXAMPLE**

**Peat Unit Weight (example)**

Boring No.	Station	Offset	Line	Sample No.	Depth (feet)	Soil Type	AASHTO Class.	SPT (N)	Natural Moisture (%)	Max. Dry Density (pcf)
RB-17B	326+00	98'Rt	"A"	ST-2	16.0-18.0	Silty Clay w/Little Organic Matter	A-7-5	0	82.6	91.8
RB-17B	326+50	98'Rt	"A"	SWT-9	33.5-35.0	Silty Clay w/Little Organic Matter	A-7-5	0	103.6	90.2
RB-17B	326+50	98'Rt	"A"	ST-3	36.0-38.0	Silty Clay w/Little Organic Matter	A-7-5	0	71.5	81.0
RB-18	326+50	54'Lt	"A"	SS-1	0.5-2.0	Silty Clay w/Traces of Organic Matter	A-6	2	55.4	92.3
RB-18	326+50	54'Lt	"A"	SS-4	8.5-10.0	Silty Clay w/Little Organic Matter	A-7-5	0	65.0	93.2
RB-18	326+50	54'Lt	"A"	SS-9	21.0-22.5	Silty Clay w/Little Organic Matter	A-7-5	0	119.1	88.8
RB-18B	328+00	51'Lt	"A"	SS-2	3.0-4.5	Silty Clay w/Little Organic Matter	A-7-5	1	89.1	105.2*
RB-19	332+15	35'Rt	"A"	SS-1	1.0-2.0	Silty Clay w/Traces of Organic Matter	Visual	25	35.4	110.3*
<b>Average of Peat Unit Weight</b>										89.5*
RB-18D	326+50	30'Lt	"A"	SS-4	8.5-10.0	Loam	A-7-6	16.3	16.3	120.9*
RB-18E	326+45	54'Lt	"A"	ST-1	5.0-7.0	Clay w/Little Organic Matter	Visual	75.6	75.6	119.8

\* Not included in average

**APPENDIX 17**  
**TOPSOIL TEST RESULTS TABLE**

INDIANA DEPARTMENT OF TRANSPORTATION  
OFFICE OF GEOTECHNICAL SERVICES

Summary of Existing Topsoil Test Results for use with Plant Growth Layer

Rev 11/17

Date: 3/14/2018  
Des. No.: 1298559  
Project: US 31 Small Structure Pipe Lining  
Location: US 31

REF.	LOCATION				ANALYSIS							
					AASHTO T 289	AASHTO T 88 and T 89	AASHTO T 88 and T 89	AASHTO T 88 and T 89	AASHTO T 88 and T 89	AASHTO T 267 and T 21**	Bray P-1 Equivalent	NCRRP 221, Chapt 7***
Boring Log	Station (Road Post)	Offset (feet)	Lt/Rt	Tested Depth (inch)	pH	Gravel*	Sand	Silt	Clay	Organic Content (% by Wt)	Phosphorus (ppm)	Potassium (ppm)
						(% by Weight)						
TB-1	9+38	18	Rt	6" - 12"	8.8	22.4	58.8	10.3	3.8	2.1	7	39
TB-2	10+10	18	Lt	6" - 12"	8.9	28.5	55.2	9.7	4.7	2.3	8	57
TB-3	10+50	68	Rt	6" - 12"	8.6	26.3	56.4	11.1	5.1	1.9	4	37
Acceptable Ranges per 914.01 =					6.0 - 7.3	N/A	5 - 50%	30 - 80%	5 - 30%	3 - 10%**	20 - 80	105 - 250

\* For informational purposes only  
 \*\* In Davies, Gibson, Knox, Pike Posey, and Vanderburgh Counties, AASHTO T 21 shall also be performed. Acceptable range is 4 - 10%  
 \*\*\* North Central Regional Research Publication 221, Chapter 7  
**Note:** All existing topsoil test results presented herein are for information only.



**APPENDIX 18**  
**MSE WALL DESIGN AND GEOTECHNICAL CHECK**  
**TABLE**

## MSE Wall Design Parameter and Geotechnical Check Table

<b>MSE Wall Design Parameter and Geotechnical Check Table</b>	
<b>Design Parameter</b>	<b>Value (area 1)*</b>
Maximum Calculated Settlement	"x" inches
Maximum Differential Settlement	"y" inches
Time for settlement completion	"z" days
Maximum wall height	XX ft
<b>Design Recommendations</b>	
Minimum Reinforcement Length/Height Ratio	0.75H (example)
Undercut required	<b>yes/no</b>
Undercut depth	X feet
Undercut area	from Sta. XX to XX line "XX"
Undercut Backfill Material	XXXXXXX
<b>Seismic recommendation</b>	
Site Class	
Seismic Zone	
Peak Ground Acceleration As	
<b>Geotechnical Analysis Checks</b>	
	<b>CDR</b>
Sliding	>=1.0
Eccentricity	>=1.0
Global Stability	Factor of safety/ resistance factor
Factored Bearing Resistance	5400 psf (example value)
<b>Foundation Soils Strength Parameters**</b>	
Cohesion	
internal friction angle	
<b>Notes:</b>	
*more sheets can be added to include recommendations for each area of concern.	
**if varying soil conditions encountered underneath the MSE wall, the table can be expanded to include all soil profile information	

**APPENDIX 19**  
**INDOT CHECKLIST FOR RETAINING STRUCTURES**

# INDOT Check List for Retaining Structures

## Masonry Retaining Wall

\*Indicates higher likelihood

### **Wall Facing & Vertical Support Columns are susceptible to show...**

- Delamination/Spall/ Patched Area
- Exposed Rebar/Welded Wire Fabric/Strands
- Efflorescence/Rust Staining
- Mortar Breakdown (Cracking)\*
- Split/Spall
- Patched Area
- Masonry Displacement\*
- Distortion
- Bulging\*
- Vertical Rotation
- Horizontal Rotation
- Separation\*
- Graffiti
- Vegetation Growth
- Freeze-thaw Damage
- Leakage
- Erosion
- Damage (from impact)

### **Horizontal Coping, Vertical coping, and Masonry Architectural Facing is susceptible to show...**

- Everything listed in first column  
**EXCEPT** for erosion.

### **Wall Railing (masonry) is susceptible to show...**

- Everything in first column  
**EXCEPT** for leakage and  
erosion.

# R.C. Cantilever & R.C. Counterfort Retaining Wall

## (Reinforced Concrete)

\*Indicates higher likelihood

### **Wall Facing & Vertical Support Columns are susceptible to show...**

- Delamination/Spall/ Patched Area
- Exposed Rebar/Welded Wire Fabric/Strands
- Efflorescence/Rust Staining
- Cracking\*
- Abrasion/Wear
- Distortion
- Bulging\*
- Vertical Rotation
- Horizontal Rotation
- Separation
- Graffiti
- Vegetation Growth
- Freeze-thaw Damage
- Leakage
- Erosion
- Damage (from impact)

### **Spread Footing & Pile/ Caissons are susceptible to show...**

- Scour
- Everything listed in first column **EXCEPT** for bulging, vertical rotation, horizontal rotation, separation, and leakage.

### **Horizontal Coping, Vertical Coping, and Concrete Architectural Facing is susceptible to show...**

- Everything listed in first column **EXCEPT** for erosion.

### **Wall Railing (concrete) is susceptible to show...**

- Everything listed in first column **EXCEPT** for abrasion/wear.

## Prestressed Concrete

R.C. Cantilever & R.C. Counterfort retaining walls made from pre-stressed concrete have all of the same susceptibilities as reinforced concrete with one exception, all elements are also susceptible to show exposed prestressing.

## Cantilever Sheet Pile Retaining Wall

\*Indicates higher likelihood

### **Wall Facing & Vertical Support Columns are susceptible to show...**

- Corrosion\*
- Cracking
- Connection Distress
- Distortion
- Bulging
- Vertical Rotation
- Horizontal Rotation
- Separation
- Graffiti
- Vegetation Growth
- Leakage
- Erosion
- Damage (from impact)

### **Horizontal Coping, Vertical coping, and Steel Architectural Facing is susceptible to show...**

-Everything listed in first column  
**EXCEPT** for erosion.

### **Pile/Caissons are susceptible to show...**

- Scour
- Settlement
- Everything listed in first  
column **EXCEPT** for bulging,  
vertical rotation, horizontal  
rotation, leakage, and  
separation.

### **Wall Railing (steel) is susceptible to show...**

-Everything in first column  
**EXCEPT** for settlement and  
erosion.

## Anchored Bulkhead Retaining Wall

Anchored Bulkhead Retention Walls have all of the same susceptibilities as Cantilever Sheet Pile retention Walls. However, they also include an anchorage, which introduce defects specific to the anchor. These include...

- Corrosion
- Deterioration
- Effectiveness of Anchor (slippage)
- Connection Distress
- Distortion
- Damage (from impact)

# Diaphragm, Bored Pile, & Soldier Pile Retaining Wall

\*Indicates higher likelihood

## **Wall Facing & Vertical Support Columns are susceptible to show...**

- Delamination/Spall/  
Patched Area
- Exposed  
Rebar/Welded Wire  
Fabric/Strands
- Efflorescence/Rust  
Staining
- Cracking\*
- Abrasion/Wear
- Distortion
- Bulging\*
- Vertical Rotation
- Horizontal Rotation
- Separation
- Graffiti
- Vegetation Growth
- Freeze-thaw Damage
- Leakage
- Erosion
- Damage (from impact)

## **Horizontal Coping, Vertical Coping, and Concrete Architectural Facing is susceptible to show...**

- Everything listed in first  
column **EXCEPT** for erosion.

## **Wall Railing (concrete) is susceptible to show...**

- Everything listed in first  
column **EXCEPT** for  
abrasion/wear.

## **Pile/Caissons are susceptible to show...**

- Scour
- Everything listed in first  
column **EXCEPT** for bulging,  
vertical rotation, horizontal  
rotation, separation, and  
leakage.

## **Anchorage is susceptible to show...**

- |                      |                |                                     |
|----------------------|----------------|-------------------------------------|
| -Corrosion           | -Deterioration | -Effectiveness of Anchor (slippage) |
| -Connection Distress | -Distortion    | -Damage (from impact)               |

# Reinforced Earth & Mechanically Stabilized Earth (MSE)

## Retaining Wall

\*Indicates higher likelihood

### **Wall Facing & Vertical Support Columns are susceptible to show...**

- Delamination/Spall/ Patched Area
- Exposed Rebar/Welded Wire Fabric/Strands
- Efflorescence/Rust Staining
- Cracking\*
- Abrasion/Wear
- Distortion
- Bulging
- Vertical Rotation
- Horizontal Rotation
- Separation
- Graffiti
- Vegetation Growth
- Freeze-thaw Damage
- Leakage
- Erosion
- Damage (from impact)

### **Horizontal Coping, Vertical Coping, and Concrete Architectural Facing is susceptible to show...**

- Everything listed in first column **EXCEPT** for erosion.

### **Pile/Caissons are susceptible to show...**

- Scour
- Everything listed in first column **EXCEPT** for bulging, vertical rotation, horizontal rotation, separation, and leakage.

### **Anchorage is susceptible to show...**

- Corrosion
- Deterioration
- Effectiveness of Anchor (slippage)
- Connection Distress
- Distortion
- Damage (from impact)



## Timber/Bin/Wire Retaining Walls

\*Indicates higher likelihood

### **Wall Facing & Vertical Support Columns are susceptible to show...**

- Connection Distress
- Decay/Section Loss\*
- Check/Shake
- Crack (Timber)
- Split/Delamination\*
- Abrasion/Wear
- Distortion
- Bulging\*
- Vertical Rotation
- Horizontal Rotation
- Separation
- Graffiti
- Vegetation Growth
- Leakage
- Settlement
- Erosion
- Corrosion\*
- Damage (from impact)

### **Pile/Caissons are susceptible to show...**

- Scour
- Settlement
- Everything listed in first  
column **EXCEPT** for bulging,  
vertical rotation, horizontal  
rotation, leakage, and  
separation.

### **Horizontal Coping, Vertical Coping, and Timber Architectural Facing is susceptible to show...**

- Everything listed in first  
column **EXCEPT** for erosion.

### **Wall Railing (timber) is susceptible to show...**

- Everything listed in first  
column **EXCEPT** for leakage  
and erosion.

**APPENDIX 20**  
**PERFORMANCE CRITERIA REPORT**

# Performance Criteria Report

## *Geotechnical Engineering*

### Report Completion

#### Schedule

#### Schedule

Did the consultant meet the delivery schedule?

**Exceeds** - An acceptable final product was delivered more than 30 calendar days ahead of schedule.

**Above Average** - An acceptable final product was delivered more than 14 but less than 30 calendar days ahead of schedule.

**Satisfactory** - An acceptable final work product was delivered within the scheduled time.

**Improvement Required** - An acceptable final work product was delivered up to two months behind schedule.

**Unsatisfactory** - An acceptable final work product was delivered more than two months behind schedule.

**Not Applicable** - Not Applicable

#### Budget

#### Budget

Did the consultant deliver the services cost effectively?

**Exceeds** - The consultant improved the operations budget more than 10%.

**Above Average** - The consultant improved the operations budget more than 5%.

**Satisfactory** - The consultant maintained the operations budget within 5%.

**Improvement Required** - The consultant had budget slippage of 5% to 10%.

**Unsatisfactory** - The consultant exceeded the budget by more than 10%.

**Not Applicable** - Not Applicable

#### Drilling Procedure

#### Quality

Were samples collected in accordance with INDOT standards?

**Satisfactory** - All samples were collected in accordance with INDOT standards.

**Improvement Required** - Some samples were not collected in compliance with INDOT standards.

**Unsatisfactory** - Most samples were not in compliance with INDOT standards. As a result the consultant was instructed to remobilize and collect the required samples.

#### 24 Hour Water Levels

#### Quality

Were 24 hour water levels recorded for boreholes at the appropriate time?

**Satisfactory** - 24hr water level readings were recorded.

**Improvement Required** - 24hr water level readings were not recorded in boreholes.

**Unsatisfactory** - No 24hr water level readings were recorded.

**Not Applicable** - Not Applicable

# Performance Criteria Report

## *Geotechnical Engineering*

### Backfilling Boreholes

Quality

Were boreholes appropriately backfilled?

**Satisfactory** - All boreholes were backfilled.

**Improvement Required** - Some boreholes backfilled correctly, consultant requested to go back and fill unfilled holes.

**Unsatisfactory** - None of the boreholes were backfilled. Consultant was required to go back and fill the holes.

### Traffic Control

Quality

Were appropriate traffic control measures followed?

**Satisfactory** - Met all requirements.

**Improvement Required** - Was incomplete and required major revisions.

**Unsatisfactory** - Consultant did not have traffic control when it was required.

### Laboratory Procedures

Quality

Were laboratory tests performed in accordance with requirements?

**Above Average** - All tests were performed in accordance with standards and requirements, with additional graphs and plots of test data.

**Satisfactory** - All tests were performed in accordance with standards and requirements.

**Improvement Required** - Some tests were not performed in accordance with standards and requirements.

**Unsatisfactory** - None of the tests were performed in accordance with the standards and requirements.

### Engineering Recommendations

Quality

Were engineering recommendations technically correct and economically effective?

**Exceeds** - Engineering recommendations were both technically correct and presented the most economical engineering solutions. No revisions were required to the original submittal.

**Above Average** - Engineering recommendations were technically correct and presented the most economical engineering solutions. Minor revisions were required to the original submittal.

**Satisfactory** - Engineering recommendations were adequate. Revisions were required to the original submittal.

**Improvement Required** - Initial engineering recommendations were inadequate. Revisions were required to the original submittal.

**Unsatisfactory** - Initial engineering recommendations were inadequate and inappropriate. Multiple revisions were required and multiple submittals were required to achieve an acceptable report.

### Operations Responsiveness

Responsiveness

Willingness to answer questions and make appropriate changes to plans/documents.

**Exceeds** - Willingness to answer questions and make requested changes exceeded expectations and was proactive in addressing project issues.

# Performance Criteria Report

## *Geotechnical Engineering*

**Above Average** - The consultant revised plans/documents in accordance with comments and made additional improvements that had not been suggested but resulted in an improved product. Readily explained revisions and answered all questions.

**Satisfactory** - The consultant did revise the plans/documents in accordance with the comments and/or explained why revisions were not made and showed a willingness to answer questions.

**Improvement Required** - The Consultant did not revise some of the plans/documents in accordance with the comments and did not explain why some of the revisions were not made. Consultant showed some cooperation in answering questions but required several requests.

**Unsatisfactory** - The consultant did not comply with any of the above.

**APPENDIX 21**  
**SOIL PARAMETERS FOR PAVEMENT DESIGN**

### Soil Parameters for Pavement Design

Work Type	New Roadway Alignment	Pavement Replacement or Reconstruction	Pavement Improvement Projects	Surface Treatment Projects	Rubbilization or Full Depth Reclamation, FDR
Soil Parameters Required					
Resilient Modulus (MR)* of predominant soils of prepared subgrade, psi	X	X			X
In situ MR for cut and at grade, psi	X				X
In situ MR of foundation soils, taken at 1-5 ft			X		
MR Historical Data				X	
Predominant Soil Type	X	X	X	X	X
% Passing #200	X	X	X	X	X
% Silt	X	X	X		X
% Clay	X	X	X		X
LL and PI, %	X	X	X	X	X
Altered Soils AASHTO classification after Subgrade Stabilization / Modification	X	X			X
Subgrade Treatment Type	X	X			X
Depth to Water Table Range	X	X	X	X	X
Subgrade Moisture Range, %	X	X	X		X
Optimum Moisture Content, %	X	X	X		X
Organic Content Range, % (if encountered)	X	X	X	X	X
Marl Content Range, % (if encountered)	X	X	X	X	X
Estimated Hydraulic Conductivity of subgrade, ft. /day	X	X			X
Subgrade Sulfate Content Range, ppm	X	X	X		X
Rock Elevation, ft.(if encountered)	X	X	X		X
Geotextile Type for Underdrains, if needed	X	X	X		X
Foundation Treatment	X	X			X
Other important information			X		

X represents the parameters that are require for each project type.

\* At 95% optimum moisture content